## 1 Abstract

2 The pervasive spread of microplastics (MPs) and nanoplastics (NPs) has raised significant concerns 3 on their toxicity in both aquatic and terrestrial environments. These polymer-based materials have 4 implications for plants, wildlife and human health, threatening food chain integrity and ultimate ecosystem resilience. An extensive - and growing - body of literature is available on MP- and NP-5 6 associated effects, including in a number of aquatic biota, with as yet limited reports in terrestrial 7 environments. Effects range from no detectable, or very low level, biological effects to more 8 severe outcomes such as (but not limited to) increased mortality rates, altered immune and 9 inflammatory responses, oxidative stress, genetic damage and dysmetabolic changes. A well-10 established exposure route to MPs and NPs involves ingestion with subsequent incorporation into tissues. MP and NP exposures have also been found to lead to genetic damage, including effects 11 12 related to mitotic anomalies, or to transmissible damage from sperm cells to their offspring, 13 especially in echinoderms. Effects on the proteome, transcriptome and metabolome warrant ad 14 hoc investigations as these integrated "omics" workflows could provide greater insight into 15 molecular pathways of effect. Given their different physical structures, chemical identity and presumably different modes of action, exposure to different types of MPs and NPs may result in 16 17 different biological effects in biota, thus comparative investigations of different MPs and NPs are required to ascertain the respective effects. Furthermore, research on MP and NP should also 18 consider their ability to act as vectors for other toxicants, and possible outcomes of exposure may 19 20 even include effects at the community level, thus requiring investigations in mesocosm models.

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## 22 Key words

23 microparticle; nanoparticle; polymer; toxicity; dysmetabolic; stress

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### 28 1. Introduction

29 Micro- and nano-plastics (MPs and NPs) are novel environmental contaminants of emerging international interest due to their increasing levels in aquatic and terrestrial environments with 30 demonstrable effects at numerous biological levels. MP and NP pollution is an emerging threat to 31 ecosystem health and integrity as reported in earlier reviews (Ryan et al. 1988; Moore 2008; Zarfl 32 et al. 2011; Guzzetti et al., 2018). Beyond the biological effects resulting from exposure and 33 34 uptake of MPs and NPs in the environment, macroscopic plastic debris represents another environmental threat to biota through impacts on increased frequency of suffocation, 35 entanglement, and ingestion, especially in marine wildlife such as birds, sea turtles, marine 36 mammals, invertebrates and fish (Kühn and Franeker, 2020). These effects are often translated 37 into impacts on movement, feeding and reproduction, skin ulcerations and necrosis, and even 38 death (Provencher et al. 2017, 2018; Rezani et al. 2018; de Souza Machado et al. 2018). A 39 40 growing body of literature in recent years has been devoted to understanding the biological effects 41 of exposure to MP/NPs in biota, including spatial and temporal patterns of exposure and effect 42 (see for example: Alimba et al. 2019; Alimi et al. 2018; Chae et al. 2018, 2019; Foley et al. 2018; Saleem et al. 2018; Wang et al. 2018; Ferreira et al. 2019; Rochman et al. 2019; Wu et al. 2019; 43 Barbosa et al. 2020). The present review aims at providing a synthesised update on the reported 44 effects from exposure to MP/NPs in biota, if any, and will outline some knowledge gaps that could 45 inform future research and monitoring priorities. 46

As a preliminary step, a a comprehensive literature review was undertaken to extract relevant 47 48 manuscripts published in the last 10 years using search terms such as "microplastics" and "nanoplastics" with "toxicity", "embryo", "gene", "growth", and "oxidative stress". Databases such 49 as PubMed, Scopus, Google Scholar and Web of Science were gueried. That search provided a set 50 of peer-reviewed works that were evaluated against a set of inclusion and exclusion criteria. 51 52 Studies that reported MP/NP exposure and uptake with effects (or no effect reported) at the 53 molecular to the organismal and community levels (about 8 % of the identified studies) were 54 retained for analysis. Studies that did not quantify exposure levels, or doses, or biological effects

55 were not retained for analysis. Further, quality control and quality assurance data in manuscripts needed to include the use of procedural blanks and/or positive controls, duplicates (or triplicates) 56 57 and industry-recognised chemical analysis procedures for retention and inclusion in our database. Presence and absence of effects were noted, as well as the nature and/or level of reported 58 biological effect, including: impacts on behaviour, mortality and reproduction, molecular-level 59 effects (such as cytotoxicity, biotransformation enzymes, neurotoxicity, hematological changes, 60 61 oxidative stress, immunity, genotoxicity, metabolic changes) and other organismal-level effects (including physical effects, malformations, etc.). Any biological effects assessment of plastic 62 63 pollution should include the well-known feeding impairment effect due to obstruction of the 64 digestive tract (Besseling et al. 2014, 2015). However, this review is not aimed at evaluating the effects of macroplastic ingestion, but rather is focused on other MP- and/or NP-associated 65 biological effects, including those molecular initiating events. 66

As shown in Figure 1, a steady increase in MP-focused reports up to 2020 is evident while studies on NPs have picked up recently with a greater number of publications in 2019-2020 (It should be noted that the 2020 data are confined to the first six months of the calendar year . An extensive body of evidence was accumulated showing a number of more or less severe effects associated with MP/NP exposures in a number of different biota including aquatic and terrestrial animals, plants, bacteria and cell cultures.

Altogether, the present review aims to outline different MP/NP types, sizes and concentrations tested in the peer-reviewed literature in order to identify differing size-, type- or concentrationdependent toxicities, allowing us to suggest potentially important biological effect pathways among different polymers or different sizes.

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# 78 2. MP ingestion without relevant adverse effects

From the 94 studies identified and retained for analysis, only 15% (14/94) measured and detected
MP ingestion without reporting any major resultant biological effect (Table 1). This was the case in
some reports on exposures to either micro-polyethylene (mPE), virgin micro-polyvinylchloride

(mPVC), micro-polyethylene terephthalate (mPET), or MP mixtures in fish *Sparus aurata* or sea
urchins *Tripneustes gratilla* and *Paracentrotus lividus* which showed microparticle ingestion, yet
without any major effects on embryonic development, growth rates or stress (Kaposi et al. 2014;
Beiras et al. 2018; Beiras and Tato, 2019; Jovanovíc et al. 2018).

Other studies on crustaceans were conducted using Aristeus antennatus, Daphnia magna, 86 Artemia franciscana, Gammarus fossarum, Gammarus pulex and Macrobrachium nipponense to 87 88 test the effects, if any, of MP exposures including mPE, and several other MPs and MP mixtures. The findings confirmed exposure through ingestion of MPs, yet without any major discernable 89 adverse effects (Frydkjær et al. 2017; Straub et al. 2017; Carreras-Colom et al.; 2018; Kokalj et al. 90 91 2018; Weber et al. 2018; Li et al. 2020a). Similar results were reported in two other studies of MPassociated effects in mussels Dreissena polymorpha and Mytilus galloprovincialis which, again, 92 failed to show any relevant adverse outcomes (Magni et al. 2018; Gonçalves et al. 2019). 93 94 Rochman et al. (2017) evaluated the effects of four different MPs in a clam and sturgeon model 95 (*Corbicula fluminea* and *Acipenser transmontanus*, respectively), failing to find pertinent adverse 96 outcomes except for slight bioaccumulation in clams, but a lack thereof in sturgeons. Other fish species were tested for MP-associated effects using several MP types; beyond ingestion and 97 bioaccumulation in lower trophic aquatic biota (i.e. clams), no effects were detected in early life 98 stages or on lipid peroxidation (Jovanović et al. 2018; Rainieri et al. 2018). 99

Altogether, the negative results summarised in Table 1 suggest that some biota failed to exhibit, or some laboratory bioassays failed to induce detectable MP-associated damage. These lack of effects do not extend to all biota as demonstrated in the studies presented in Table 2.

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#### 104 **3. MP- associated adverse effects in biota**

105 The toxicity of various MP/NPs across different organisms, expressed through a number of 106 adverse effects, are summarised in Figure 2 and Table 2. The top three most commonly observed 107 changes were related to physical effects, oxidative stress and reproduction. Moreover, there is a 108 large amount of literature investigating the toxicity of MP/NPs in aquatic biota, whereas research in terrestrial models (such as humans and rodents) is currently more limited (Figure 3). This
represents a significant knowledge gap considering that MPs are present in terrestrial ecosystems
due to accidental loss and poor waste management (de Souza Machado et al. 2018; Dris et al.
2016). Furthermore, the toxic effects of PS are more commonly explored with significantly less
attention paid to other MPs/NPs. This clearly indicates the need for further targeted investigations
based on polymer type as there is a broad variety of plastic particles present in the environment,
including PE, PET, PVC and PMMA.

It has been reported that exposures to MPs can lead to altered behaviour and subsequent 116 impacts on survivorship and mortality rates. For example, a recent report by Mak et al. (2019) 117 found that zebrafish, Danio rerio, exposed to mPE, underwent altered gene expression (cyp1a and 118 vtg1) and abnormal behaviour. Further, Lei et al. (2018) provided evidence of MP-associated 119 toxicity in *D. rerio* and in a nematode (*Caenorhabditis elegans*) exposed to five different MPs. In 120 121 their study, changes in development, heart rate, swimming activity, body length and reproduction 122 were pronounced (Lei et al. 2018). Exposure to virgin and aged MPs was also found to affect behaviour in *Sparus aurata*, with fish more active during feeding and bolder in their interactions 123 with other individuals (Rios-Fustera et al., 2021). In contrast, exposure of European bass 124 Dicentrarchus labrax over 90 days to mPVC (<300 µm) added to feed at concentrations of 0.1% 125 w/w was not found to result in altered behaviour although caused significant histopathological 126 alterations in the distal intestine which could with time affect feeding patterns (Pedà et al. 2016). 127 Studies in echinoderms (e.g. sea urchin bioassays) reported similar developmental toxicity in 128 several MP types, including mPE, mPS and mPVC, and their leachates. In some instances, these 129 leachates displayed more severe effects compared to mPS alone such as in Paracentrotus lividus 130 (Martínez-Gómez et al. 2017; Oliviero et al. 2019) and in the mussel Perna perna (Gandara e Silva 131 et al. 2016), whereas the opposite effect was detected in *Lytechinus variegatus* by Nobre et al. 132 133 (2015). Other research teams documented decreased larval size in mPS-exposed *P. lividus* larvae, 134 along with growth inhibition or developmental defects in other tested aquatic biota (ascidians, insects, corals, bacteria, microalgae, and rotifers) (Chapron et al. 2018; Messinetti et al. 2018; 135

Gambardella et al. 2018; Mouchi et al. 2019; Natarajan et al. 2020; Parenti et al. 2020). In a recent study, urchin *Sphaerechinus granularis* displayed significantly increased developmental defects in pluteus larvae either exposed during embryogenesis or in the offspring of mPS and mPMMA -exposed sperm (Trifuoggi et al. 2019). Additionally, cytogenetic anomalies and mitotoxicity were also observed in *S. granularis* embryos exposed to these MPs (Trifuoggi et al. 2019).

142 These types of physical effects (including developmental defects) were not constrained to echinoderm models, but were also detected in crustacean D. magna where growth inhibition was 143 prominent (Martins and Guilhermino, 2018). In their study, Martins and Guilhermino made the 144 145 remarkable discovery that exposure to these microplastic polymers not only affected parental mortality and growth inhibition, but these effects were even detectable across four generations of 146 offspring, suggesting transmissible damage to the offspring as similarly observed in echinoderms. 147 148 Growth inhibition was also commonly reported in crustacean models (Artemia parthenogenetica 149 and *Eriocheir sinensis*) along with other related developmental effects such as abnormal ultrastructures of intestinal epithelial cells and increased number of mitochondria and 150 autophagosomes (Wang et al. 2019; Yu et al. 2018). 151

Microalgal (Chlorella pyrenoidosa, Karenia mikimotoi, Skeletonema costatum and Chlorella 152 vulgaris) and plant models (Triticum aestivum and Cucumis sativus) were tested for adverse 153 effects of MPs in a number of studies. Biological effects in plant models included reduced 154 photosynthesis and again, growth inhibition following exposures to mPS, mPE or mPVC (Mao et al. 155 156 2018; Zhao et al. 2019; Qi et al. 2018; Zhu et al. 2019; Hazeem et al. 2020; Li et al. 2020c). Altogether, the data on MP-associated toxicity, obtained in a number of biota, support the 157 158 hypothesis that exposure to MPs can result in several negative biological outcomes tied to physical 159 development, essential to life and survival.

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#### 161 4. MP-associated molecular effects

162 There is a growing body of literature published on the effects of MP exposures in vertebrate 163 models including mouse, fish and other test models as shown in Table 2.

Terrestrial mammals (including mice) exposed to mPS underwent a number of metabolic 164 disorders including altered energy and lipid metabolism, oxidative stress, neurotoxicity, and 165 intestinal barrier dysfunction (Deng et al. 2017; Jin et al. 2018, 2019). Luo et al. (2019a,b) 166 submitted pregnant and lactating mice to mPS exposures, and found transmissible damage in their 167 168 F1 and F2 offspring in terms of altered metabolic parameters including, for example, alterations in serum triglyceride (TG), total cholesterol (TC), high-density lipoprotein cholesterol (HDL-C) and 169 low-density lipoprotein cholesterol (LDL-C) levels. In zebrafish D. rerio, MP-induced gut 170 microbiome dysbiosis affected energy metabolism, glucose metabolism and lipid metabolism (Wan 171 et al. 2019). The same mechanistic pathway of effect could also be true in terrestrial mammals, 172 173 warranting further investigation.

174 A series of studies on *D. rerio* provided some important mechanistic information on MP-175 associated molecular effects (Table 2). These effects included dysmetabolic events such as excess 176 expression of proinflammatory cytokines, glutathione S-transferase, cytochrome P4501A1 induction, and oxidative stress (Jin et al. 2018; Lei et al. 2018; Batel et al. 2018; Wan et al. 2019). 177 Other fish models, including *Clarias gariepinus*, *D. labrax*, *Symphysodon aeguifasciatus* and *S.* 178 aurata, were used to test the effects of MP exposures and yielded similar results to those obtained 179 in earlier studies in *D. rerio*, namely increase in proinflammatory markers and oxidative stress 180 response evaluated through the activities of superoxide dismutase and glutathione peroxidase 181 182 enzymes, as well as the over-expression of a number of dysmetabolic markers (Karami et al. 2016; Espinosa et al. 2018; Granby et al. 2018; Wen et al. 2018; Solomando et al., 2020). In some 183 184 cases, these effects were explained as the result of MP exposure that could lead to covalent binding with DNA or inhibition of DNA synthesis, contributing to genotoxicity and altered gene 185 186 expression profiles resulting in altered cell division or DNA replication (Ribeiro et al. 2017). As a 187 result it has been hypothesised that the oxidative stress responses in those cases could be a defense mechanism in response to MP-induced genotoxicity. Other aquatic invertebrate studies in 188

molluscs *Scrobicularia plana* and *Mytilus* spp. corroborated these findings by linking the oxidative
stress response to DNA damage and neurotoxicity (Ribeiro et al. 2017; Paul-Pont et al. 2016;
Magara et al. 2018). Mao et al. (2018) reported that these findings extended to an algal model (*C. pyrenoidosa*) suggesting that the effects of MP-induced genotoxicity, inflammatory and oxidative
stress responses extend beyond the animal kingdom.

The available literature focuses primarily on mPS, with far fewer reports on the other types of MPs (redox homeostasis, particularly for mPS and molluscs, was recently reviewed by Trestrail et al. 2020); by considering the extensive number of different polymer types, much work needs to be done on testing other MP particles.

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## 199 5. Impacts of NP-exposure on biota

200 Unlike the literature focused on MP-associated effects, the currently available literature on NP-201 associated effects is almost confined to nanopolystyrene (nPS), with two exceptions to the best of 202 our knowledge; Brandts et al. (2018) investigated exposure to nPMMA in a *D. labrax*, while Greven 203 et al. (2016) determined the impacts of nano-polycarbonate (nPC) particles in fathead minnow 204 *Pimephales promelas*.

Table 2 also summarises the reported effects induced by NPs in a number of test organisms and cell models, including fish, sea urchins, crustaceans, bivalves, nematodes, plants, diatoms, bacteria, and human cell lines (Poma et al. 2019; Xu et al. 2019; Rubio et al. 2020). In each of the NP-focused studies, biological effects were detected, suggesting that a wide array of organisms are sensitive to NP-exposure to the same polymer types, at similar concentrations [see, for example, Chen et al. (2017); Ding et al. (2020); Duan et al. (2020); Sökmen et al. 2020; Jeong et al. (2017)].

nPS-associated toxicity in fish (*D. rerio*) was for example demonstrated through developmental abnormalities and maternal transfer to offspring in a study investigating five different NPs, with biological consequences on heart rate, swimming activity, body length and reproduction (Pitt et al. 2018a,b). Other studies of nPS-induced effects in *D. rerio* found

dysmetabolic damage including oxidative stress (superoxide-dismutase and glutathione peroxidase 216 217 enzymatic activity), disrupted glucose metabolism and cortisol levels, and disturbed membrane function (Brun et al. 2019; Parenti et al. 2019; Liu et al. 2019). Investigations in crustacean D. 218 *pulex* revealed that genes involved in metabolism, growth regulation, ROS metabolism, and sex 219 difference changed after NP exposure (Zhang et al. 2020). Consistently, NPs had significant effects 220 pertaining to development, fecundity, oxidative stress and response compared to larger particle 221 222 sizes (MP) of the respective polymers (Jeong et al. 2016; 2018). It was suggested that surface charges (cationic vs. anionic) may lead to different uptake and biodistribution, potentially 223 disrupting these physiological processes (Bergami et al. 2016;2017). A number of other crustacean 224 studies were conducted to probe NP-induced effects, including *Daphnia* and *Artemia*. Altogether, 225 these studies found NP-induced anomalies in protein and gene expression, oxidative damage, and 226 delayed larval development, similar to what has been observed in MP exposure studies, but often 227 228 at lower concentrations (Nasser and Lynch 2016; Bergami et al. 2016; 2017; Zhang et al. 2019; 229 2020; Liu et al. 2018; 2019; Varó et al. 2019; Kelpsiene et al. 2020). These findings are most likely due to increased distribution of these smaller plastic polymers in the organisms' tissues. 230 A report by Della Torre et al. (2014) focused on the comparative effects of two nPS (with 231 carboxylate and amine –functionalised surfaces) in the sea urchin *P. lividus*, and found 232 embryotoxicity in larvae exposed to NH<sub>2</sub>-PS, but not to COOH-PS, while both nPS preparations 233 induced different changes in gene regulation. Other studies focused on nPS-induced damage in 234 sea urchin *P. lividus*, reporting on a series of dysmetabolic effects including decreased lysosomal 235 membrane stability, modulated protein and gene profile, and affected cellular phagocytosis 236 (Margues-Santos et al. 2018; Pinsino et al. 2017). These functional effects were not only reported 237 238 in echinoderm models, but were also observed in mollusc Crassostrea gigas (González-Fernández et al. 2018). 239

A set of studies of NP-induced effects in bivalves *Crassostrea* and *Mytilus* resulted in damage to fertilisation, embryogenesis and metamorphosis, and oxidative stress (Canesi et al. 2015; 2016; Balbi et al. 2017; Tallec et al. 2018; González-Fernández et al. 2018; Rist et al. 2019).

Other studies focused on the nematode *C. elegans* and on the rotifer *Brachionus koreanus*; when 243 exposed to nPS, these organisms exhibited oxidative stress and inhibition of multi-drug resistance 244 proteins and dysregulated gene expression (Qu et al. 2018; Jeong et al. 2018). Multiple species 245 representing important links in food chains were tested for mPS and nPS exposure; for example, 246 histopathological changes were noted in *D. rerio* liver after treatment with 5 µm PS particles, 247 including necrosis, infiltration and presence of lipid droplets in hepatocytes, in addition to 248 249 significant changes to the hepatic metabolome (Lu et al. 2016). Furthermore, lipid accumulation and inflammation were accompanied by oxidative stress, as indicated by increased catalase and 250 superoxide dismutase activity, after exposure to both 70 nm and 5 µm particles. In addition, nPS 251 (30-35 nm hydrodynamic diameters) was found able to penetrate embryo walls in *D. rerio* and 252 accumulatein the yolk sac of hatched juveniles, testifying to increased tissue distribution and 253 impacts deriving from maternal transfer to eggs and/or embryos (Pitt et al. 2018a). Altogether, 254 255 nPS induced multiple adverse effects in the food chains (Mattsson et al. 2017; Chae et al. 2018), 256 including on lower trophic levels such as in plants, diatoms and bacteria (e.g. Myriophyllum spicatum and Elodea sp., Phaeodactylum tricornutum and Halomonas alkaliphila, respectively) 257 where decreased photosynthesis, growth inhibition and induction of oxidative stress were 258 commonly reported (Bhattacharya et al. 2010; van Weert et al. 2019; Sendra et al. 2019; Sun et 259 al. 2018). 260

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### 262 6. Knowledge gaps and concluding remarks

The current and growing body of peer-reviewed literature on the effects of MP and NP pollution raises significant environmental concern on a global level. The present review evaluated the multiple outcomes of MP/NP exposures, ranging from a general lack of detectable effects at the organismal level to strong adverse effects ranging from the sub-cellular to the whole organism level. While broad consensus has yet to form on the degree of risk, it is increasingly acknowledged that MP/NPs are materials of concern in the environment and their potential to cause deleterious effects in biota is clearly an issue which should inform environmental policy. Their persistence in

270 the environment and toxicity at environmentally relevant levels are concerning. Nevertheless, it 271 should be recognised that there are still substantial knowledge gaps in the ever growing MP/NP-272 toxicity field. An important aspect relates to the relative toxicities of the different MPs; this 273 question is more cogently raised for NPs, whose dataset is mostly confined, as yet, to nPS. The imbalance between the number of studies of nPS and those on the broad spectrum of other NPs 274 clearly indicates that much work has yet to be accomplished. Further, gathering such comparative 275 276 data may help in refining current risk assessment models to establish relative environmental concern when evaluating MP/NP-associated toxicities in the environment (e.g. Lithner et al. 2011). 277 These open questions warrant *ad hoc* investigations. 278

Relevant, yet limited information is available concerning MP- and NP-induced effects in plants, 279 agro-ecosystems and algae, which would have important implications for their possible impact on 280 food webs (Ng et al. 2018; Rillig et al. 2019). The bioavailability of plastics for marine plants 281 282 should be investigated as well as their accumulation in plant cells in the marine environment in 283 order to extend the currently scarce literature (Bhattacharya et al. 2010; Nolte et al. 2017a,b). The physical shape of MPs encompasses another area of relatively little study but which may 284 be important as an additional driver of toxicity (Jemec et al. 2016). Specifically, most research has 285 focused on MP/NPs that are broadly spherical in shape. However, the degradation of plastics in the 286 environment may produce fibres of various aspect ratios or 'jagged'-edged particles which might 287 not physically or biologically impact in the same way as spherical particles, for example in terms of 288 uptake and accumulation in biota or leaching of chemicals (Choi et al., 2021). Moreover, 289 290 replacements for traditional plastics such as biodegradable polymers, though catching the public imagination as a means to reduce human impact on the environment, also have not been 291 292 investigated in sufficient detail, particularly as the polymer degradation products may themselves form MP fragments and particles and become available to biota (Green et al. 2016). In addition, 293 294 while microparticulate plastics remain the focus of much research, the potential degradation of 295 polymer-based textiles to also release even finer plastic fragments and secondary chemicals such

as dyes and plasticisers during use and laundering has received insufficient attention to date (Dalla
Fontana et al. 2020; Klein et al. 2021).

298 MP/NPs have most regularly been investigated in isolation from other contaminants which may 299 be concomitantly present in the environment (Rainieri et al. 2018). Recent studies of mPS as a vector for certain hydrophobic contaminants have shown that interaction between plastic polymers 300 and pollutants such as PCBs for example exhibit complex behaviour in simulated gut fluid of worms 301 302 and fish (Mohamed Nor and Koelmans 2019). MPs may also even act as a vector for pathogenic fish bacteria (Viršek et al. 2017). Similarly, nPS showed bioaccumulation in *D. rerio* by modulating 303 Au toxicity (Lee et al. 2019). The relatively scarce knowledge in this area and the enormous 304 potential for synergistic, additive or antagonistic effects of pollutants adsorbed on MPs - and 305 presumably NPs - indicates a relatively unmet need for research to understand the ability of 306 MPs/NPs to act as carriers of harmful substances. In addition, impacts deriving from a range of 307 308 other multi-stressors concomitantly present including, for example, engineered nanoparticles and 309 abiotic parameters such as temperature, UV intensity etc., which may modulate the physico-310 chemical behaviour of MP/NPs in the environment and the co-transport of pollutants in organisms, present a significant risk in terms of potential toxicity (Ferreira et al. 2016). However, studies on 311 312 such aspects remain relatively limited in number.

Another important knowledge gap to consider stems from the fact that the overwhelming majority of literature is based on aquatic biota, in spite of the fact that MP pollution extends to terrestrial locations (see for example Dris et al. 2016) such as landfills. This may be regarded as an under-investigated source of MP and NP contamination (He et al. 2019) and it will be important in the future to verify the impact of MP/NP pollution on terrestrial biota, and by extension on human health, due to potential trophic transfer.

Overall, research on deleterious effects of MP/NPs in biota has focused to a great degree on specific organisms, with relatively few studies taking a broader perspective, for example considering trophic transfer of these materials in simplified food webs. This represents a weak point in current approaches as the significance of negative biological impacts, e.g. oxidative stress,

323 energetic deficiencies affecting growth, or transmissible damage to offspring, in organisms has 324 oftentimes not been translated into a deeper understanding of the wider ecological consequences at community or ecosystem levels. Furthermore, the tests used for probing the biological effects of 325 326 MPs might themselves not be fit for purpose in every case, and there is inadequate focus on using appropriate controls (Catarino et al. 2019). In terms of widely used biochemical tests, it is clear 327 that they present only one facet of the toxicological profile of MP/NPs, and future research in this 328 329 area will need to focus greater attention on '-omics' approaches which may uncover deeper or more subtle effects on, for example, the transcriptome. This is further highlighted by the fact that 330 many chemicals that may leach from polymer particles do not give rise to acute toxicity (most 331 common type of test conducted) but rather may have low level, though important, chronic effects 332 such as seen with endocrine disrupting chemicals. 333

Another important issue is that MP/NPs must be characterised such that their physical 334 335 properties can be related to the effects they induce in biota. In particular, completing the matrix of 336 particle property versus biological effect may eventually permit read-across, allowing predictions to 337 be made about the potential effects of new MPs based on the properties of similar particles already tested. While progress is being made in this regard, we are still some way from being able 338 to implement the adverse outcome pathway paradigm, relating biological effects at cellular or sub-339 cellular level to impacts at the whole organism level which become relevant for risk assessment. Of 340 course, it must be borne in mind that there are currently important limitations to the analytical 341 342 chemistry toolbox in terms of being able to characterise very small polymer particles, with 343 microparticles of diameter ~1 µm typically representing the lower limit. Thus, characterising polymer particles with diameters in the nano-scale range, or tracking their transport in biota or 344 345 uptake in cells and tissues, remains an enormous challenge which still remains to be met.

It is clear that significant strides have been made over the past several years in understanding the potential threat MP/NPs may present, and interest in this area as a topic of research is growing rapidly. Even though there are a number of important aspects outlined herein which have not received sufficient attention to date, and unaddressed would hinder further advances in the area,

- the increasing body of literature in this field may be viewed as a measure of the scientific
- 351 community's resolve to answer these questions, ultimately relating materials' physical and chemical
- 352 properties to an organism's biological response and eventually to broader ecological effects.
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- 357

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807 Figure 2







#### Figure 3

