1	Effects of microscale particles in red mud amended artificial soils on
2	bioaccumulation of elements in <i>E. fetida</i>
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### 14 Abstract

Red mud (RM) contains large quantities of microscale particles  $< 1 \mu m$  and high 15 concentrations of potentially toxic elements. In this research, we have used two types of RM 16 of similar chemical properties but containing different quantities of micro-particles, to test 17 whether their size plays a role in the uptake of chemical elements by earthworm *Eisenia fetida*. 18 19 Earthworms were exposed for seven days to artificial soils (prepared in the laboratory 20 following a protocol) amended with increasing quantities of RM. Mortality of 86% occurred when earthworms were exposed to amended soil containing 46% of particles below 1 µm. 21 22 Surprisingly, tissue analyses have shown decreased concentrations of metals instead of the expected toxic effect. SEM analysis revealed that micro-particles strongly adhere to the 23 earthworm epidermis putting them under the large stress. Micro-particles in RM clog their 24

minute dermal pores of 90 nm to 735 nm in diameter, which size depends on whether the earthworm's body is contracted or stretched. Strong adhesion of micro-particles to earthworms' epidermis and blockage of their microsize pores prevented normal dermal respiration and absorption of chemical elements through their epithelium resulting in a decrease of most measured metals, especially essential elements potassium, calcium and iron, followed by the lethal outcomes.

Keywords: red mud, microscale particles, *Eisenia fetida*, dorsal pores, dermal pores, elements
uptake, potential deficiency of K, Ca and Fe, artificial soil test

33

## 1. Introduction

Red mud (RM) is a by-product of bauxite refinement using the Bayer process in order
to produce alumina. Depending on the quality of the bauxite, 1-2.5 tons of RM are generated
per ton of alumina produced (Paramguru et al., 2004). The Bayer process is more efficient
when the bauxite ore is reduced to a very fine particle size prior to reaction (Paramguru et al.,
2004), and this is reflected in the RM which usually contains a high amount of particles below
1 µm.

RM consists of Fe, Al, Ti, combined soda and silica as the bulk constituents (Paramguru 40 41 et al., 2004) and may contain elevated concentrations of potentially toxic metals (e.g. V, Cr, Ni, Cu, Zn, Pb) (Kutle et al., 2004), metalloids (e.g. As) (Obhođaš et al., 2012) and 42 43 radionuclides (Th, U) (Gu et al., 2017). However, the bioavailability of toxic metals and 44 metalloids is usually low (Ruyters et al., 2011) and radioactivity below hazardous levels (Obhođaš et al., 2012). RM is discharged into the sea or specially constructed pools and pounds 45 which may remain as caustic lakes long period after the plant closure (Obhođaš et al., 2012). 46 47 The huge quantities of disposed material, RM high alkalinity of pH values 10-12.5 (Wang et al., 2008) and its high salinity, which may exceed 20 dSm<sup>-1</sup> (Ruyters et al., 2011), are the main 48

concerns for the environment. The European Commission (EC) classifies RM as a mirror non-49 hazardous and a mirror hazardous waste (EC, 2018), while the US Environmental Protection 50 51 Agency (EPA) RM classifies as non-hazardous waste (EPA, 1984). According to the EC Directive 2008/98/EC, a hazardous waste means a waste that displays one or more of the 52 hazardous properties listed in Annex III (List of Waste, last amended by Commission Decision 53 2014/955/EU). The waste allocated to a mirror non-hazardous and mirror hazardous waste 54 55 needs further steps in the assessment for allocation to either a hazardous or non-hazardous 56 waste.

57 To date, the majority of ecological studies have been focused on toxicity effects of metals in RM (e.g. due to increased concentrations of V, Cr, Mn, Fe, Co, Ni, Cu, Zn, As, Cd, 58 Pb) (Brunori et al., 2005; Ruyters et al., 2011; Wang et al., 2008), but to our knowledge, no 59 research has been conducted to understand the effect of the RM microscale particles on living 60 organisms. Considering huge quantities of the RM disposed of the aluminum production plants 61 and different RM recycling practices (e.g. usage of RM for soil remediation and wastewater 62 treatment), potentially harmful microscale particles may be easily spread into the environment 63 and eventually enter the food chain. 64

65 Earthworms are a good indicator of the overall soil status (Fründ et al., 2010) as they play an important role in processing soil nutrients, organic matter, and control of soil 66 microorganisms. Also, they are very suitable for toxicological studies since potential harmful 67 effects can be easily observed in a relatively short period of time. They may take metals directly 68 from the soil by the absorption through the exterior vascularized epidermal surface (Lanno et 69 70 al., 2004). Using the method of oral gluing, it has been shown that earthworms may live normally for days without consuming any food (Vijver et al., 2003). Accordingly, it was 71 concluded that the dermal route is the uptake route of importance for nutrients and metals 72 absorption (Vijver et al., 2003). Nevertheless, it has been shown that adsorption through the 73

epidermis is not a rate-limiting step in the metals uptake by earthworms (Vijver et al., 2005),
but another intake channel together with the uptake via food ingestion. It is known that
earthworms are quite resistant to the accumulation of toxic metals, possessing efficient storage
and elimination mechanisms for their excessive concentrations (Ireland, 1977; Usmani and
Kumar, 2015). However, the dermal absorption of metals may be lethal if the uptake is too fast
for earthworms' regulatory mechanisms to adapt (Reinecke et al., 1997).

80 The goal of this research was to find the role of the RM microscale particles on the bioaccumulation of elements in earthworms. As a test organism, we have used the Eisenia 81 82 fetida. This is an ultra epigeic species (Schultz and Joutti, 2007), which rests in soil and comes out to the surface to feed on decaying organic materials. The species has been reported to be 83 tolerant of a wide range of pH and high conductivity and salinity values (Gunadi and Edwards, 84 2003; Owojori et al., 2008; Sharif et al., 2016). The presented results show that microscale 85 particles in RM may impair the normal dermal functioning of earthworms, causing a decrease 86 of almost all measured elements and potential deficiency in essential elements. The healthy 87 earthworms (those not exposed to an overcritical amount of RM microscale particles) were 88 able to mobilize and process the metals from the RM amended soils by their epithelium. 89

90

# 2. Materials and methods

#### 91 2.1 Bioassay

Adult *Eisenia fetida* earthworms with well-developed clitellae were exposed to two types of RM: i) RM1, obtained from the abounded caustic basins near the closed aluminum processing plant in Obrovac, Croatia and ii) RM2, from the flooded area along the Torna creek, Ajka, Hungary, collected 4 months after a catastrophic event in 2010 when basin dams burst to release about 800.000 m<sup>3</sup> of slurry (Ujaczki et al., 2015). RMs were dried at room temperature, grounded and sieved to particle diameter < 2 mm.

Artificial soil was created according to guidelines for testing of chemicals no. 222: 98 99 earthworm reproduction tests (OECD, 2004) using the 70% air-dried quartz sand, 20% kaolin 100 clay, and 10% sphagnum peat. The pH value was adjusted to  $6.0 \pm 0.5$  using the calcium carbonate. The soil moisture was set to 50% of water-holding capacity (OECD, 2004). 101 Artificial soil was amended by adding RM1 or RM2 in increasing quantities: 4%, 8%, 12%, 102 103 16%, 20%, 40% and 60%. RM1-C and RM2-C correspond to the control samples made only 104 of artificial soil. Soil mixtures were named RM1-4%, RM2-4%, etc., depending on the quantity of added RM. The chemical properties of RM1 and RM2 have been described elsewhere 105 106 (Hackenberger et al., 2019; Obhođaš et al., 2012; Ruyters et al., 2011). Soils were mixed for 24 hours before the exposure tests. After mixing, pH and electrical conductivity were measured 107 (Hackenberger et al., 2019). Before the beginning of the experiment, earthworms were placed 108 109 on a moist filter paper to clear their guts. Seven earthworms were added to each glass container containing 500 g of soil amended with RM. Each exposure was done in triplicate. All containers 110 111 were closed with a perforated lid and placed in the incubator under 16:8 light:dark ratio and constant temperature (20  $\pm$  1 °C) for 7 days. Afterward, the containers were emptied, 112 earthworms washed in distilled water, and placed on a moist filter paper to clear their guts. 113 114 Earthworms were homogenized with a Potter-Elvehjem homogenizer on ice with a phosphate buffer saline (0.1 M, pH 7.4) in a ratio of 1:5 w/v and centrifuged at 15,000 G for 30 minutes 115 at 4 °C. The bioassay experiment was done at the Department of Biology, University of Osijek, 116 Croatia. 117

118 **2.2 Analysis of soil samples** 

119 Soil mixtures to which earthworms were exposed were ground, homogenized and 120 sieved to the particle diameter < 2 mm. Approximately 1 g of sieved samples were crushed in 121 a mortar and sieved again ( $\Phi = 45 \mu m$ ) in order to press the pellets of 2.5 cm in diameter 122 weighing about 1 g. Pellets were analyzed using the EDXRF. The analysis was carried out with W anode and Mo secondary target in orthogonal geometry. Working parameters were set to 35
kV and 35 mA and irradiation time was 1000 s. X-ray spectra were collected with a Canberra
Si(Li) detector with 3 mm thickness, 30 mm<sup>2</sup> active area and 0.025 mm Be window thickness,
having a resolution of 170 eV (FWHM) at 5.9 keV. Spectra were analyzed using IAEA QXAS
software. Concentrations of elements K, Ca, Ti, V, Cr, Mn, Fe, Ni, Cu, Zn, Ga, As, Br, Rb, Sr,
Y, Zr, and Pb were obtained by direct comparison of count rates using the IAEA standard
reference material Soil-7.

The passive radioactivity of the soil mixtures was measured on pellets prepared for the 130 EDXRF analysis by analyzing  $U^{226}$ Ra and Th. The coaxial Ge gamma detector with a relative 131 efficiency of 20% was used. The detector was put in the shield composed of lead and iron in 132 order to reduce the natural radioactivity coming from the background. Samples were analyzed 133 individually for 22-25 hours. The activity and concentration of U/<sup>226</sup>Ra and Th, respectively, 134 were determined by using direct comparison with the IAEA standard reference materials 135 136 (IAEA 313-Stream Sediment, IAEA 375-Soil, and IAEA 314-Stream Sediment). It has to be notified that U and <sup>226</sup>Ra activity cannot be distinguished by radiometric measurement. 137

138 **2.3 Bioavailability analysis** 

Already prepared soil samples in the form of pellets were ground using the mortar and 139 pestle. Approximately 1 g of each sample was weighed and put in the test tube and filled with 140 10 mL of double-distilled water (sample:water ratio of 1:10). Samples were left to incubate on 141 the mixer for 24 hours (20 r/min). Subsequently, the samples were centrifuged for 20 minutes 142 at 5000 r/min. The supernatant was separated into another test tube by a pipette and then 143 vacuum filtered using the 0.45 µm cellulose nitrate filter paper. The pH value of the filtered 144 supernatant was adjusted to approximately 3.5 by adding diluted nitric acid and/or ammonia. 145 Ammonium pyrrolidine dithiocarbamate (APDC) 1% solution was freshly prepared and 1 mL 146

was added into each sample. Afterward, samples were left in the mixer for 20 minutes on 120
r/min. Mixed samples were then vacuum filtered through the 0.45 µm cellulose nitrate filter
paper and analyzed using the EDXRF set-up as described above. The irradiation time was 500
s. Concentrations of elements Fe, Ni, Cu, Zn, and As were determined from the calibration
lines prepared by Fluka liquid standard reference materials, 1000 mg/L. Calibration lines are
shown in Supplementary materials.

### 153 **2.4 Tissue analysis**

154 Tissue samples were collected as the residue after centrifuging the earthworms. 1.5 mL of double distilled water was added to each sample after removing the supernatant for analysis 155 of metallothionein content and enzyme activity. These results are presented elsewhere 156 (Hackenberger et al., 2019). The amount of 10 µL of gallium solution was added as the internal 157 standard. Samples were frozen using liquid nitrogen and lyophilized for 24 hours. Afterward, 158 all the samples were transferred onto the 0.45 µm cellulose nitrate filter paper. Samples were 159 analyzed using the EDXRF set-up as described above. The irradiation time was 500 s. 160 Concentrations of elements K, Ca, Ti, V, Cr, Mn, Fe, Ni, Cu, Zn, As, Se, Br, Rb, Sr in tissues 161 were obtained from the efficiency curve (see the Supplementary material) prepared by using 162 Fluka liquid standard reference materials. 163

#### 164 **2.5 Feces analysis**

165 Feces were collected from the moist filter papers on which the earthworms cleared their 166 guts after the experiment. Before the analysis, the samples were preserved in the fridge. To 167 each sample, 500  $\mu$ L of double distilled water was added and they were left for two days in 168 normal room temperature. Afterward, 50  $\mu$ L of 1% APDC solution was added to aggregate the 169 metals and the mixture was left for 20 minutes to stabilize. After stabilization, vacuum filtration 170 was performed using the cellulose nitrate filter with a pore size of 0.45  $\mu$ m. Samples were analyzed using the EDXRF set-up as described above. The irradiation time was 500 s.
Concentrations of elements K, Ca, Ti, V, Cr, Mn, Fe, Ni, Cu, Zn, Ga, As, Br, Rb, Sr, Y, Zr,
and Pb were obtained by direct comparison of counts rates with the IAEA standard reference
material Soil-7.

175 **2.6 Particle size distribution analysis** 

Approximately 1 g of samples that were centrifuged for the bioavailability analysis were additionally dispersed in deionized water and briefly treated with ultrasound. For the laser diffraction, LS 13320, Beckman Coulter Ltd. was used with the working parameters of R.I. = 1.53, R.I.I. = 0.1, R.I.fluid = 1.33.

# 180 2.7 Earthworm pore size analysis using AFM

181 An earthworm was taken from the artificial soil, rinsed, frozen using liquid nitrogen, and left in a lyophilizer for 24 hours. It was glued to a metal disc and analyzed using Multimode 182 183 AFM with Nanoscope IIIa controller (Bruker) and vertical engagement (JV) 125 µm scanner in contact mode. Sharpened silicon-nitride tips were used (NP-S, Bruker, nom. freq. 16-28 184 kHz, nom. spring constant of 0.12 N/m). The force was kept at the lowest possible value in 185 order to minimize the forces of interaction between the tip and the surface. The linear scanning 186 rate was optimized between 1.5 and 2 Hz with a scan resolution of 512 samples per line. 187 Processing and analysis of images was carried out using NanoScope<sup>TM</sup> software (Bruker, 188 version V614r1). All images presented are raw data except for the first order two-dimensional 189 flattening. Measurements were performed in air at room temperature and 50-60% relative 190 humidity. 191

## 192 **2.8 Earthworm pore size analysis using FE-SEM**

193 The same earthworm used for AFM analysis was later investigated by the FE-SEM,194 JSM-7000F, under accelerating voltage of 1 kV, to confirm the AFM measurements of the

*E. fetida* microsize pores. After the results were confirmed, another earthworm exposed for 4
hours to soil mixture RM1-40% was frozen in liquid nitrogen without rinsing, lyophilized for
24 hours, and inspected using FE-SEM with the same working parameters. In addition, the
elemental composition of FE-SEM scanned microscale particles of the RM1-40% soil mixture
were analyzed by the Energy Dispersive X-Ray Spectrometry (EDS) under an accelerating
voltage of 10 kV, while images were scanned at 5 kV.

### 201 2.9 Statistics

The differences in distributions of results for bioavailability of RM1 and RM2 soil mixtures were tested by using the Mann-Whitney U Test (ANOVA) at significant level p < 0.05. Spearman Rank Order analysis at a significant level p < 0.05 and Kendall Tau analysis at significant level p < 0.1 were used to check for correlations between concentrations of the same elements in different matrices (soil concentrations, the bioavailability of soil elements, tissue and feces concentrations). Statistics were calculated using Statistica 6 software.

#### 208 **3. Results**

### 209 3.1 Bioassay

Earthworms survived all concentrations of added RM2. In the RM1 amended soils, earthworms survived lower concentrations of RM, 14% earthworms died in RM1-40% and only 14% survived in RM1-60% (Hackenberger et al., 2019).

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## 214 **3.2 Soil and bioavailability analysis**

Soils containing the same quantities of RM1 and RM2 had similar pH value (Hackenberger et al., 2019) in the range 7.07-8.13, which is suitable for the growth of the *E*. *fetida* (Gunadi and Edwards, 2003). Measured radioactivity level with respect to U and Th

concentrations was highest in RM1-40% soil mixture (total was 1270 Bq), but well below the 218 radiological risk for earthworms (Popic et al., 2012; Sheppard et al., 2005). The highest 219 220 conductivity was found in RM1-60% soil mixture (1.296 d/Sm) (Hackenberger et al., 2019), which was well below the risk level for the earthworms' survival (Gunadi and Edwards, 2003; 221 Sharif et al., 2016). The results of pH values, conductivity and radioactivity measurements are 222 223 given in the Supplementary material. The content of 18 chemical elements was identified in 224 soils amended with two kinds of RM. RM1 soil mixtures had higher concentrations of Ti, V, Cr, Mn, Fe, Ni, Sr, Y, Zr, and Pb, while RM2 soil mixtures had higher concentrations of K, 225 226 Cu, Zn, Ga, Br, and Rb. The addition of both RMs resulted in metal concentrations increase, except for K and Rb, which concentrations were decreasing, and Cu and Zn, which 227 concentrations were decreasing in RM1 mixtures but were approximately stable in RM2. 228 Unlike in RM2 mixtures, Ga concentrations were decreasing and Sr concentrations were 229 increasing with increasing quantities of added RM1. The figure showing concentrations 230 231 diagrams of analyzed elements in soil mixtures is given in the Supplementary material.

Although concentrations of measured elements in RM1 and RM2 soil mixtures were statistically significantly different, the analysis did not show any significant statistical difference in the bioavailability of analyzed elements (Mann-Whitney U Test, p < 0.05). Fig.1 displays the diagrams of bioavailable concentrations of elements measured in RM1 and RM2 soil mixtures. Tables showing results of concentration levels in soil mixtures and their bioavailability are presented in the Supplementary material.

238

### Figure 1

## 239 **3.3 Tissues and feces analysis**

240 The concentration of 15 elements were analyzed in the earthworm tissue (Fig.2).241 Earthworms exposed to RM2 had higher concentrations of nearly every measured element,

except Se and Br, which were higher in RM1 exposed earthworms, and Rb, which 242 concentrations were similar in both RM1 and RM2 soil mixtures. A significant difference in 243 244 earthworms' tissue was found by using Mann-Whitney U Test for concentrations of K, Ca, Ti, V, Cr, Mn, Fe, Ni, As, and Sr between earthworms exposed to RM1 and RM2 soil mixtures (p 245 < 0.05). The results are shown in the Supplementary materials. Most of the concentration levels 246 247 start decreasing at exposure to 40% and 60% of RM1 and at 60% of RM2. Concentrations of 248 K and Rb in earthworm tissues were decreasing with the addition of increasing quantities for 249 both RM1 and RM2 exposed earthworms.

250

## Figure 2

The 18 elements were identified in the earthworm feces. Feces were only available from 251 earthworms exposed to soil mixtures containing up to 20% of RM1 and up to 40% of RM2. 252 Also, with the addition of larger quantities of RM, available feces were obtained in such a low 253 amount enough to create only one sample for analysis. In general, concentrations of elements 254 255 were higher in earthworms exposed to soil mixtures containing RM2. While concentration levels in feces were mostly increasing with increasing quantities of RM2, the trend was mostly 256 negative for concentration levels in feces of earthworms exposed to increasing quantities of 257 258 RM1. Tables with results of concentrations analyzed in earthworms' tissue and feces are presented in the Supplementary material as well as the figure showing diagrams of earthworms' 259 feces concentrations. 260

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262 **3.4 Particle size distribution** 

Soil mixtures containing both types of RM consisted largely of microscale (0.1-1  $\mu$ m) and nanoscale (< 100 nm) particles, however, RM1 amended soils had mostly twice as much microscale and nanoscale particles (in this study referred as microscale particles < 1  $\mu$ m or microscale particles) compared to samples with the addition of RM2. Consequently, RM1 amended soils had lower  $M_z$  (mean grain size) and  $D_{10}$ ,  $D_{50}$ , and  $D_{90}$  values (intercepts for 10%, 50%, and 90% of the cumulative volume). Fig.3 presents particle size distributions analyzed in soil mixtures with increasing quantities of RM1 and RM2. Tables presenting Mz,  $D_{10}$ ,  $D_{50}$ , and  $D_{90}$  values are given in the Supplementary material.

271

# Figure 3

## 272 **3.5 Pore size analysis**

Size of the minute dermal pores of the *E. fetida* resting in the artificial soil was found to be in 273 100 - 460 nm range by AFM and in 90 - 420 nm range by FE-SEM, while dorsal pores were 274 fully closed. E. fetida exposed for 4 hours to soil amended with 40% of RM1, containing 31.6% 275 276 of microscale particles, was mostly attempting to escape from the container, vigorously trying to get rid of the particles that were strongly adhered to its epithelium. The earthworm was fully 277 stretched for all the time of the exposure. The size of its dermal pores was determined to be in 278 315 - 735 nm range. Dorsal pores were fully opened, 75 x 43 µm in diameter, seeping huge 279 280 amounts of coelomic fluid. Minute dermal pores were found in the clusters irregularly distributed along the earthworm epithelium. They were opened, partially closed, or completely 281 closed indicating active involvement in the normal physiological functioning of the epithelium. 282 Fig.4 shows the AFM image of the epidermal surface of the E. fetida exposed to control soil. 283 Fig.5 shows the FE-SEM image of *E. fetida* exposed to control soil and RM1-40% soil mixture. 284 FE-SEM images of RM1-40% soil mixture are presented in Fig.6 together with the results of 285 the Energy Dispersive X-Ray Spectroscopy (EDS) analysis. 286

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### Figure 4

Figure 5

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#### Figure 6

## 290 **3.6 Correlation analysis**

291 The Spearman Rank Order correlation analysis for concentration levels of the same element measured in different matrices (soil, the bioavailability of soil elements, tissue, and 292 feces) for RM2 amended soils confirmed significant correlations (p < 0.05) between soil/tissue 293 294 (Ca, Ti, V, Cr, Mn, Fe, As), soil/feces (Ca, Ti, V, Cr, Mn, Fe, Ni, Ga, As), tissue/feces (Ca, Ti, V, Cr, Mn, Fe, Ni, As, Sr) and bioavailability/feces (-Zn). All correlations were positive except 295 296 the correlation found for Zn between bioavailability and feces. Very few correlations were found for RM1 amended soils: tissue/feces (-Ti, -Cr, -Fe) and soil/feces (K, Cu, Rb). 297 298 Correlations found for tissue/feces matrices were all negative, while correlations between 299 soil/feces matrices were all positive. No correlations were found between soil and tissue for RM1 soil mixtures. In addition, no correlations were found in soil/bioavailability and 300 bioavailability/tissue matrices for both RM1 and RM2 amended soils. 301

At a lower significant level (p < 0.1) and by using Kendall Tau analysis, correlations were found between the amount of microscale particles  $< 1 \mu m$  and bioavailability for RM2 amended soils (-Zn, As). Bioavailability of Zn decreased while concentrations of As increased with an increased amount of microparticles. More detailed results of the correlation analyses are given in the Supplementary materials.

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# **4. Discussion**

**309 4.1 Uptake routes and bioaccumulation** 

Soils amended with the same quantities of two kinds of RM used in this study have hadsimilar pH value and bioavailability of chemical elements, yet the earthworm mortality

occurred only in soils amended with RM1 containing higher quantities of microscale particles. 312 Surprisingly, the analysis of the earthworm tissue in our research revealed that the mortality in 313 314 earthworms exposed to RM1 did not occur because of the toxic effects. Instead, a decrease in tissue concentration levels was noticed in earthworms exposed to RM1-40% (containing 315 31.6% of particles  $< 1 \mu m$ ) and RM1-60% (containing 46.1% of particles  $< 1 \mu m$ ) resulting in 316 14% and 86% mortality, respectively. Even though no earthworms died in RM2 soil mixtures, 317 a visible decrease in tissue concentration levels has occurred at exposure to RM2-60% 318 (containing 23.3% of particles  $< 1 \mu m$ ). In addition, the observed lack of earthworms' feces 319 320 excretion in RM1-40%, RM1-60%, and RM2-60% and observed decreased excretion in RM1-16% (containing 24.8 of particles < 1  $\mu$ m), and RM1-20% (containing 33.2% of particles < 1 321 µm), indicate a slowing of the earthworms' metabolism at abundances of 23-25% of RM 322 particles  $< 1 \mu m$ . 323

324 RM has a large neutralizing and absorbance capacity, therefore the low bioavailability of elements was presumed. However, no relationship has been found between bioavailability 325 and tissue, neither for earthworms exposed to RM1 or RM2 soil mixtures. Being an ultra 326 epigeic species it is highly unlikely that E. fetida feeds on soil particles. This has been 327 confirmed by Unrine et al. (2010) who did not find soil particles in the gut tissue regions of E. 328 fetida exposed to Cu ions and Cu nanoparticles. Considering this, it implies that earthworms 329 do not use exclusively the passive diffusion for dermal uptake of nutrients as suggested by 330 other studies (Sinha et al., 2008; Yu et al., 2006), but also active biodegradation of soil particles 331 332 for obtaining nutrients, metals in particular. Active biological reduction of Cu in soil has been confirmed by Manceau et al. (2008) and discussed by Unrine et al. (2010). It is reasonable to 333 believe that other metals behave similarly. 334

Many researchers observed positive soil vs. tissue correlations in earthworms (e.g. for 335 Cr, Cu, Zn, Cd, and Pb) (Morgan and Morgan, 1988; Unrine et al., 2010; van Gestel et al., 336 337 1993). Soil/tissue correlations for many elements have been observed in the present study, but only in earthworms exposed to increased amounts of added RM2. The complete lack of 338 soil/tissue correlations observed in earthworms exposed to RM1 was surprising as well as 339 drastically reduced tissue and feces concentrations. The deficiency of essential elements 340 341 (Depledge and Rainbow, 1990) in earthworms is rarely reported (van Gestel et al., 2010). Most reports quote regulated levels of Zn and Cu in E. fetida and E. andrei between 80 and 120 342 mgkg<sup>-1</sup> (van Gestel et al., 2010). However, these levels increase in highly contaminated soils. 343 Our observations show approximately constant concentrations of Cu (ranging between 20 and 344 50 mgkg<sup>-1</sup>) and Zn (ranging between 100 and 140 mgkg<sup>-1</sup>) in *E. fetida* tissue for both RM1 and 345 RM2 soil mixtures. The constant Cu and Zn concentrations in earthworm tissue were observed 346 regardless of decreasing Cu and Zn concentrations in RM1 amended soils. This confirms the 347 348 findings of other researchers that Cu and Zn accumulation in earthworms is physiologically regulated (Morgan and Morgan, 1988; van Gestel et al., 1993). The As and Se (metalloids), 349 and especially Br (non-mental) were the only elements which concentrations were higher in 350 earthworms' tissue exposed to RM1-60% compared to control. Br as a halogen has a high 351 affinity for organic matter. Its increased tissue concentrations might be indicative for more 352 active oral intake of food by RM1-60% exposed earthworms whose dermal uptake was 353 impaired. The importance of both, oral and dermal intake of nutrients, is well established for 354 earthworms (Diez-Ortiz et al., 2015; Vijver et al., 2003, 2005). However, additional (as yet 355 undetermined) factors besides oral intake may be responsible for increased tissue 356 concentrations of As, Se, and Br which in RM1 exposed earthworms accumulated differently 357 than metals. 358

In contrast to essential trace elements Cu and Zn, which tissue concentrations remained 359 stable throughout the trial, the essential elements K, Ca, and Fe are required in much higher 360 361 concentrations. The earthworms exposed to RM1-60% were probably not able to compensate by oral intake the requirements for K, Ca, and Fe, resulting in drastically reduced tissue 362 concentrations of these elements compared to control. The tissue concentrations of K, Ca and 363 Fe in RM1-60% earthworms when compared to control decreased from 800 mgkg<sup>-1</sup>, 1800 364 mgkg<sup>-1</sup> and 1900 mgkg<sup>-1</sup> to 400 mgkg<sup>-1</sup>, 1200 mgkg<sup>-1</sup> and 270 mgkg<sup>-1</sup>, respectively. It might be 365 hypothesized that 86% mortality rate in this group has occurred because of the deficiency in 366 367 these elements; although some other underlying mechanisms might occur, such as suffocation due to dermal desiccation, that cannot be discerned. It is worthwhile to notice the results of the 368 study of *E. fetida*'s avoidance of biochar amended soils (Li et al., 2011). The researchers have 369 found that the increasing the water holding capacity of biochar amended soils from 85% to 370 100% might depress the negative effect of earthworm avoidance, most likely caused by 371 372 desiccation (Li et al., 2011).

## **4.2 Link between deficiency and microscale particles**

The lack of soil vs. tissue correlations in earthworms exposed to RM1 indicates 374 impairment of dermal function, which has been reflected as decreased concentrations measured 375 in earthworms' tissue after being exposed to RM1. This was not the case with RM2 exposed 376 377 earthworms. RM2 soil mixtures never exceeded the total amount of 24% of micro-particles < 1 µm, whereas RM1 exposed earthworms exceeded this value starting from RM1-16%. Soil 378 particles adhere more or less firmly to the earthworm epithelium depending on particle size. 379 Earthworms adsorb chemical elements through the epithelium, either from the soil pore water 380 381 or from the adhered particles. The adhered particles may be a nuisance to the earthworm's movement. In this research, we hypothesize that adhered microscale particles significantly 382 interact with the earthworm epidermis either by interrupting their normal dermal respiration or 383

by desiccating epidermis, thus disabling uptake of essential elements by dermal absorption. 384 Both processes would be followed by the slowing of the earthworms' metabolism and 385 386 consequently reducing the concentrations of the essential elements with lethal outcome. Earthworm exposed to RM1-40% and scanned by FE-SEM had its dorsal pores fully opened, 387 seeping huge quantities of the coelomic fluid. Its dermal pores were also fully opened, ranging 388 from 315 to 735 nm in diameter, indicating earthworm suffering from suffocation and/or 389 390 desiccation. From the observation of this strong response to physical irritation by microscale particles adhered to the earthworm epithelium, we concluded that decreasing in tissue 391 392 concentrations and consequently mortality in RM1-40% and RM1-60% occurred because of the strong adhesion of microscale particles to the earthworms' epithelium and blockage of their 393 minute dermal pores. These minute dermal pores presumably have a role in moistening and 394 395 dermal respiration, thus enabling normal uptake of oxygen and metals by dermal absorption (Edwards and Lofty, 1977). It is possible that these minute dermal pores are directly involved 396 397 in respiration, enabling more efficient uptake and transport of oxygen to epithelium vascular system by increasing the active surface and/or by increasing the partial pressure of oxygen at 398 the epithelium surface/vascular interface. 399

400 Perhaps the observations made in the present study may explain the underlying mechanisms responsible for effects observed in studies of Laossi et al. (2010) and Van den 401 Hoogen et al. (2019). In the Laossi et al. (2010) review article, it has been noted that 402 earthworms enhance the plant growth, however; the effect was notable for sandy soils 403 (generally nutrient-poor soils), but it was not observed in clayey soil (generally nutrient-rich 404 405 soil). Furthermore, the recent study of Van den Hoogen et al. (2019) on the global abundance of nematode, another group of important soil organisms, has shown that the soil characteristics 406 are the main drivers of the nematode global distribution, with further implications on global 407 carbon cycling. The highest nematode abundances were found in the sub-Arctic region, while 408

abundances were relatively low in tropical regions (Van den Hoogen et al., 2019), where red
clay soils prevail. Results presented in these studies indirectly show that soil texture indeed
significantly influence the soil organisms such as earthworms and nematode.

412 **5.** Conclusion

Our results have shown that earthworms exposed to high amounts of microscale particles 413 in RM amended soils were subjected to detrimental effects in response to physical irritation by 414 microscale particles strongly adhered to their epidermal surface, thus causing blockage of 415 416 earthworms microsize pores, desiccation of epidermis and prevention of normal dermal respiration and absorption of essential metals. Problems in the normal functioning of 417 earthworms' metabolisms, observed as feces extraction decreasing or lacking, occurred at the 418 exposure of earthworms to soils containing more than 20% of particles  $< 1 \, \mu m$ . The exposure 419 of earthworms to soils containing 30% or more of particles  $< 1 \,\mu$ m increased the mortality risk 420 of the *E. fetida* to 14%. The soil mixtures containing 46% of particles  $< 1 \mu m$  increased 421 mortality risk to 86%. These effects have to be envisaged when planning RM discharging or 422 recycling activities such is the RM soil amendment. 423

# 424 **Conflicts of interest**

425 There are no conflicts to declare.

# 426 Acknowledgments

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550 Figure Caption:

- 551 Figure 1. Bioavailable concentrations of elements Fe, Ni, Cu, Zn, and As analyzed in artificial
- soils and soil mixtures containing increasing quantities of RM1 and RM2.

Figure 2. Concentrations of elements K, Ca, Ti, V, Cr, Mn, Fe, Ni, Cu, Zn, As, Se, Br, Rb, and
Sr measured in the tissue of earthworms exposed to artificial soils and soil mixtures containing
increasing quantities of RM1 and RM2. The horizontal line presents the level of concentrations
measured in earthworms exposed to RM1 artificial soil.

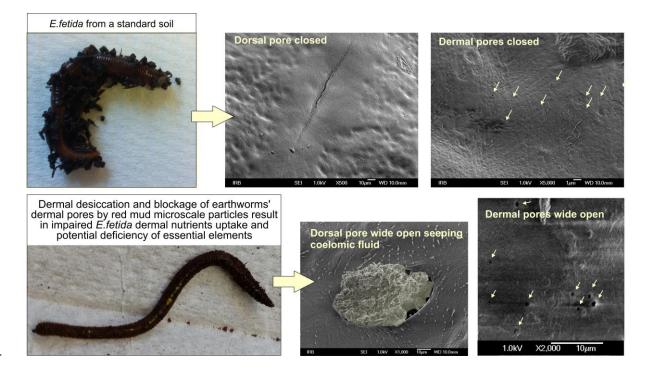
Figure 3. Particle size distributions analyzed in soil mixtures containing increasing quantitiesof RM1 and RM2, (C-artificial soil/control).

Figure 4. AFM image of the epidermal surface and microsize pores of *E. fetida* exposed to control soil: A. Position of the AFM probe taken by CCD camera; B. Surface plot of height data (scan size  $5\mu m \ge 5 \mu m$ , vertical scale 250 nm); C. Deflection image of the marked region shown at a higher resolution (scan size  $3\mu m \ge 3\mu m$ ); D. Corresponding topographic profiles along indicated lines.

Figure 5. FE-SEM image of *E. fetida*: A. A dorsal pore of the earthworm exposed to artificial soil; B. A dorsal pore of the earthworm exposed to RM1-40% soil mixture; C. Epidermal surface and microsize pores of the earthworm exposed to artificial soil; D. Epidermal surface and microsize pore of the earthworm exposed to RM1-40% soil mixture.

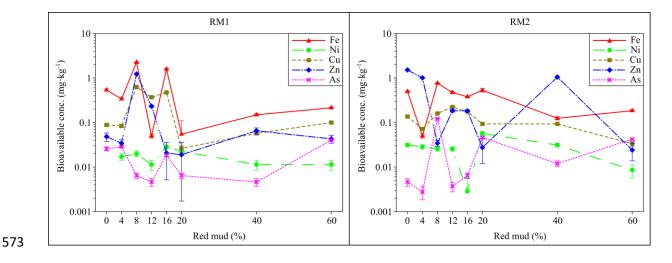
Figure 6. FE-SEM images of different scales and results of EDS analysis of RM1-40% soilmixture.

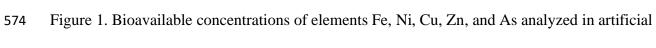
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# 572 Graphical abstract





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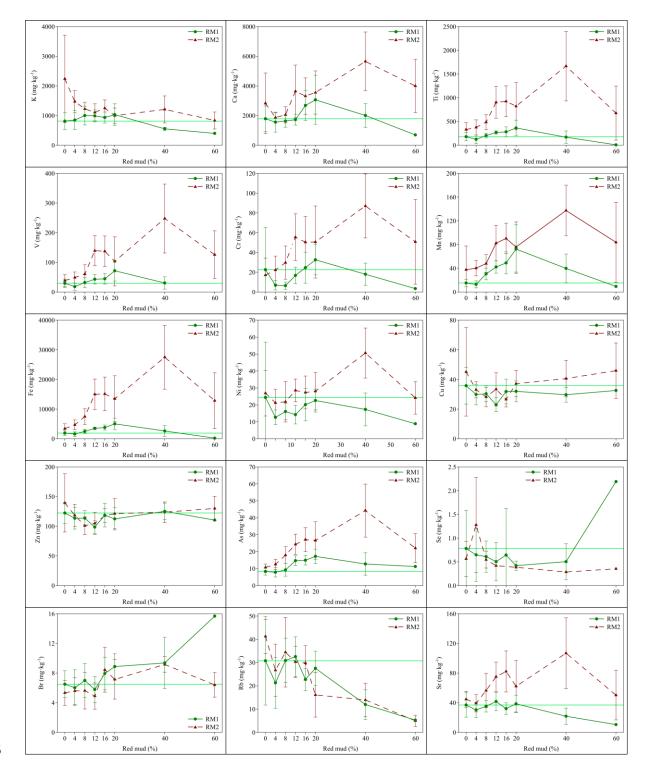
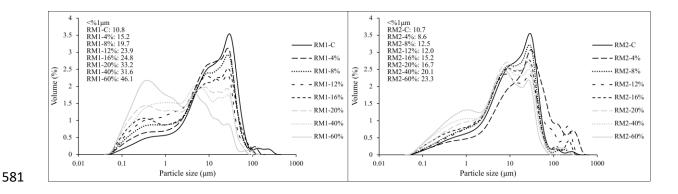


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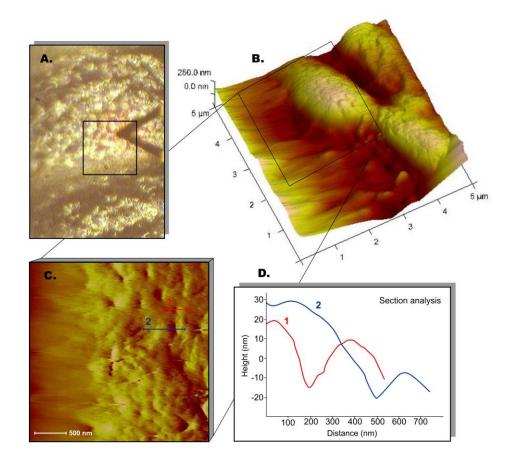
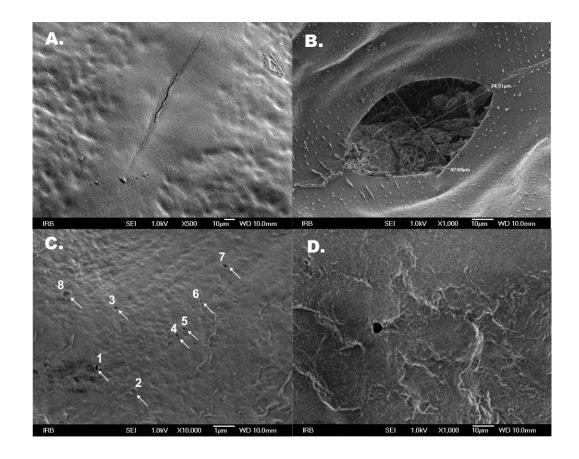


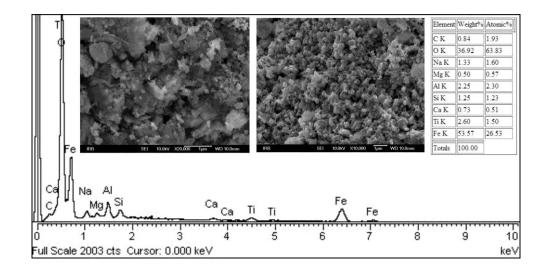


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