

# An Insight into the Growth Mechanism and Photocatalytic Behavior of Tubular Hierarchical ZnO Structures: An Integrated Experimental and Theoretical Approach

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**ABSTRACT:** The hydrothermal synthesis of zinc oxide (ZnO) particles from zinc acetylacetonate monohydrate in "pure" aqueous solution and in aqueous NaOH solution at 90 °C is reported. The structural and morphological properties of ZnO particles were investigated by Powder X-ray Diffraction (PXRD), X-ray Absorption Spectroscopy (XAS), Field-Emission Scanning Electron Microscopy (FE-SEM) and Transmission Electron Microscopy (TEM). The effect of NaOH on the growth mechanism and photocatalytic performance of hierarchical ZnO structures was investigated. The experimental findings, supported by results of quantum chemical calculations at the level of Density Functional Theory (DFT), were used to propose the mechanism of nucleation and preferential growth of finely-tuned hollow and non-hollow ZnO structures and their effects on the photocatalytic activity. The calculations indicate that the process of ZnO nucleation in "pure" aqueous solution mainly proceeds by the reaction of small monomers, while tetramers play a crucial role in aqueous NaOH solution. Both the preferred ZnO nanostructure and microstructure growth processes are driven by the O—H···O hydrogen bonds as controlling elements. The calculated values of the  $E_{O\cdots H}$  interaction indicate a stronger interaction via O—H···O hydrogen bonds in "pure" aqueous media ( $E_{O\cdots H} = -11.73 \text{ kcal mol}^{-1}$ ),

compared to those obtained in aqueous NaOH solution ( $E_{O\cdots H} = -8.41 \text{ kcal mol}^{-1}$ ). The specific structural motif of the  $(\text{ZnO}-\text{H}_2\text{O})_{12}$  dodecamers with calculated negative  $\Delta G^*_{\text{INT}}$  release free energy indicates that the formation of an anisotropic nanocrystalline ZnO with the  $c$ -axis as the primary growth direction is spontaneous and accelerated exclusively in "pure" aqueous solution, whereas it is an unfavorable endergonic process in aqueous NaOH solution ( $\Delta G^*_{\text{INT}} > 0$ ). Efforts have been made to determine the photocatalytic efficiency of the ZnO samples based on the X-ray Absorption Spectroscopy (XAS) measurements. ZnO particles obtained in "pure" aqueous solution show the highest photocatalytic activity due to the presence of a larger amount of oxygen vacancies.

## 1. INTRODUCTION

Zinc oxide is one of the most important and widely used metal oxides with applications in very diverse fields ranging from catalysis to electronics, energy harvesting, cosmetics and biomedicine. The high chemical stability and photostability are useful prerequisites for the use of ZnO as a photocatalyst.<sup>1,2</sup> ZnO is a much better conductor of electrons and holes than many other semiconducting photocatalysts with the advantage that the catalyzed reaction can be carried out in an almost neutral solution.<sup>3</sup> For these reasons, as well as its similar band gap to  $\text{TiO}_2$  and lower toxicity, ZnO is the gold standard in photocatalytic wastewater treatment as a replacement for  $\text{TiO}_2$ .<sup>4</sup> Some researchers<sup>5,6</sup> reported that the photocatalytic efficiency of ZnO nanocrystals mainly depends on the type and concentration of oxygen defects. Due to the advantages of the photocatalytic process, a review paper<sup>7</sup> was recently published on the selection of suitable methods for the preparation of photocatalysts to obtain the desired size of ZnO nanostructures, which were attempted to improve their photoreaction. Undoubtedly, ZnO has aroused worldwide research interest due to its low toxicity, biocompatibility and a variety of morphologies.<sup>8-11</sup> Morphologically diverse ZnO nanostructures allow wide area of application due to the fact that the behavior of ZnO is related to their morphology. Wiesmann et al.<sup>11</sup> reported a significant role of ZnO NPs as innovative agents for medical applications for therapeutic purposes in cancer medicine.

Among the various synthesis methods, solution-based approaches are advantageous as they provide an excellent controllability of the growth mechanism of ZnO structures. ZnO also serves as a biocompatible, antibacterial and antiviral agent, which enables its use in biomedical applications.<sup>12,13</sup> Inspired by their proven curative effects on herpes simplex and influenza

viruses<sup>13</sup> ZnO nanoparticles (NPs) were subjected to various *in vitro* characterizations and cellular uptake studies in human lung fibroblast cells.<sup>14</sup> The mechanism of ZnO NPs against the COVID -19 targets *via* hydrogen bond formation has been reported in an article published in 2021.<sup>14</sup> The reactivity of ZnO in most applications is due to its interaction with an aqueous solvent. There are few studies on the molecular and dissociative adsorption of H<sub>2</sub>O on ZnO surfaces.<sup>15-17</sup> New technological applications in catalysis and bactericidal formulation have emerged for ZnO NPs due to their ability to generate reactive oxygen species by promoting H<sub>2</sub>O dissociation, which has been investigated by DFT calculations.<sup>17</sup> The great affinity of H<sub>2</sub>O molecules for ZnO, which induces the spontaneous dissociation of H<sub>2</sub>O, is underlined by the study of the electronic properties of nearly spherical ZnO NPs with diameters ranging from 9 to 15 Å. The multifunctional behavior of ZnO particles is partly determined by the electronic structure, which depends on the size, shape, and crystallographic facets on the particle surfaces.<sup>18-20</sup> There are few studies on the electronic structural properties of differently prepared ZnO particles determined by the Extended X-ray Absorption Fine Structure (EXAFS) analysis.<sup>21,22</sup> Therefore, for highly technical, biomedical or photocatalytic applications, the development of a size- and morphology-controlled ZnO synthesis route is of utmost importance. In particular, Šarić and co-workers<sup>23-27</sup> have reported in several recent papers a strong influence of the synthesis route on the formation of ZnO particles, their size and geometrical shape. Nevertheless, among the various solution-based synthesis methods, the hydrothermal route is said to be attractive because of its simplicity.<sup>28,29</sup>

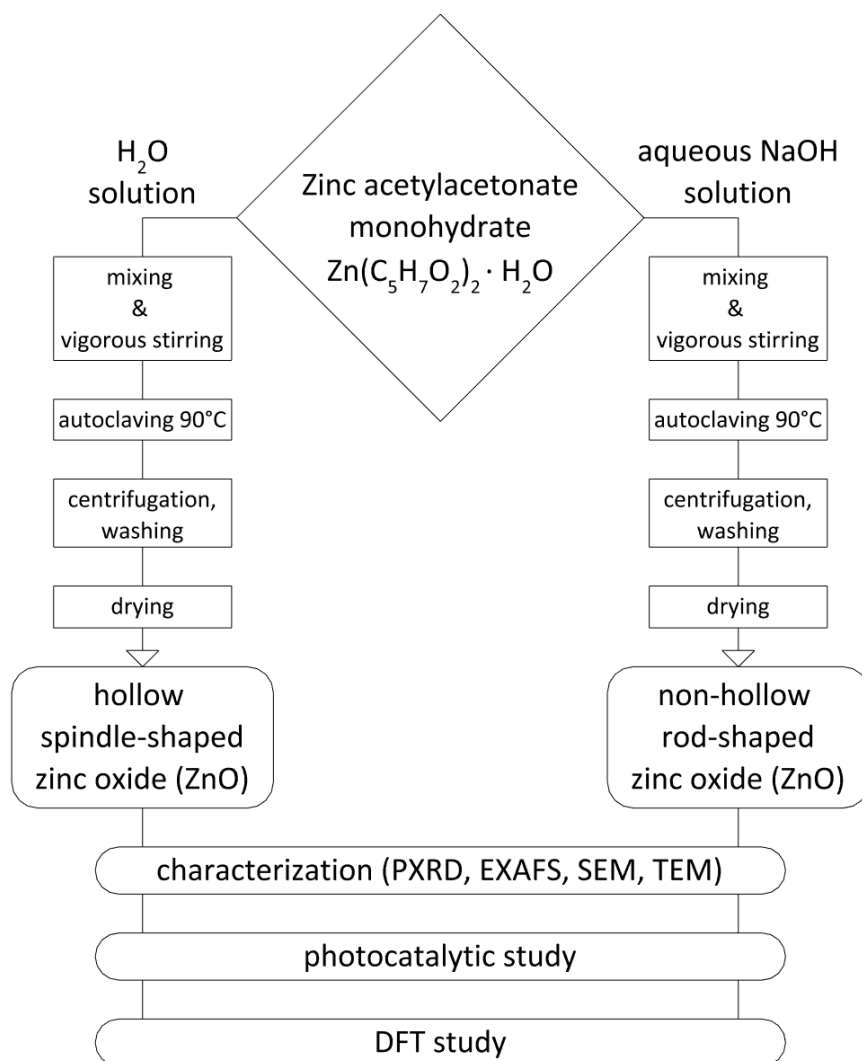
Anžlovar et al. studied the influence of various inorganic hydroxides on the formation and morphology of ZnO NPs.<sup>30</sup> The authors emphasized the molar ratio between hydroxide and precursor, which plays an important role in the formation of ZnO. Several research studies have shown that a simple tuning of the NaOH/Zn(acac)<sub>2</sub> ratio<sup>6,31</sup> as well as the rate of addition of NaOH to the Zn(acac)<sub>2</sub> solution<sup>32</sup> can contribute to different growth mechanisms of ZnO nanostructures of different shapes and sizes. The most interesting results show that low and high concentrations of OH<sup>-</sup> ions lead to ZnO growth in uniform and anisotropic directions, respectively, resulting in either spherical or anisotropic nanorods, while medium concentrations of OH<sup>-</sup> ions contribute to a simultaneous mixing of both morphologies. When a relatively slow addition of OH<sup>-</sup> occurred, the ZnO nanostructures grew in a uniform direction, leading to the formation of hexagonal prisms. However, if both precursor solutions (NaOH and Zn(acac)<sub>2</sub>) were mixed simultaneously, the reaction would proceed faster and the growth along the [0001] direction would be more favorable. Undoubtedly, among these different shapes of ZnO nanostructures, a spherical shaped ZnO exhibit the highest photocatalytic efficiency which can

be attributed to their large content of oxygen vacancies. Huang et al. found that the predominant facets of spherical ZnO NPs are polar {0001} facets.<sup>33</sup> In addition, a relationship between the particle size and the optical properties of ZnO NPs was investigated.<sup>23,34</sup> The red shift of the absorption edge and the decreased bandgap energies are clearly visible as the size of the microspheres increases.<sup>23</sup> Similarly, the defects in the ZnO nanorods due to the "oriented attachment" of the NPs are the reason for the red-shifted absorption.<sup>34</sup> Numerous studies have been reported on the formation of hollow hexagonal tube-like and tower-like ZnO structures.<sup>35-38</sup> The authors emphasized the interesting correlation between the growth mechanism of tower-like and tube-like ZnO. Among all the structured ZnO materials, hollow tubular structures are of great interest, which may provide opportunities for various new applications in catalysis, optical fibers,<sup>39</sup> and storage and release systems.<sup>40</sup> However, it is necessary to prepare tubular material by a simple synthesis route under mild experimental conditions.<sup>29</sup> Regarding the possible growth mechanism of single-crystal tubular ZnO whiskers, Hu and Bando<sup>41</sup> explained a single crystallographic [0001] direction by the "lowest-energy argument" in which stacking along the hexagonal (001) plane of the wurtzite structure of ZnO as the most densely packed plane is energetically favorable.

In this article, we report a synergistic experimental and theoretical study of the growth mechanism and photocatalytic behavior of finely tuned hollow and non-hollow hierarchical ZnO structures. By changing the reaction medium from "pure" aqueous to aqueous alkaline NaOH solution and varying the aging time of the precursor solutions, the subtle differences in the microstructural and morphological features were elaborated by PXRD measurements and verified by comparative SEM and TEM micrographs. The effect of preparation conditions and aging time variation on the photocatalytic performance of the ZnO particles was monitored using degradation over the Rhodamine B (RhB) dye-pollutant solution. A complementary understanding of the microstructure of the differently prepared ZnO particles was obtained by a complex EXAFS data analysis and calculations at DFT level, which filtered out the best ZnO candidates with the desired microstructural and morphological properties. The aim of the integrated experimental and theoretical approach was to gain a deeper insight into the formation and growth mechanism of the hierarchical ZnO structure, as well as to achieve a good control of its size and morphology in order to improve its photocatalytic activity.

## 2. METHODS AND MATERIALS

**Materials and Synthesis.** Zinc acetylacetonate monohydrate [ $(\text{Zn}(\text{C}_5\text{H}_7\text{O}_2)_2 \cdot \text{H}_2\text{O}$ ; *Alfa Aeser*<sup>®</sup> 96%, Germany] and sodium hydroxide (NaOH; *Kemika* reagent grade 98%; Croatia) were used to prepare the samples. Milli-Q water was prepared in our laboratory. ZnO powder samples were prepared by a hydrothermal process. Sample notation and the experimental conditions used for their preparation are given in Table 1. Fig. 1 shows the flow chart of the preparation of the ZnO particles. In a typical synthetic procedure, zinc acetylacetonate monohydrate ( $\text{Zn}(\text{acac})_2$ ) was mixed in a predetermined amount with water (series A samples) or aqueous NaOH solution (series N samples), as described in our previous report.<sup>29</sup> The transparent precursor solution was autoclaved at 90 °C for up to 7 days. After an appropriate aging time, the obtained precipitate was separated from the supernatant using a centrifuge, additionally rinsed with ethanol and Milli-Q water, and then dried.



**Figure 1.** Schematic flow chart of the synthesis of ZnO particles.

**Powder X-ray Diffraction Measurements.** PXRD data were collected in reflection mode with monochromatic Cu K $\alpha$  radiation ( $\lambda = 1.54056 \text{ \AA}$ ) using a Philips PW1880 diffractometer with a step size of  $0.02^\circ$  in the  $2\theta$  range between  $25^\circ$  and  $80^\circ$ . Crystal structure refinement was performed using the Rietveld algorithm<sup>42</sup> within the software GSAS II.<sup>43</sup> The crystallite sizes in the samples measured at room temperature (RT) were calculated using the phase-fitting method (i.e., simultaneously with the Rietveld structural refinements) based on the change in profile widths compared to a standard sample.

**Morphology Characterization.** The morphology of the synthesized samples were examined using a Field Emission Scanning Electron Microscope (FE-SEM, model JSM-7000F) and a Cs-corrected Cold Field Emission Scanning Transmission Electron Microscope (STEM, model ARM-200 CF), from *Jeol Ltd.* To minimize the beam damage, low beam current and 80 keV electron energy were used. High-Angle Annular Darkfield (HAADF) images were acquired with 68-180 mrad collection half angles at 24 mrad probe convergence half angles. The Gatan Quantum ER dual Electron Energy-loss Spectroscopy (EELS) and Jeol Centurio Energy Dispersive X-ray Spectroscopy (EDXS) system with 100 mm<sup>2</sup> Silicon Drift Detector (SDD) were used for chemical analysis. Powder samples were directly transferred to lacey carbon-coated nickel TEM grids.

**X-ray Absorption Spectroscopy Study.** The EXAFS experiments at the Zn K-edge (9659 eV) have been performed at the materials science beamline BL10 at the 1.5 GeV synchrotron source DELTA (Dortmund, Germany), operating with 80-130 mA of stored current.<sup>44</sup> A Si(111) channel-cut monochromator was employed, and gas-filled ionization chambers were used to monitor the incident and the transmitted photon flux. Powder samples were homogeneously dispersed on adhesive Kapton (polyimide) tapes, and several tapes were stacked in order to obtain an absorption suited for X-ray absorption spectroscopy experiments. EXAFS scans were collected within typically 20 minutes acquisition time between ca. 200 eV below to about 1000 eV above the Zn K-edge, corresponding to a maximum photoelectron wave number of about  $k_{\max} = 16.2 \text{ \AA}^{-1}$ , and three to five scans were averaged to improve the data statistics. For comparison, the reference spectra of a Zn-metal foil, hexagonal ZnO, solid Zn(acac)<sub>2</sub> and the precursor solution were measured as references. The data analysis comprised pre- and post-edge background subtraction, normalization transformation to the photoelectron wave vector ( $k$ ) scale to extract the X-ray absorption fine structure  $\chi(k)$  by employing the Athena software package.<sup>45</sup> The  $k^3$ -weighted EXAFS function was subjected to a Fourier-

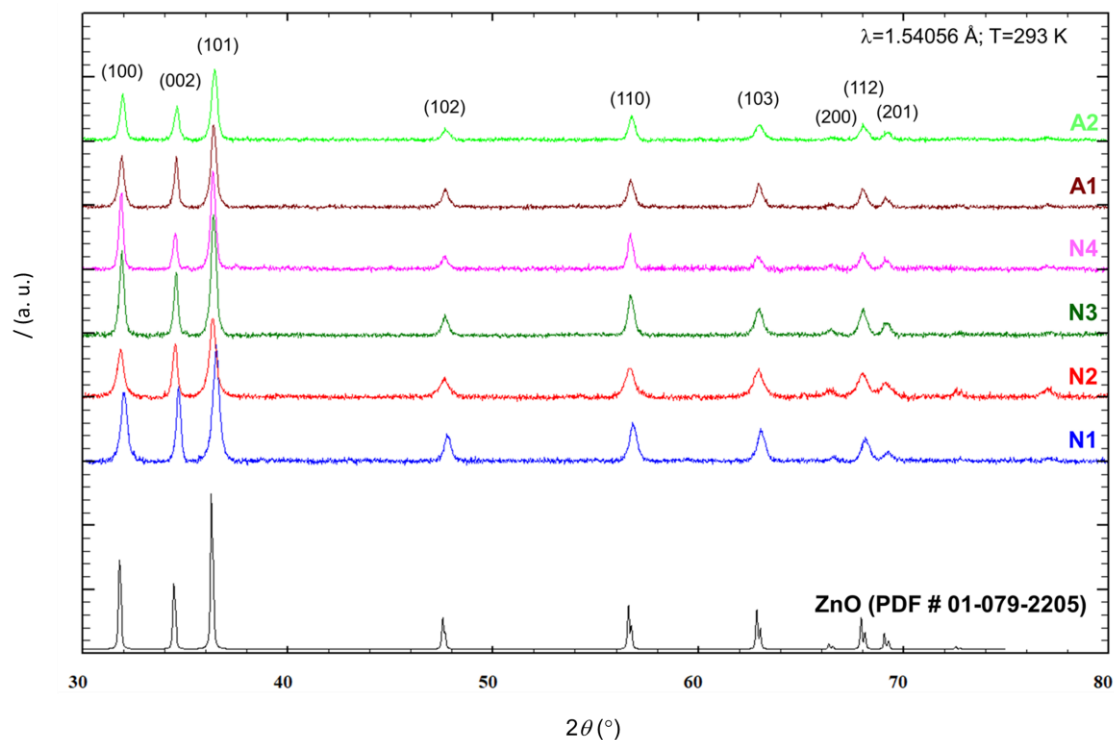
transform and fitted with phases and scattering amplitudes calculated using the FEFF code<sup>46</sup>, assuming the crystallographic structure of wurtzite ZnO as the initial guess for the fits.

**Photocatalysis Measurements.** The photocatalytic activity of the ZnO catalysts was estimated through the degradation of RhB ( $C_{28}H_{31}ClN_2O_3$ , *p. a.*, Merck) as a model organic dye. In a typical photocatalytic experiment, 10 mg of catalyst was dispersed in 50 ml of aqueous RhB solution (0.02 mM) and sonicated for 10 min. The suspension was kept in the dark under magnetic stirring (350 rpm) for 1 h to establish an adsorption-desorption equilibrium between the dye and the photocatalyst before illumination. Then the lamps (8W Hitachi) were switched on to initiate the photodegradation process. The reaction mixture was exposed to illumination between 300 and 600 nm and mixed by stirring (350 rpm) to maintain homogeneity. An aliquot of 2.5 ml reaction mixture was centrifuged at 5000 rpm and drawn through a syringe filter after appropriate illumination. The degradation of RhB was monitored by measuring the absorbance using UV-Vis spectrophotometry (Varian Cary 50) in the wavelength range of 800 to 300 nm. After each measurement, the aliquot was returned to the reaction mixture.

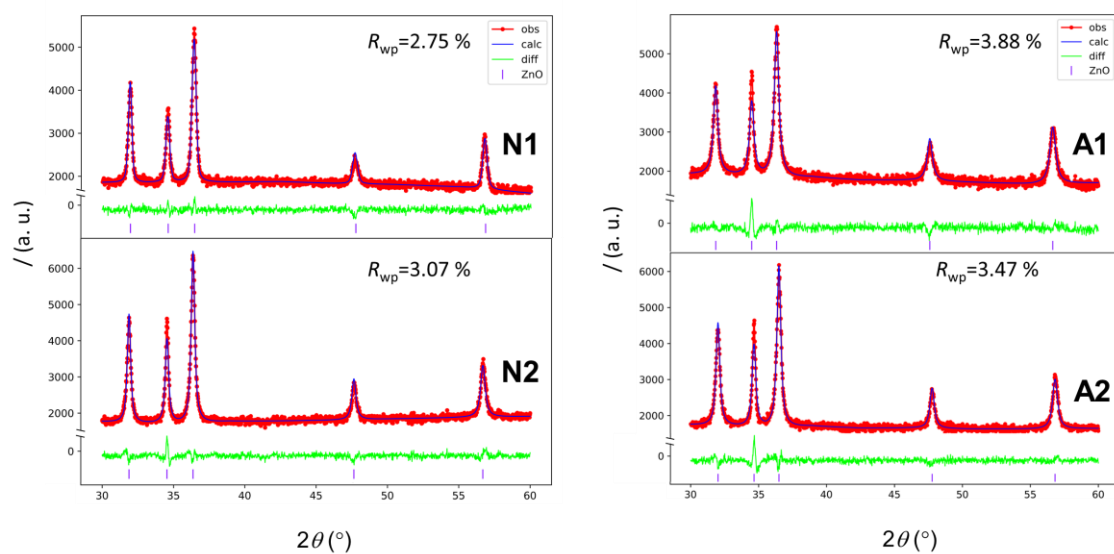
**Computational Details.** The geometry optimization and calculation procedures were performed using DFT with the Gaussian 09 program (revision D1).<sup>47</sup> The M05-2X functional developed by Truhlar's group was selected.<sup>48</sup> The 6-31+G(d,p) basis set for H, C, and O atoms and the LANL2DZ basis set for Zn atoms were used for geometry optimization.<sup>49</sup> The final energies were refined using a highly flexible 6-311++G(2df,2pd) basis set for H, C, and O atoms whereas the same LANL2DZ ECP basis set was used for the zinc atoms. All geometry optimizations, frequency calculations and single point energy evaluations were performed taking into account the solvent effects. The implicit Solvation Model based on Density (SMD) polarizable continuum was used to evaluate the bulk solvent effects (water,  $\epsilon=78.4$ ).<sup>50</sup> Topological analysis of the electron density distribution was performed with the AIMALL<sup>51</sup> software package using Bader's Quantum Theory of Atoms in Molecules (QTAIM).<sup>52</sup> The interactions and the nature of chemical bonding were characterized by the values of the Laplacian of the electron density  $\nabla^2\rho(\text{rc})$  and the electron energy density  $H(\text{rc})$  at the corresponding critical bonding point. The Gibbs free energies of the interactions,  $\Delta G^*_{\text{INT}}$ , were calculated as the difference between the total free energy ( $G^*_{\text{AB}}$ ) of the resulting structure (AB) and the sum of the total free energies ( $G^*_A + G^*_B$ ) of the associating units. Further details of the calculations can be found in the Supporting Information file.

### 3. RESULTS AND DISCUSSION

**Phase Analysis and Microstructural Features.** The resulting N and A series of white polycrystalline samples exhibited single-phase PXRD patterns at RT (Fig. 2a), showing strong diffraction peaks that can be indexed to the hexagonal structure with space group symmetry  $P6_3mc$  of the wurtzitic ZnO phase, which is in high agreement with standard data (PDF file no. 01-079-2205;  $a=3.2501$  Å;  $c=5.2071$  Å).<sup>53</sup> As can be seen from the Rietveld refinements (Fig. 2b), a good fitting can be obtained between the observed and calculated patterns.



(a)



(b)



**Figure 2.** (a) Cascade PXRD patterns of series N and A samples taken at RT, (b) final observed (red dots) and calculated (blue solid lines) powder diffraction profiles for selected series N and A samples as obtained from Rietveld refinements by PXRD data. The lowest solid lines show the plots of difference between the measured and calculated diffraction profiles, while the purple vertical bars indicate the diffraction positions of Bragg.

The refinement procedure of the initial structure model<sup>54</sup> included refinement of the background parameters, preferred orientation, zero offset, lattice parameters  $a$  and  $c$ , position parameters  $u$ , and temperature factors for all atoms present. A pseudo-Voigt profile function and a log-normal background with up to 9 coefficients were used in the structure refinements. Isotropic vibrational modes were assumed for all atoms. The resulting unit cell metrics are summarized in Table S1, along with reliability factors that confirm the validity of the refinement. Since the intensity of the diffraction peaks of the (101) crystal planes is the strongest, the  $c$ -oriented nature of the ZnO particles grown in an array seems to be the preferred one. Undoubtedly, an increase in aging rate and pH are followed by the uniform changes in  $I_{002}/I_{100}$  values due to the crystallite growth (Table 1).

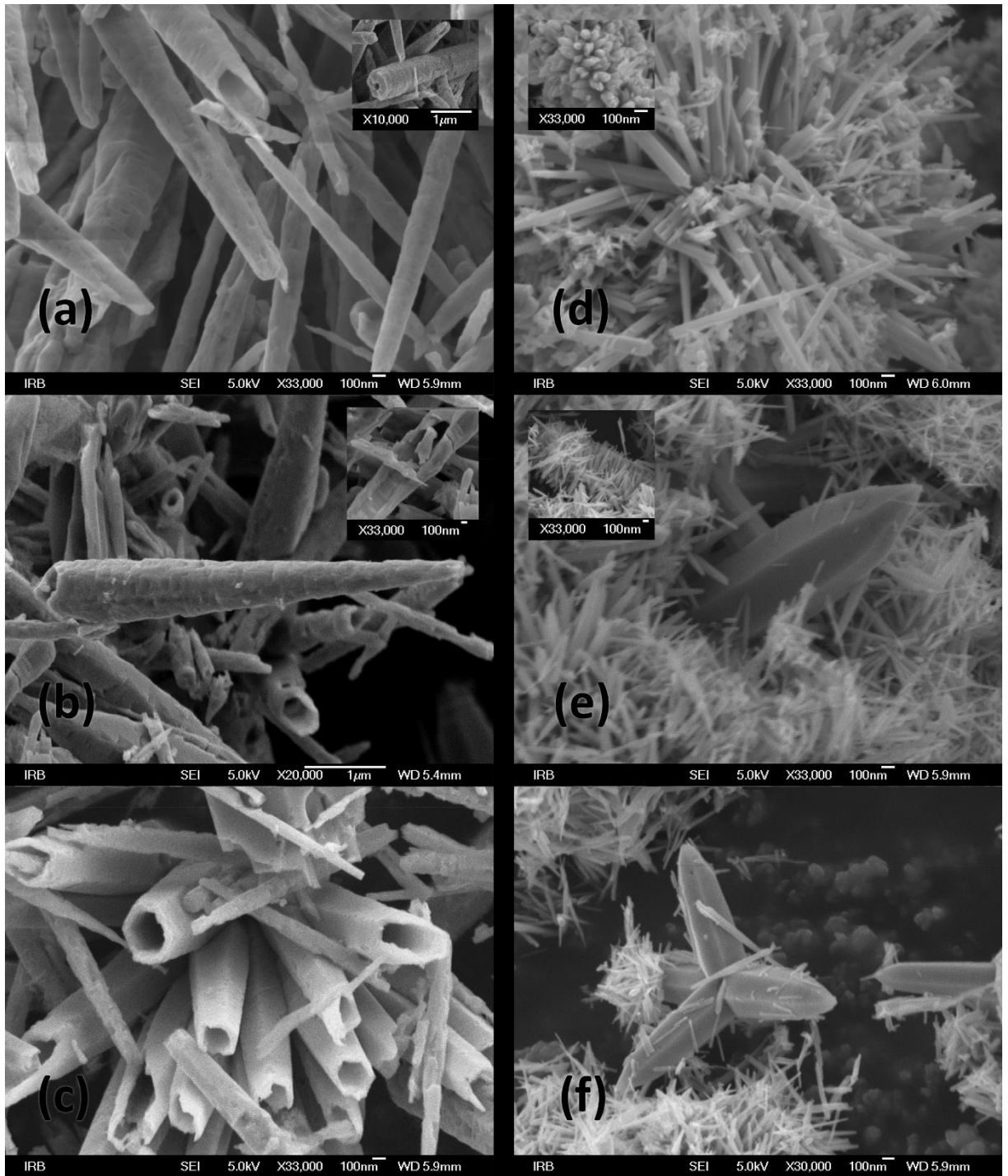
**Table 1.** Summary of the resulting microstructural parameters from Rietveld refinements against PXRD collected at RT, together with the observed diffraction intensity ratio between (002) and (100) Bragg reflections of the N and A series samples.

Sample	Experimental conditions	Ageing time	Crystallite size (nm)	Lattice strains (%)	$I_{002}/I_{100}$
N1	2 g Zn(acac) <sub>2</sub> +200 ml 1·10 <sup>-3</sup> M NaOH	1 day	33.6(1)	0.12(2)	0.33
N2	2 g Zn(acac) <sub>2</sub> +200 ml 1·10 <sup>-3</sup> M NaOH	3 day	35.5(1)	0.12(1)	0.98
N3	2 g Zn(acac) <sub>2</sub> +200 ml 1·10 <sup>-3</sup> M NaOH	7 day	42.9(1)	0.12(1)	0.45
N4	2 g Zn(acac) <sub>2</sub> +200 ml 1·10 <sup>-2</sup> M NaOH	7 day	45.1(1)	0.12(1)	0.71
A1	2 g Zn(acac) <sub>2</sub> +200 ml H <sub>2</sub> O	1 day	20.9(1)	0.04(2)	1.10
A2	6 g Zn(acac) <sub>2</sub> +200 ml H <sub>2</sub> O	4 h	25.8(1)	0.13(1)	0.87

In particular, by changing the aging time from 1 day to 7 days and increasing the pH, the crystallite size increased from 33.6(1) in sample N1 to 45.1(1) nm in sample N4. The experimental conditions and aging rates did not significantly affect the lattice microstrain of the samples prepared in alkaline media, so the uniform value of 0.12 % was retained. In contrast,

in the "pure" aqueous solution, the addition of a higher reactant content (sample A2) resulted in an increase in the lattice microstrain from 0.04(2) % in sample A1 to 0.13(1) % in sample A2, approaching the values observed in the alkaline medium. Different experimental conditions and aging times applied in the preparation of series A resulted in a slight change in the crystallite sizes with the increase in the amount of  $\text{Zn}(\text{acac})_2$  added to the solution. Interestingly, by comparing the data in Table S1 and Table 1, a correlation can be established between the unit cell parameters and the estimated microstructural trend observed in the series N samples - the larger the unit cell parameters, the more pronounced the increase in crystallite size.

**Surface Morphology Imaging.** The general morphologies of the ZnO particles in the samples are summarised in selected representative SEM images in Fig. 3. The SEM images of ZnO particles prepared by hydrolysis of  $\text{Zn}(\text{acac})_2$  in aqueous NaOH solution are shown in the left panels of Fig. 3, while the right side of Fig. 3 shows SEM micrographs of particles prepared in "pure" aqueous solution.



**Figure 3.** FE-SEM images of ZnO samples prepared in aqueous NaOH solution (left panel): (a) N1, (b) N2, (c) N4 and of ZnO samples prepared in "pure" aqueous solution (right panel): (d) A1, (e, f) A2 at different magnifications.

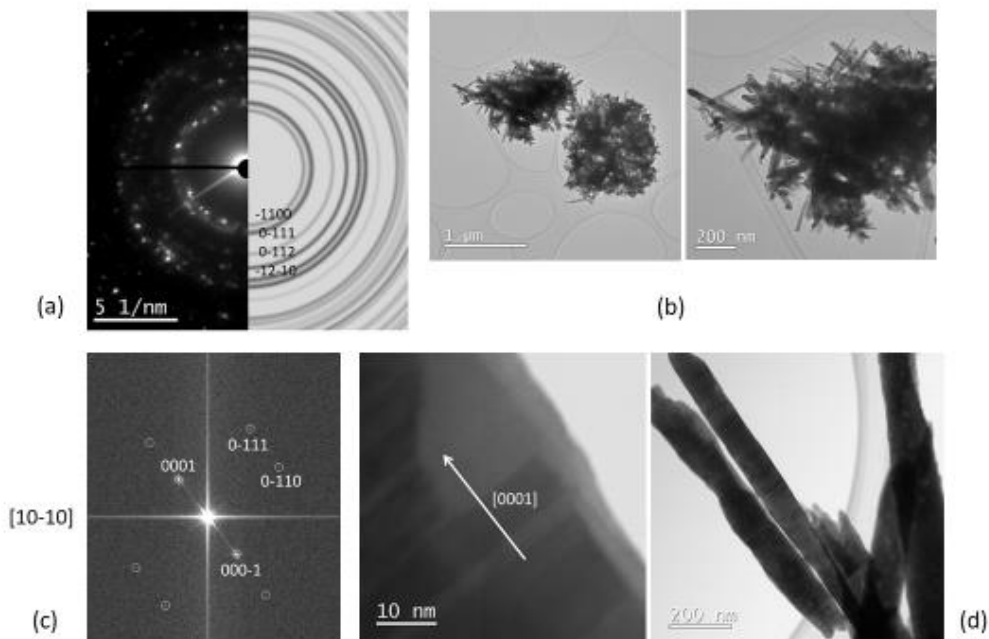
Indeed, significant differences in size and morphology between ZnO particles obtained in "pure" aqueous solution on one side and in aqueous NaOH solution on the other side are well visible. Regardless of the differences, all SEM images show ZnO particles with geometrical

shapes corresponding to the hexagonal space group of wurtzite, but with subtle and clearly visible differences depending on the reaction medium. The SEM images of ZnO particles prepared in the aqueous NaOH solution show the formation of hollow spindle-shaped hierarchical microstructures tapering at one end (Fig. 3a-c). The formation of hollow tubular structures with an average length of several  $\mu\text{m}$  is dependent on both the ageing time and the concentration of NaOH solution. The basis of the large particles shows that the particles with an average length of several  $\mu\text{m}$  were formed by stacking of ZnO nanostructures, thus pointing to the strong tendency for hierarchical lamellar aggregation. It can be seen that hollow spindle-shaped particles in the aqueous NaOH solution grow layer by layer with lamellar hexagonal stacks. The hollow spindle-shaped particle shown in Fig. 3b is about 5  $\mu\text{m}$  long and has a diameter between the end and the top of the particle in the range of about 100–500 nm. The diameter of the craters of the hollow tubular structures changes with ageing time and concentration of NaOH solution. As can be seen in the inset of Fig. 3a, the spindle-shaped ZnO particles prepared at  $1 \cdot 10^{-3}$  M NaOH for an ageing of 1 day have small craters at the top. For longer reaction times, when the reaction lasts for 3 days, the wall thickness of the spindle-shaped structure becomes thinner (Fig. 3b). The inset in Fig. 3b is a magnified image showing one half of the cracked hollow spindle tube. It can be seen that the fully hollow interior of the microstructures consists of layered lamellar substructures with an average layer length of  $\sim 100$ –200 nm. The easier cracking of large hollow structures is probably due to the thinner wall thickness. When the NaOH concentration increases from  $1 \cdot 10^{-3}$  (Fig. 3a and b) to  $1 \cdot 10^{-2}$  (Fig. 3c), the craters become larger and transform into hollow hexagonal microstructures with thinner wall thicknesses. Moreover, a longer reaction time of up to 7 days and an increase in NaOH concentration to  $1 \cdot 10^{-2}$  M NaOH leads to the smoothness of the facets of ZnO particles (Fig. 3c). Additionally, a hollow hexagon as a stable geometric shape is shown in Fig. 3c. The visible layered nanosheet substructure indicates that the hollow spindle-shaped ZnO microstructure is formed layer by layer from a lamellar hexagonal stacking structure in the presence of NaOH.

On the other hand, non-hollow, thinner and elongated ZnO microstructures are observed in "pure" aqueous solution by hydrolysis of 2 g  $\text{Zn}(\text{acac})_2$  for 1 day aging (see Fig. 3d). A detailed inspection of the FE-SEM image shown in Fig. 3d reveals that the ZnO particles are several micrometers in size and have a high aspect ratio. In addition, the tips of the rods can be seen to taper into needles with a smooth surface. The insets in Figs. 3d and e reveal the mechanism of crystal growth by stacking thin, elongated and laterally arranged ZnO nanorods. A selected representative SEM images of the ZnO particles synthesized in aqueous solution with the higher starting contents of  $\text{Zn}(\text{acac})_2$  (6 g) for 4 h aging, point out the presence of thin

elongated rods as well as giant hexagonal pyramidal prisms (Fig. 3e and f). It can be seen that the thermodynamically unstable acicular ZnO crystals transform into giant prismatic pyramidal structures with increasing content of Zn(acac)<sub>2</sub>. Moreover, a coalescence of these giant prismatic pyramidal structures with a common basal plane is observed (see Fig. 3f). In addition, the arrangement of discrete, thin and elongated nanorods can be clearly seen in the inset of Fig. 3e. Apparently, the preferential crystal growth along the *c*-axis is represented by the stacking of thin, elongated, laterally arranged ZnO nanorods in "pure" aqueous solution. In summary, the observed FE-SEM results indicate a different formation and growth mechanism, highlighting the tendency for lateral stacking of nanorods during preferential crystal growth in "pure" aqueous solution compared to hierarchical lamellar stacking of nanodiscs in aqueous NaOH medium. The formation of hollow tubular and tower-like ZnO structures has been reported by many researchers as this morphology is important for practical applications.<sup>35,36</sup> Among all pathways, the solution chemical route has emerged as a promising option for large scale fabrication of 1D nano- and microstructured materials due to its simple, fast and cheaper variants.<sup>55</sup>

The growth of ZnO particles was examined via Transmission Electron Microscopy. The TEM/STEM micrographs of ZnO particles of A and N series are shown in Fig. 4. The prismatic NPs in sample A1 are randomly oriented in bundles (Fig. 4b) as seen from the Selected Area Electron Diffraction (SAED) pattern consisting of diffraction spots more or less uniformly distributed in parallel circles (Fig. 4a). The individual NPs in sample N1 are elongated in the [0001] direction, as shown in Fig. 4c and d. The contrast differences in the STEM micrograph (Fig. 4d) indicate the planar defects parallel to the basal planes (possibly stacking faults or inversion domain boundaries).



**Figure 4.** Sample A1 prepared in “pure” aqueous solution: (a) experimental and simulated SAED patterns for hexagonal ZnO, (b) TEM images at different magnifications. Sample N1 prepared in aqueous NaOH solution: (c) FFT of BF-STEM image, (d) BF-STEM micrographs at different magnifications.

**Photocatalytic Activity.** In this study, the photocatalytic activity of the as-prepared ZnO particles was investigated using the degradation of Rhodamine B as a model for an organic dye pollutant. The UV-Vis adsorption spectra of RhB solution as a function of illumination time (up to 180 min) in the presence of all prepared ZnO photocatalysts are shown in Supporting Information file (Fig. S1). It can be seen that the main absorption peak at 554 nm for RhB decreases significantly with irradiation time when ZnO photocatalysts are added, especially those prepared in “pure” aqueous solution. The corresponding concentration changes of RhB solution during the degradation process in the presence of three selected types of ZnO photocatalysts (samples A1, A2 and N1) is shown in Fig. 5a. It was found that the photocatalytic degradation of RhB over ZnO catalysts prepared in aqueous solution (samples A1 and A2) is much faster and higher than that in aqueous NaOH solution (samples N1–N4, see Fig. S2). Samples A1 and A2 degraded the RhB solution by 70% and 76%, respectively, in 120 min. On the other hand, only 23% of RhB dye is degraded by hollow spindle-shaped ZnO particles in sample N1 (Fig. 3b). The photodegradation of RhB over ZnO photocatalysts (see Fig. 5a) proceeds by a pseudo-first order kinetic reaction through linear transformations

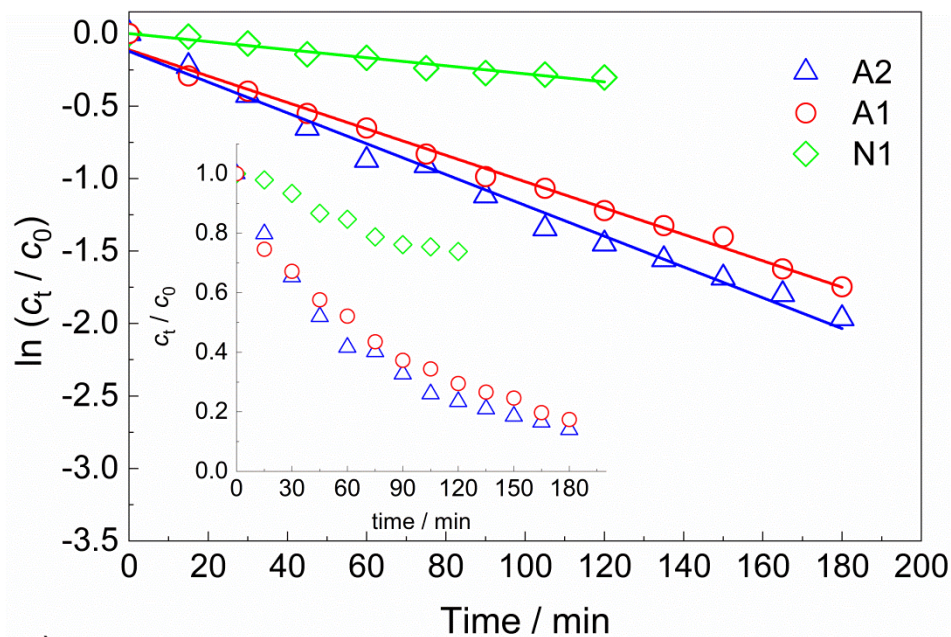
$\ln(c_0/c_t)=kt$ , where  $c_t$  and  $c_0$  are the concentrations of RhB at different illumination times and  $k$  is the photodegradation constant, ranging from 0.0028 to 0.0106  $\text{min}^{-1}$  and decreasing in the order  $A2 > A1 > N1$ . It is well known that the photocatalytic activity of ZnO catalysts is determined by their nano- and microstructure. These morphological and structural differences are reflected in the significant changes in photocatalytic activity described above, as estimated by the higher and faster degradation of RhB over ZnO samples prepared in "pure" aqueous solution compared to those obtained in aqueous NaOH solution. A synergistic effect between the ratio  $I_{002}/I_{100}$  and the crystallite size on the photocatalytic activity is revealed. The higher the ratio  $I_{002}/I_{100}$ , the higher the catalytic activity should be expected.

There are some publications discussing the relationship between the photocatalytic activity of ZnO and the observed ratio of the intensities of the (002) and (100) Bragg reflections.<sup>56-58</sup> Kovács et al.<sup>56</sup> found that the increased ratio of (002) to (100) Bragg reflections greatly improves the photocatalytic activity of the ZnO samples, which is related to the water content of the solvent. The higher the ethanol content, the less water molecules can be adsorbed on the specific crystallographic planes. The high water concentration could inhibit growth toward a polar crystal facet of ZnO. The terminal  $\text{Zn}^{2+}$  and  $\text{O}^{2-}$  molecules along the (002) crystal plane may interact strongly with the polar  $\text{H}_2\text{O}$  molecules in their dislocated form ( $\text{OH}^-$ ,  $\text{H}^+$ ). The photocatalytic reaction occurs at the interface, which requires effective adsorption of the reaction molecules/ions on the surface of the ZnO photocatalysts. Since the adsorption states of certain molecules/ions are determined by the atomic surface structures of ZnO, the photocatalytic efficiency is related to the electronic structure and shape of ZnO.<sup>33,59</sup> Thus, the difference in the atomic structure of the surface as well as the different surface area may explain the discrepancy in the ability of chemisorbed oxygen or hydroxyl species, which consequently affects the photocatalytic efficiency of the ZnO particles. It seems that the higher photocatalytic activity of thin ZnO rods and needles prepared in "pure" aqueous solution is due to the presence of a larger amount of surface oxygen or hydroxyl species, as well as a larger surface area due to the smaller particle size, compared to those obtained in aqueous NaOH solution.

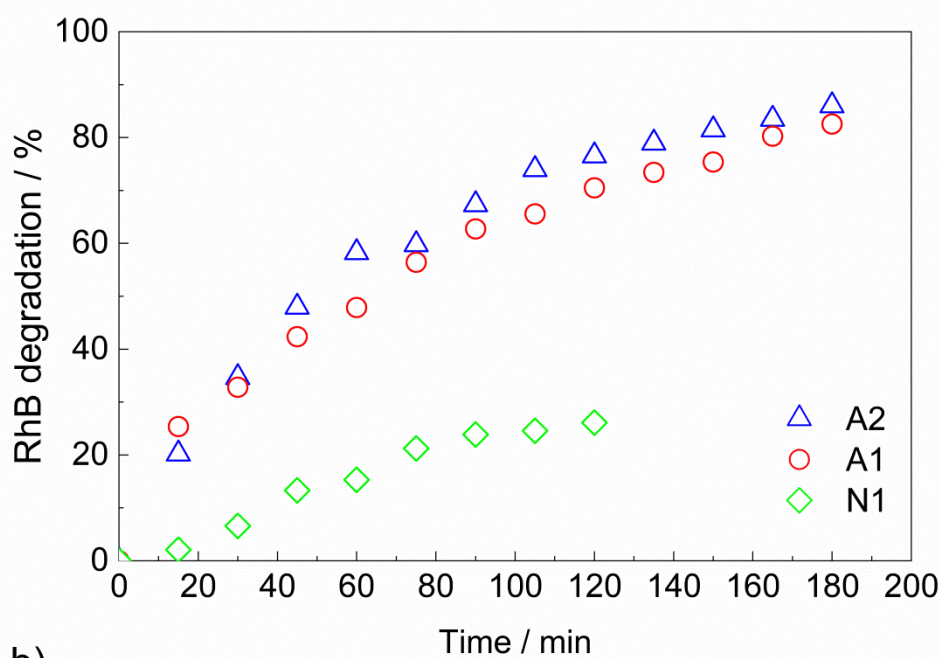
The large affinity of  $\text{H}_2\text{O}$  molecules for ZnO, leading to the spontaneous dissociation of  $\text{H}_2\text{O}$ , is highlighted by the Petridis research group.<sup>17</sup> This study highlights the importance of the surface arrangement of Zn and O atoms on the facets and edges of the NPs, which leads to adsorption sites with different local electronic structure and thus does not provide equivalent sites for molecular or spontaneous dissociative adsorption. The authors emphasized that the presence of dangling bonds resulting from the transformation of the ZnO crystal into a NP with

facets leads to a favorable electronic environment and induces the spontaneous dissociation of  $\text{H}_2\text{O}$ . The authors suggested that the synthesis of NPs with an increased number of edges as well as reactive oxygen species leads to spontaneous dissociation of  $\text{H}_2\text{O}$  compared to the macroscopic ZnO surface, which prevents the spontaneous dissociation of a single  $\text{H}_2\text{O}$ . Gupta et al. investigated the defect induced photocatalytic activity of ZnO nanostructures due to different shapes obtained by varying the concentration of  $\text{OH}^-$  ions.<sup>6</sup> The authors suggest that the higher photocatalytic activity is due to the presence of a larger amount of point defects such as oxygen vacancies on the surface of the ZnO nanostructures.





a)



b)

**Figure 5.** (a) The photocatalytic degradation of RhB over samples A1, A2, and N1 under UV light illumination and (b) photocatalytic efficiency of RhB degradation determined for the samples A1, A2, and N1.

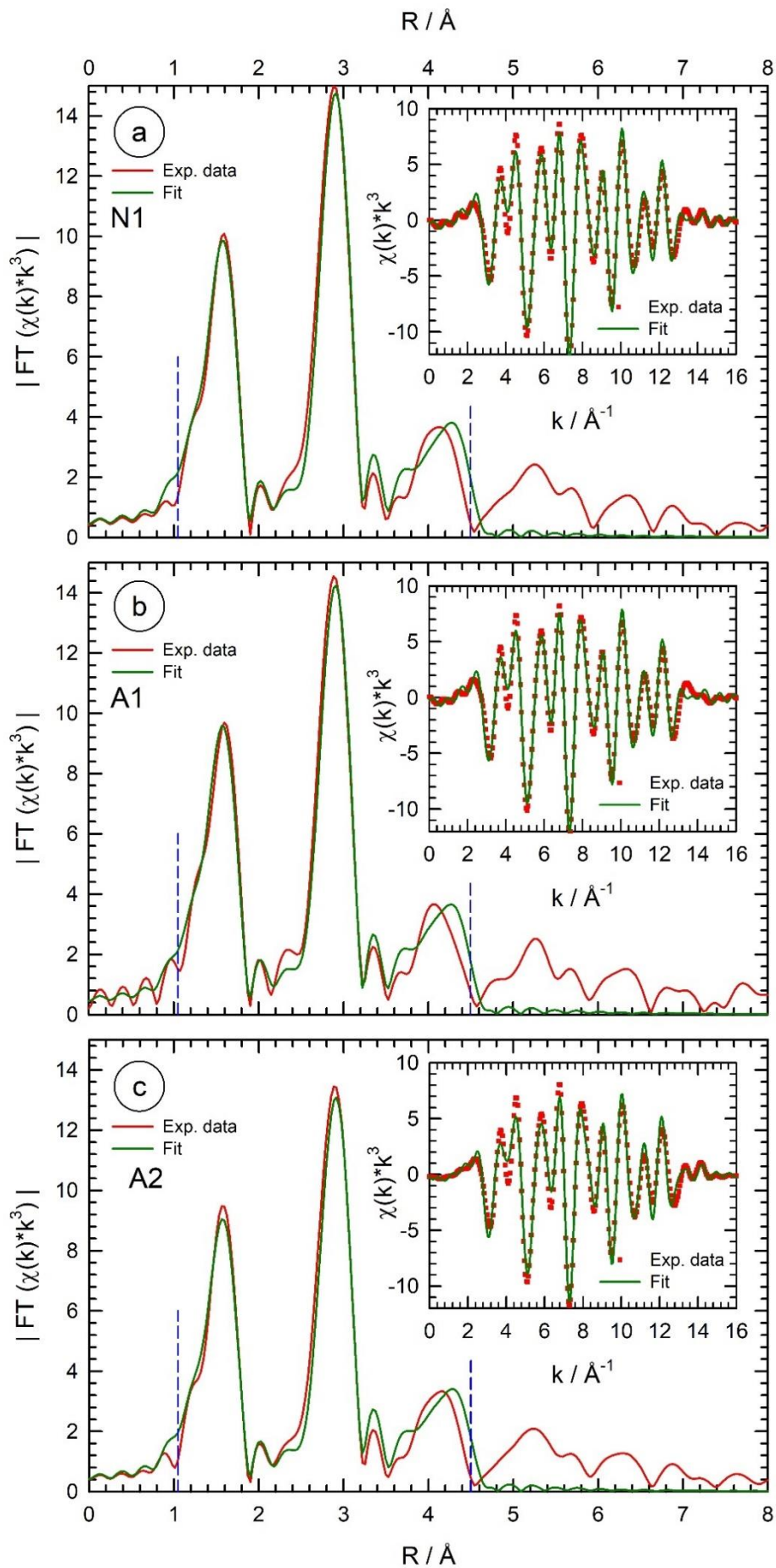
**EXAFS Study.** However, much more structural information is needed to understand the relationship between the detailed crystal structure and the physicochemical properties, especially the photocatalytic properties. Reliable experimental confirmation of the XAS

measurements enabled suggestions for general conclusions regarding the photocatalytic efficiency of the ZnO samples. Therefore, to reveal the electronic structure of differently prepared ZnO particles, the contributions of EXAFS data analysis must be taken into account. Erat et al.<sup>22</sup> investigated the electronic and local crystal structure of micrometer-sized ZnO and ZnO:B synthesized by a hydrothermal method using EXAFS and XANES techniques. Since the photocatalytic performances are strongly correlated with the electronic structure, size and shape of ZnO, the correlation of EXAFS results with the photocatalytic degradation results of organic dye pollutants such as RhB with ZnO particles of different sizes and morphologies provides a comprehensive understanding of the relationship between structural details and photocatalytic activity.

Therefore, we have analyzed the Zn K-edge EXAFS of the different samples A1, A2 and N1. In Fig. 6, the magnitude of the Fourier-transforms of the  $k^3$ -weighted EXAFS fine structures  $\chi(k)$  are compared. First of all it should be noted that the data of all the investigated samples agree qualitatively with the structure of hexagonal wurtzite ZnO (see e.g. <sup>22</sup>). As can be seen, the amplitude of the Zn-O shell at about 1.6 Å radial distance as well as the Zn-Zn peak at 2.9 Å are most intense for sample N1, with decreasing intensity for sample A1 and even smaller values for A2. This implies that the nanostructure of the synthesized particles obviously depends on the details of the preparation. For the quantitative EXAFS data evaluation, we have performed a fit assuming the hexagonal space group No. 186 (P6<sub>3</sub>mc) with lattice parameters as provided in Table S1 as initial guess for the structure. All Zn and O atoms up to a radius of 4.7 Å were considered for the fit here, resulting in a cluster with 14 different single and multiple scattering path and a total of 39 atoms. In principle, each of the considered scattering path is related to a coordination shell (i), which is characterized by its distance  $R_i$ , the number of atoms in the shell ( $N_i$ ), the amplitude reduction factor ( $S_{0,i}^2$ ), the inner potential shift ( $\Delta E_{0i}$ ), and the mean square displacement ( $\sigma_i^2$ ). If all of the above mentioned shells were treated individually, a huge and statistically insignificant number of fit variables would result. The limit for the number of fit variables ( $N_{\text{idp}}$ ) can be estimated from the energy range measured during the experiment, respectively the  $k$ -range used for the Fourier-transform (here the range from  $k_{\text{min}} = 2.540 \text{ \AA}^{-1}$  to  $k_{\text{max}} = 14.342 \text{ \AA}^{-1}$ ) and the  $R$ -range in the FT used for the fit (here  $R_{\text{min}} = 1.1 \text{ \AA}$  to  $R_{\text{max}} = 4.5 \text{ \AA}$ ), for details see e.g.<sup>60</sup> For the present experiments,  $N_{\text{idp}}$  is in the range of 25, i.e. a maximum of 25 fit variables would be statistically justifiable to be used.

Thus, for the quantitative fitting of our experimental EXAFS data, we have developed a model with a substantially smaller number of fit variables, i.e., we have varied the distances

$R_1$  (i.e. Zn-O) and  $R_2$  (i.e. Zn-Zn) individually in order to detect small change of the first and second neighbor bonds more sensitively, while all other distances were fitted with a single scaling factor  $\alpha$ , so that the fitted distance for all path beyond the first two shell is given by  $R_{\text{eff}}^* \alpha$ ,  $R_{\text{eff}}$  being the distance calculated from the original crystal structure (see above). All Zn-O coordinations were fitted using the same  $\sigma_{\text{O}}^2$  and all Zn-Zn bonds with  $\sigma_{\text{Zn}}^2$ . For multiple scattering pathways, the average values of the respective shells were used. The same approach was used for the amplitude reduction factors  $S_{0,i}^2$ , i.e. all Zn-O and Zn-Zn shells were weighted with the same values for  $S_{0,i}^2$ , respectively, and multiple scattering paths were again weighted according to the involved atoms, using the number of atoms  $N_i$  according to the hexagonal structure. In order to minimize the influence of the correlation of the inner potential shift ( $\Delta E_{0i}$ ) and all the distances, we have used the same value for  $\Delta E_0$  for all samples and all shells. By optimizing all three data sets simultaneously, we have derived a value of  $\Delta E_0 = 4.888 \text{ eV} \pm 0.748 \text{ eV}$ . In total, therefore only 7 variables were optimized individually for the three samples, while one parameter was optimized for all three, respectively. Quantitative fit results are included in Fig. 6, and are compiled in the subsequent Table 2. The most important trend is that the obtained values for  $S_0^2$  are smallest (also if the fit errors are included) for sample A2, that with the highest reactivity for RhB degradation, followed by sample A1 and sample N1, which showed the lowest performance in RhB degradation, with largest values for  $S_0^2$ .



**Figure 6.** The magnitude of the Fourier-transform of the  $k^3$ -weighted EXAFS fine structure  $|\text{FT}(\chi(k) * k^3)|$  for (a) N1, (b) A1 and (c) A2. Both the raw data and the fits to the wurtzite structure are shown. The insets depict the back-transform ( $\chi(k) * k^3$  data) of the Fourier-transform in the range from  $R_{\min} = 1.1 \text{ \AA}$  to  $R_{\max} = 4.5 \text{ \AA}$  (dashed vertical blue lines) for the data and the fits. More details are provided in the text (k-range for the Fourier-transform:  $k_{\min} = 2.540 \text{ \AA}^{-1}$  to  $k_{\max} = 14.342 \text{ \AA}^{-1}$ ).

**Table 2.** Compilation of EXAFS fit results from samples N1, A1 and A2: For the different path with the number of scatterers ( $N_i$ ) as indicated, the amplitude reduction factors  $S_{0,i}^2$ , the mean square displacement  $\sigma_i^2$ , and the bond length  $R_i$  were determined by the fits. While the two first shells were fitted with individual  $S_{0,i}^2$  and  $R_i$ , a lattice expansion factor  $\alpha$  was employed for all other shells, and Zn-O and Zn-Zn coordinations got identical  $S_{0,i}^2$  values. The inner potential shift was refined for all samples simultaneously with a value of  $\Delta E_0 = 4.888 \text{ eV} \pm 0.748 \text{ eV}$ . See text for more details.

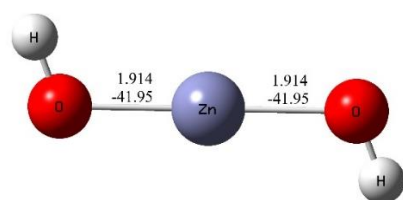
		Sample N1			Sample A1			Sample A2		
		R-factor: 0.0525, $\alpha = -0.0158 \pm 0.0022$			R-factor: 0.0523, $\alpha = -0.0170 \pm 0.0022$			R-factor: 0.0519, $\alpha = -0.0158 \pm 0.0022$		
path	$N_i$	$S_{0,i}^2$	$\sigma_i^2 / 10^{-3} \text{ \AA}^2$	$R_i / \text{ \AA}$	$S_{0,i}^2$	$\sigma_i^2 / 10^{-3} \text{ \AA}^2$	$R_i / \text{ \AA}$	$S_{0,i}^2$	$\sigma_i^2 / 10^{-3} \text{ \AA}^2$	$R_i / \text{ \AA}$
Zn-O	4	$0.92 \pm 0.14$	$5.42 \pm 1.40$	$1.973 \pm 0.008$	$0.90 \pm 0.13$	$5.47 \pm 1.38$	$1.972 \pm 0.008$	$0.82 \pm 0.12$	$5.05 \pm 1.29$	$1.972 \pm 0.007$
Zn-Zn	12	$0.98 \pm 0.14$	$9.31 \pm 2.42$	$3.240 \pm 0.004$	$0.95 \pm 0.06$	$9.31 \pm 2.04$	$3.239 \pm 0.004$	$0.83 \pm 0.05$	$8.96 \pm 2.23$	$3.241 \pm 0.004$
Zn-O	1	$0.92 \pm 0.14$	$5.42 \pm 1.40$	$3.242 \pm 0.007$	$0.90 \pm 0.13$	$5.47 \pm 1.38$	$3.238 \pm 0.007$	$0.90 \pm 0.13$	$5.05 \pm 1.29$	$3.242 \pm 0.007$
Zn-O-O	12	$0.92 \pm 0.14$	$5.42 \pm 1.40$	$3.587 \pm 0.008$	$0.90 \pm 0.13$	$5.47 \pm 1.38$	$3.582 \pm 0.008$	$0.90 \pm 0.13$	$5.05 \pm 1.29$	$3.587 \pm 0.008$
Zn-O-Zn	24	$0.95 \pm 0.10$	$7.36 \pm 1.91$	$3.587 \pm 0.008$	$0.93 \pm 0.09$	$7.47 \pm 1.71$	$3.582 \pm 0.008$	$0.93 \pm 0.09$	$7.00 \pm 1.76$	$3.587 \pm 0.008$
Zn-O	9	$0.92 \pm 0.14$	$5.42 \pm 1.40$	$3.793 \pm 0.009$	$0.90 \pm 0.13$	$5.47 \pm 1.38$	$3.789 \pm 0.009$	$0.90 \pm 0.13$	$5.05 \pm 1.29$	$3.794 \pm 0.009$
Zn-O	4	$0.92 \pm 0.14$	$5.42 \pm 1.40$	$3.949 \pm 0.009$	$0.90 \pm 0.13$	$5.47 \pm 1.38$	$3.944 \pm 0.009$	$0.90 \pm 0.13$	$5.05 \pm 1.29$	$3.949 \pm 0.009$
Zn-O-Zn-O	12	$0.94 \pm 0.11$	$6.72 \pm 1.73$	$3.949 \pm 0.009$	$0.92 \pm 0.09$	$6.80 \pm 1.60$	$3.944 \pm 0.009$	$0.92 \pm 0.09$	$6.35 \pm 1.60$	$3.949 \pm 0.009$
Zn-O-O	6	$0.92 \pm 0.14$	$5.42 \pm 1.40$	$4.213 \pm 0.009$	$0.90 \pm 0.13$	$5.47 \pm 1.38$	$4.208 \pm 0.009$	$0.90 \pm 0.13$	$5.05 \pm 1.29$	$4.213 \pm 0.009$
Zn-O-Zn	36	$0.95 \pm 0.10$	$7.36 \pm 1.91$	$4.499 \pm 0.010$	$0.93 \pm 0.09$	$7.47 \pm 1.71$	$4.493 \pm 0.010$	$0.93 \pm 0.09$	$7.00 \pm 1.76$	$4.499 \pm 0.010$
Zn-O-O	36	$0.92 \pm 0.14$	$5.42 \pm 1.40$	$4.499 \pm 0.010$	$0.90 \pm 0.13$	$5.47 \pm 1.38$	$4.493 \pm 0.010$	$0.90 \pm 0.13$	$5.05 \pm 1.29$	$4.499 \pm 0.010$
Zn-Zn-O	36	$0.95 \pm 0.10$	$7.36 \pm 1.91$	$4.499 \pm 0.010$	$0.93 \pm 0.09$	$7.47 \pm 1.71$	$4.493 \pm 0.010$	$0.93 \pm 0.09$	$7.00 \pm 1.76$	$4.499 \pm 0.010$
Zn-Zn	6	$0.98 \pm 0.06$	$9.31 \pm 2.42$	$4.560 \pm 0.010$	$0.95 \pm 0.06$	$9.31 \pm 2.04$	$4.553 \pm 0.010$	$0.95 \pm 0.06$	$8.96 \pm 2.23$	$4.560 \pm 0.010$
Zn-O	6	$0.92 \pm 0.14$	$5.42 \pm 1.40$	$4.582 \pm 0.010$	$0.90 \pm 0.13$	$5.47 \pm 1.38$	$4.575 \pm 0.010$	$0.90 \pm 0.13$	$5.05 \pm 1.29$	$4.582 \pm 0.010$

The reduced values obtained for the amplitude reduction factors for the samples prepared in pure aqueous solutions, in particular for sample A2, may be related to the presence of several oxygen and Zn deficiencies, most probably at the surface of the nanostructured ZnO-particles. Compared to N1, SEM micrographs suggest a more nano-structured surface for A1 and A2, so that the contributions of the surfaces with accordingly missing atoms are pronounced, leading to effectively lower coordination numbers and amplitude reduction factors accordingly. Therefore, the highest reactivity for RhB degradation observed for the ZnO samples prepared in "pure" aqueous media can be correlated with EXAFS analysis and reflects an improved photocatalytic performance compared to the samples prepared in aqueous NaOH solution. According to the XAS measurements, ZnO samples prepared in "pure" aqueous solution are more nanostructured and have missing atoms on the surface compared to those prepared in aqueous NaOH solution. Therefore, the results obtained from the X-ray absorption data and the photocatalytic measurements refinements are in acceptable agreement. For improved photocatalytic activity, it should be emphasized that according to the XAS/FESEM results, a more nano-structured ZnO with missing atoms on the surface of the cluster is one of the desirable properties. It seems that these atom vacancies can be considered as active sites for the improved photocatalytic efficiency of ZnO nanostructures. Most likely, the ZnO nanorods obtained in "pure" aqueous solution show the highest photocatalytic activity due to the presence of a larger amount of oxygen vacancies.

**The Mechanism of ZnO Particle Formation.** The experimental findings supported by the results of DFT were used to predict the formation mechanism of ZnO particles. It is not possible to fully elucidate the formation mechanism responsible for the preferentially oriented crystal growth of the as-synthesised ZnO particles along the *c*-axis into either a hollow or non-hollow hexagonal structure without considering the nucleation processes. It seems most likely that the prenucleation intermediates formation, as well as their reactivity, represent a bottleneck that, in addition to the nucleation processes, determines all other subsequent crystal growth steps and thus ultimately the hierarchical growth of ZnO microstructures.

*The Nucleation Process.* The mechanism of prenucleation intermediates formation was investigated by taking into account the results of quantum chemical calculations using density functional theory (DFT). According to the DFT study, monomeric and other kinds of stable oligomeric  $(\text{ZnO}-\text{H}_2\text{O})_n$  and/or  $(\text{ZnO}-\text{OH})_n$  ( $n \leq 4$ ) species can be considered as components of the pre-nucleation intermediates that initiated the nucleation processes of ZnO NPs in the "pure" aqueous solution and the NaOH aqueous solution, respectively. The DFT calculations revealed all possible binding interactions involved in the processes of nucleation and growth of

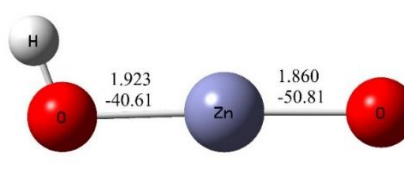
ZnO nanosubstructures and microstructures in the presence or absence of NaOH in aqueous media. Of interest is the spontaneous deprotonation reaction of H<sub>2</sub>O molecules observed in the most stable monomeric ZnO–H<sub>2</sub>O structure due to the high affinity of H<sub>2</sub>O molecules for ZnO. As a result of this process of transfer of hydrogen atoms from H<sub>2</sub>O molecule to oxygen on ZnO, the rather high free energy of molecular interaction was released ( $\Delta G^*_{\text{INT}} = -37.36 \text{ kcal mol}^{-1}$  in ZnO–H<sub>2</sub>O). In parallel, a free electron pair on the oxygen atom of the water molecule was involved in a new strong coordinate bond with zinc ( $\text{Zn–O}$ ;  $E_{\text{Zn–O}} = -41.95 \text{ kcal mol}^{-1}$ ,  $d_{\text{Zn–O}} = 1.914 \text{ \AA}$ ; see Fig. 7a).



**ZnO–H<sub>2</sub>O**

$$\Delta_r G^*/\text{kcal mol}^{-1} = -37.36$$

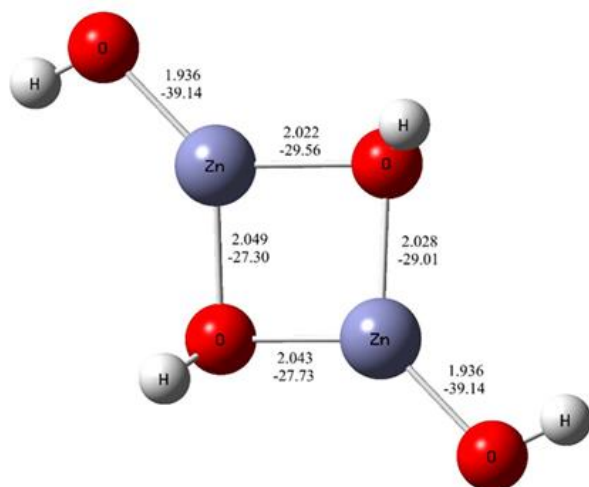
**a)**



**ZnO–OH<sup>-</sup>**

$$\Delta_r G^*/\text{kcal mol}^{-1} = -18.04$$

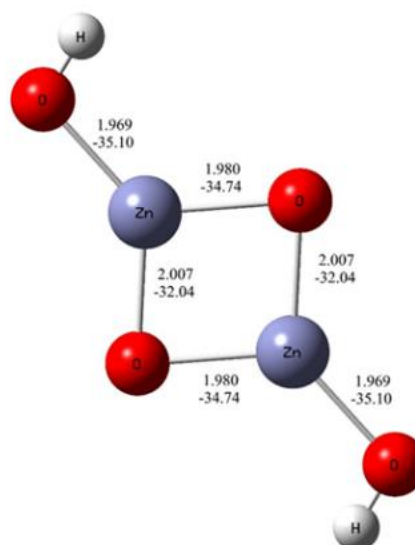
**d)**



**(ZnO–H<sub>2</sub>O)<sub>2</sub>**

$$\Delta_r G^*/\text{kcal mol}^{-1} = -7.76$$

**b)**

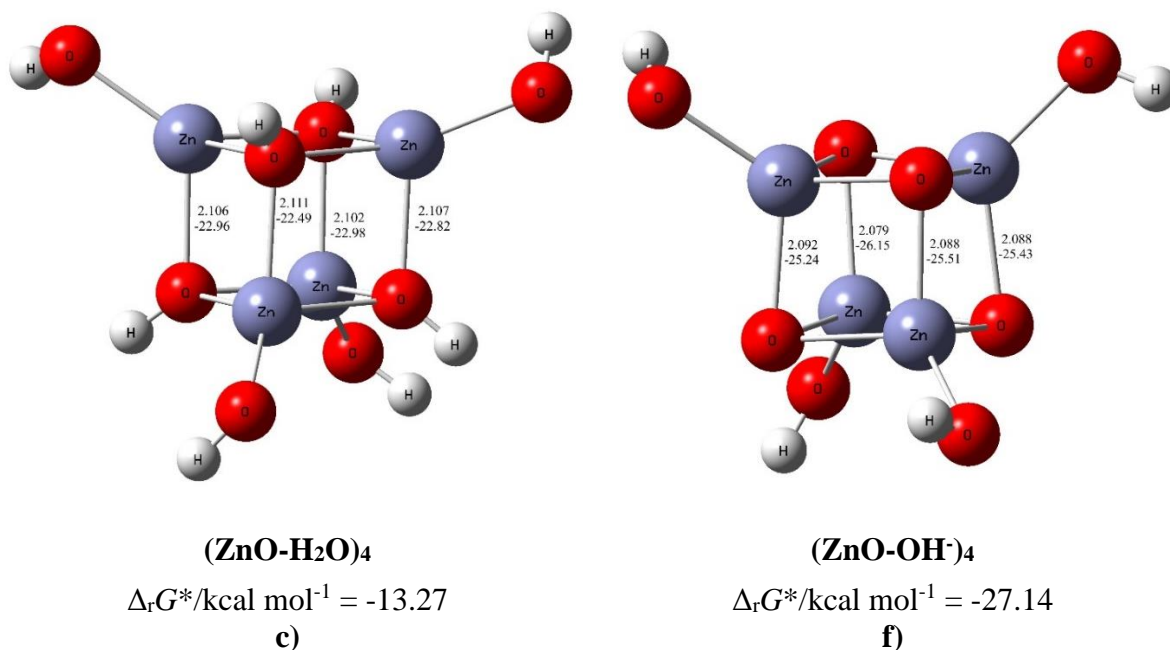


**(ZnO–OH<sup>-</sup>)<sub>2</sub>**

$$\Delta_r G^*/\text{kcal mol}^{-1} = -18.09$$

**e)**





**Figure 7.** The most stable structures of ZnO–H<sub>2</sub>O and ZnO–OH<sup>−</sup> monomers, dimers and tetramers (the bond distances in Å, the bond energies in kcal mol<sup>−1</sup>).

As is well known from the literature, there are two idealized extremes of chemical bonding: a) ionic bonding, in which one or more electrons are completely transferred from one atom to another, and b) covalent bonding, in which the electrons are equally divided between two atoms. However, most compounds have polar covalent bonds, which are intermediate between the two extremes: The bond electrons are unequally distributed between the two atoms, and the electron distribution is asymmetric, with a greater electron density around the more electronegative atom. The polarity of a bond - the extent to which it is polar - is largely determined by the relative electronegativities of the bonded atoms. Thus, there is a direct relationship between the electronegativity and the polarity of a bond. A bond is nonpolar if the bonded atoms have the same electronegativity. However, if the electronegativity of the bonded atoms is not the same, the bond is polarized toward the more electronegative atom. The polarity of the bond and the ionic character increase with increasing differences in electronegativity. In the ZnO bond, the electronegativity of zinc is 1.65 and the electronegativity of oxygen is 3.44, resulting in an electronegativity difference of 1.79. Thus, the bond is characterized by an electronegativity difference between 0 and 2, which is a feature of polar covalent bonds.

In the framework of the quantum theory of atoms in molecules, the critical point of the Zn–O bond was characterized by  $\nabla^2\rho(rc) > 0$  and  $H(rc) < 0$ ; therefore, the Zn–O bond is

attributed to an intermediate type of interaction, as shown in Table 3. The nature of the chemical bond can be described qualitatively by considering the signs and values of the Laplacian of the electron density  $\nabla^2\rho(rc)$  and the electron energy density  $H(rc)$  at the corresponding critical point of the bond according to the following criteria. The interactions characterized by  $\nabla^2\rho(rc) < 0$  and  $H(rc) < 0$  indicate a common interaction, i.e., weakly polar and nonpolar covalent bonds. On the other hand, the interactions denoted by  $\nabla^2\rho(rc) > 0$  and  $H(rc) > 0$  indicate closed shell interactions such as weak hydrogen bonds, van der Waals interactions, and ionic bonds. Intermediate interactions, which include strong hydrogen bonds and most coordinate bonds, were characterized by  $\nabla^2\rho(rc) > 0$  and  $H(rc) < 0$ . A particularly high negative value of  $\nabla^2\rho(rc)$  indicates a strong covalent bond, whereas a high positive value corresponds to a strong noncovalent bond.

**Table 3.** Bond lengths (*d*), energies (*E*) and QTAIM properties of the selected bonds in the most stable monomers, dimers and tetramers of the investigated systems in the water solvent.

<b>ZnO–H<sub>2</sub>O</b>							
Bond	<i>d</i> /Å	$\rho(r_c)/e \times a_0^{-3}$	$\nabla^2\rho(r_c)/e \times a_0^{-5}$	<i>V</i> ( <i>r<sub>c</sub></i> )/au	<i>G</i> ( <i>r<sub>c</sub></i> )/au	<sup>a</sup> <i>H</i> ( <i>r<sub>c</sub></i> )/au	<sup>b</sup> <i>E</i> /kcal mol <sup>-1</sup>
O(1)–Zn(2)	1.914	$8.379 \times 10^{-2}$	0.4827	-0.1337	0.1272	-0.0065	-41.95
Zn(2)–O(3)	1.914	$8.379 \times 10^{-2}$	0.4827	-0.1337	0.1272	-0.0065	-41.95
<b>(ZnO–H<sub>2</sub>O)<sub>2</sub></b>							
Bond	<i>d</i> /Å	$\rho(r_c)/e \times a_0^{-3}$	$\nabla^2\rho(r_c)/e \times a_0^{-5}$	<i>V</i> ( <i>r<sub>c</sub></i> )/au	<i>G</i> ( <i>r<sub>c</sub></i> )/au	<i>H</i> ( <i>r<sub>c</sub></i> )/au	<i>E</i> /kcal mol <sup>-1</sup>
O(1)–Zn(2)	1.936	$7.907 \times 10^{-2}$	0.4493	-0.1247	0.1185	-0.0062	-39.14
Zn(2)–O(3)	2.022	$6.415 \times 10^{-2}$	0.3278	-0.0942	0.0809	-0.0061	-29.56
Zn(2)–O(6)	2.049	$6.063 \times 10^{-2}$	0.2944	-0.0870	0.0803	-0.0067	-27.30
O(3)–Zn(5)	2.028	$6.324 \times 10^{-2}$	0.3199	-0.0925	0.0862	-0.0062	-29.01
O(6)–Zn(5)	2.043	$6.138 \times 10^{-2}$	0.3005	-0.0884	0.0817	-0.0066	-27.73
Zn(5)–O(4)	1.936	$7.907 \times 10^{-2}$	0.4493	-0.1247	0.1185	-0.0062	-39.14
<b>(ZnO–H<sub>2</sub>O)<sub>4</sub></b>							
Bond	<i>d</i> /Å	$\rho(r_c)/e \times a_0^{-3}$	$\nabla^2\rho(r_c)/e \times a_0^{-5}$	<i>V</i> ( <i>r<sub>c</sub></i> )/au	<i>G</i> ( <i>r<sub>c</sub></i> )/au	<i>H</i> ( <i>r<sub>c</sub></i> )/au	<i>E</i> /kcal mol <sup>-1</sup>
Zn(1)–O(2)	2.102	$5.224 \times 10^{-2}$	0.2370	-0.0732	0.0662	-0.0070	-22.98
O(3)–Zn(4)	2.107	$5.227 \times 10^{-2}$	0.2326	-0.0727	0.0654	-0.0073	-22.82
O(5)–Zn(6)	2.107	$5.255 \times 10^{-2}$	0.2338	-0.0732	0.0658	-0.0074	-22.96
Zn(7)–O(8)	2.111	$5.138 \times 10^{-2}$	0.2289	-0.0717	0.0645	-0.0072	-22.49
<b>(ZnO–H<sub>2</sub>O)<sub>8</sub></b>							
Bond	<i>d</i> /Å	$\rho(r_c)/e \times a_0^{-3}$	$\nabla^2\rho(r_c)/e \times a_0^{-5}$	<i>V</i> ( <i>r<sub>c</sub></i> )/au	<i>G</i> ( <i>r<sub>c</sub></i> )/au	<i>H</i> ( <i>r<sub>c</sub></i> )/au	<i>E</i> /kcal mol <sup>-1</sup>
H(1)–O(2)	1.724	$4.322 \times 10^{-2}$	0.1282	-0.0346	0.0333	-0.0013	-10.86

O(3)-H(4)	1.707	$4.549 \times 10^{-2}$	0.1332	-0.0370	0.0351	-0.0018	-11.60
O(5)-H(6)	1.736	$4.212 \times 10^{-2}$	0.1253	-0.0337	0.0325	-0.0019	-10.57
H(7)-O(8)	1.801	$3.668 \times 10^{-2}$	0.1092	-0.0290	0.0282	-0.0009	-9.10

**(ZnO-H<sub>2</sub>O)<sub>12</sub>**

Bond	d /Å	$\rho(r_c)/e \times a_0^{-3}$	$\nabla^2 \rho(r_c)/e \times a_0^{-5}$	$V(r_c)/\text{au}$	$G(r_c)/\text{au}$	$H(r_c)/\text{au}$	$E/\text{kcal mol}^{-1}$
H(1)-O(2)	1.780	$3.783 \times 10^{-2}$	0.1132	-0.0296	0.0290	-0.0007	-9.29
O(3)-H(4)	1.735	$4.235 \times 10^{-2}$	0.1257	-0.0339	0.0327	-0.0012	-10.63
O(5)-H(6)	1.703	$4.572 \times 10^{-2}$	0.1349	-0.0374	0.0356	-0.0018	-11.73
H(7)-O(8)	1.715	$4.462 \times 10^{-2}$	0.1314	-0.0362	0.0342	-0.0017	-11.36
H(9)-O(10)	1.766	$3.925 \times 10^{-2}$	0.1185	-0.0312	0.0304	-0.0008	-9.79
O(11)-H(12)	1.744	$4.106 \times 10^{-2}$	0.1246	-0.0329	0.0320	-0.0009	-10.31
O(13)-H(14)	1.703	$4.543 \times 10^{-2}$	0.1344	-0.0370	0.0353	-0.0017	-11.61
H(15)-O(16)	1.749	$4.089 \times 10^{-2}$	0.1234	-0.0328	0.0318	-0.0010	-10.28

**ZnO-OH<sup>-</sup>**

Bond	d /Å	$\rho(r_c)/e \times a_0^{-3}$	$\nabla^2 \rho(r_c)/e \times a_0^{-5}$	$V(r_c)/\text{au}$	$G(r_c)/\text{au}$	$H(r_c)/\text{au}^a$	$E/\text{kcal mol}^{-1b}$
O(1)-Zn(2)	1.860	$9.796 \times 10^{-2}$	0.5606	-0.1619	0.1510	-0.0109	-50.81
Zn(2)-O(3)	1.923	$8.209 \times 10^{-2}$	0.4643	-0.1294	0.1228	-0.0067	-40.61

**(ZnO-OH<sup>-</sup>)<sub>2</sub>**

Bond	d /Å	$\rho(r_c)/e \times a_0^{-3}$	$\nabla^2 \rho(r_c)/e \times a_0^{-5}$	$V(r_c)/\text{au}$	$G(r_c)/\text{au}$	$H(r_c)/\text{au}$	$E/\text{kcal mol}^{-1}$
O(1)-Zn(2)	1.969	$7.332 \times 10^{-2}$	0.3974	-0.1119	0.1056	-0.0063	-35.10
Zn(2)-O(3)	1.980	$7.395 \times 10^{-2}$	0.3778	-0.1107	0.1026	-0.0081	-34.74
Zn(2)-O(6)	2.007	$6.965 \times 10^{-2}$	0.3419	-0.1021	0.0938	-0.0083	-32.04
O(3)-Zn(5)	2.007	$6.965 \times 10^{-2}$	0.3419	-0.1021	0.0938	-0.0083	-32.04
O(6)-Zn(5)	1.980	$7.395 \times 10^{-2}$	0.3778	-0.1107	0.1026	-0.0081	-34.74
Zn(5)-O(4)	1.969	$8.523 \times 10^{-2}$	0.4933	-0.1371	0.1302	-0.0069	-43.03

**(ZnO-OH<sup>-</sup>)<sub>4</sub>**

Bond	d /Å	$\rho(r_c)/e \times a_0^{-3}$	$\nabla^2 \rho(r_c)/e \times a_0^{-5}$	$V(r_c)/\text{au}$	$G(r_c)/\text{au}$	$H(r_c)/\text{au}$	$E/\text{kcal mol}^{-1}$
Zn(1)-O(2)	2.088	$5.863 \times 10^{-2}$	0.2496	-0.0811	0.0717	-0.0093	-25.43
O(3)-Zn(4)	2.088	$5.867 \times 10^{-2}$	0.2508	-0.0813	0.0721	-0.0093	-25.51
O(5)-Zn(6)	2.079	$5.962 \times 10^{-2}$	0.2600	-0.0833	0.0742	-0.0092	-26.15
Zn(7)-O(8)	2.092	$5.815 \times 10^{-2}$	0.2469	-0.0805	0.0711	-0.0094	-25.24

**(ZnO-OH<sup>-</sup>)<sub>8</sub>**

Bond	d /Å	$\rho(r_c)/e \times a_0^{-3}$	$\nabla^2 \rho(r_c)/e \times a_0^{-5}$	$V(r_c)/\text{au}$	$G(r_c)/\text{au}$	$H(r_c)/\text{au}$	$E/\text{kcal mol}^{-1}$
H(1)-O(2)	1.907	$3.032 \times 10^{-2}$	0.0823	-0.0239	0.0222	-0.0017	-7.50
O(3)-H(4)	1.898	$3.212 \times 10^{-2}$	0.0888	-0.0254	0.0238	-0.0016	-7.98
O(5)-H(6)	1.933	$2.862 \times 10^{-2}$	0.0769	-0.0226	0.0209	-0.0017	-7.08
H(7)-O(8)	1.913	$2.981 \times 10^{-2}$	0.0809	-0.0235	0.0219	-0.0016	-7.38

**(ZnO-OH<sup>-</sup>)<sub>12</sub>**

Bond	d /Å	$\rho(r_c)/e \times a_0^{-3}$	$\nabla^2 \rho(r_c)/e \times a_0^{-5}$	$V(r_c)/\text{au}$	$G(r_c)/\text{au}$	$H(r_c)/\text{au}$	$E/\text{kcal mol}^{-1}$
H(1)-O(2)	1.892	$3.115 \times 10^{-2}$	0.0854	-0.0245	0.0229	-0.0016	-7.69
O(3)-H(4)	1.874	$3.219 \times 10^{-2}$	0.0896	-0.0254	0.0239	-0.0015	-7.98
O(5)-H(6)	1.884	$3.156 \times 10^{-2}$	0.0871	-0.0249	0.0233	-0.0016	-7.82
H(7)-O(8)	1.885	$3.168 \times 10^{-2}$	0.0871	-0.0250	0.0234	-0.0016	-7.84

H(9)—O(10)	1.852	$3.380 \times 10^{-2}$	0.0947	-0.0268	0.0252	-0.0016	-8.41
O(11)—H(12)	1.907	$3.039 \times 10^{-2}$	0.0826	-0.0241	0.0234	-0.0017	-7.55
O(13)—H(14)	1.914	$2.982 \times 10^{-2}$	0.0809	-0.0249	0.0219	-0.0016	-7.38
H(15)—O(16)	1.925	$2.913 \times 10^{-2}$	0.0785	-0.0230	0.0213	-0.0017	-7.21

<sup>a</sup> $H(r_c) = V(r_c) + G(r_c)$ ; <sup>b</sup> $E = 0.5 \times V(r_c)$ ; (to see the position of a particular bond within the molecule described in Table 3, look for the bond length indicated along the structure in the corresponding Figs. 7 and 8)

Considering the deprotonation reaction of the H<sub>2</sub>O molecules and the transfer of the hydrogen atom to the oxygen of ZnO, as well as the bonding via a new Zn—O coordinate bond, one could conclude that the stability of the system was significantly increased, leading to the particularly stable monomeric ZnO—H<sub>2</sub>O species, which are responsible for a very high nucleation rate of the ZnO nuclei. Consequently, the higher concentration of initial nuclei obtained in "pure" aqueous media probably leads to smaller ZnO nanostructures. Moreover, the formation of the most stable (ZnO—H<sub>2</sub>O)<sub>2</sub> dimer (Fig. 7b) with  $\Delta G^*_{\text{INT}} = -7.76 \text{ kcal mol}^{-1}$  from the association of the most stable ZnO—H<sub>2</sub>O monomers with  $\Delta G^*_{\text{INT}} = -37.36 \text{ kcal mol}^{-1}$  indicates the species for which the association process is less favorable. Interestingly, the calculated Gibbs free energies for the molecular association of ZnO—H<sub>2</sub>O revealed a more spontaneous formation of (ZnO—H<sub>2</sub>O)<sub>4</sub> tetramer ( $\Delta G^*_{\text{INT}} = -13.27 \text{ kcal mol}^{-1}$ ) (Fig. 7c) compared to (ZnO—H<sub>2</sub>O)<sub>2</sub> dimer ( $\Delta G^*_{\text{INT}} = -7.76 \text{ kcal mol}^{-1}$ ). The importance of determining the reactivity of ZnO in most applications stems from its interaction with an aqueous medium. However, a thorough understanding of the interaction of ZnO with H<sub>2</sub>O at the atomic level is still lacking. A detailed DFT analysis provides mechanistic insights into the reactivity of ZnO NPs that triggers the spontaneous dissociation of H<sub>2</sub>O molecules. The Zn and O atoms on the surface have inhomogeneous electronic and geometric-topological properties, providing non-equivalent sites for dissociative and molecular H<sub>2</sub>O adsorption. The dissociation of H<sub>2</sub>O molecules, which is crucial for the formation of reactive O species, has been shown to occur spontaneously at certain Zn surface sites that are electronically modified by the "reactive" O atoms of the surface.<sup>17</sup> Using DFT calculations, the authors have described an atomically detailed mechanism of the reactivity of ZnO NPs with diameters ranging from 9 to 15 Å. This study highlights the importance of spontaneous dissociation of a H<sub>2</sub>O on the ZnO surface, which is responsible for the reactivity of the NPs and enables the better catalytic activity of the ZnO NPs compared to the macroscopic ZnO surface, which prevents the spontaneous dissociation of a single H<sub>2</sub>O. It is possible that the specific atomic surface structures with missing surface atoms offered by the nano-structured ZnO obtained by the hydrothermal synthesis route in "pure" aqueous media is responsible for an enhanced photocatalytic activity compared to those

obtained in aqueous NaOH solution. Therefore, the very consistent results of a joint XAS/photocatalysis study are in agreement with the results obtained by DFT.

Namely, when an aqueous NaOH solution was used as a medium instead of "pure" water, the ZnO is directly attacked with the free hydroxyl group and the formation of another (ZnO—OH) species should be emphasized. When the mentioned Zn—O coordinate bond was formed in the (ZnO—OH) species ( $E_{\text{Zn-O}} = -40.61 \text{ kcal mol}^{-1}$ ,  $d_{\text{Zn-O}} = 1.923 \text{ \AA}$ , see Fig. 7d), the free energy of molecular interaction was released,  $\Delta G^*_{\text{INT}} = -18.04 \text{ kcal mol}^{-1}$ . The calculated values of the Gibbs free energies interaction suggest that the set of the particularly stable (ZnO—H<sub>2</sub>O) species described above, with  $\Delta G^*_{\text{INT}} = -37.36 \text{ kcal mol}^{-1}$ , was found to be the main control parameter that triggered the nucleation processes of ZnO NPs in "pure" aqueous media, being twice as stable as the other existing (ZnO—OH) species in aqueous NaOH solution, with  $\Delta G^*_{\text{INT}} = -18.04 \text{ kcal mol}^{-1}$ . However, the association of the (ZnO—OH)<sub>2</sub> dimers increased the stability of the system and led to a particularly stable tetrameric (ZnO—OH)<sub>4</sub> species (Fig. 7f) with  $\Delta G^*_{\text{INT}} = -27.14 \text{ kcal mol}^{-1}$ , which is even  $9.05 \text{ kcal mol}^{-1}$  more stable than the existing (ZnO—OH)<sub>2</sub> dimer (Fig. 7e) and  $9.01 \text{ kcal mol}^{-1}$  more stable than the (ZnO—OH) monomer. The more or less spontaneous formation of the most stable (ZnO—H<sub>2</sub>O)<sub>n</sub> or/and (ZnO—OH)<sub>n</sub> ( $n \leq 4$ ) prenucleation intermediates in the "pure" aqueous or aqueous NaOH solution plays a crucial role in the nucleation processes as a key step, which then determines the ZnO crystal growth. The mechanism to control the growth of ZnO nanostructures, which was achieved by manipulating the solution chemistry through the initial addition of NaOH to aqueous solution, most likely indicates the formation of different nucleation layers. It seems that the two species (ZnO—OH)<sub>n</sub> and (ZnO—H<sub>2</sub>O)<sub>n</sub> described above may have competed with each other and initiated an association process of the nuclei into "cluster nuclei" and the formation of different nucleation layers in aqueous NaOH solution. Thanks mainly to these distinct dynamic processes, these ZnO nuclei tend to aggregate together. Besides, especially the process of tetramerization of a (ZnO—OH) species, favored in aqueous NaOH solution, seems to be considered as the main favorable process for the aggregation of the nuclei into "cluster nuclei". The formation of "cluster nuclei" and the preferential crystal growth actually represent a dynamic equilibrium between the media-dependent processes of interstage formation mentioned above, the growth rate of cluster nuclei and the dissolution process as assumed in aqueous NaOH solution.

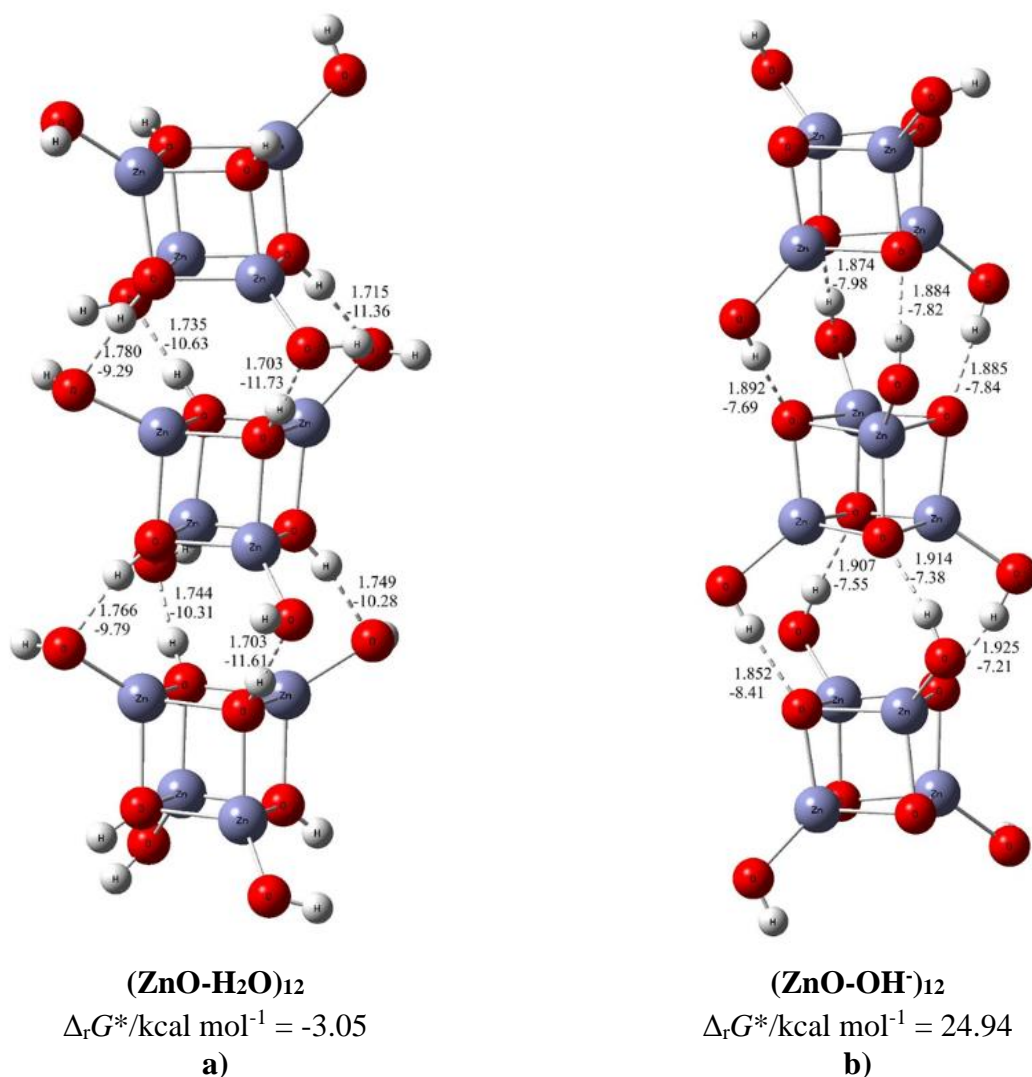
In particular, it is important to emphasize that the direct access of the hydroxyl group from NaOH to ZnO leads to a more spontaneous formation of prenucleation intermediates such

as  $(\text{ZnO}-\text{OH})_2$  dimers ( $\Delta G^*_{\text{INT}} = -18.09 \text{ kcal mol}^{-1}$ ) and even the most stable  $(\text{ZnO}-\text{OH})_4$  tetramers ( $\Delta G^*_{\text{INT}} = -27.14 \text{ kcal mol}^{-1}$ ), which are almost more than twice as stable compared to the formation of dimer  $(\text{ZnO}-\text{H}_2\text{O})_2$  ( $\Delta G^*_{\text{INT}} = -7.76 \text{ kcal mol}^{-1}$ ) and tetramer  $(\text{ZnO}-\text{H}_2\text{O})_4$  ( $\Delta G^*_{\text{INT}} = -13.27 \text{ kcal mol}^{-1}$ ) (Table S3). Thus, the calculated Gibbs free energies for the association of the two dimers in aqueous NaOH solution indicate that the amount of these particularly dominant  $(\text{ZnO}-\text{OH})_4$  tetramers with  $\Delta G^*_{\text{INT}} = -27.14 \text{ kcal mol}^{-1}$  points to a species that play a crucial role in the formation processes of the ZnO "cluster cores" among all of them.

However, irrespective of the presence of a hydroxide or water molecule in the appropriate simulated model species, the formation of a fairly similar structural motif with subtle differences is observed for all the dimeric and tetrameric prenucleation species described above, as shown in Fig. 7. Due to the high chelating effect of both the water molecule and the hydroxyl group towards the zinc, the same tetrahedral geometry has been established in the above species, which increases the stability of the zinc. It is important to emphasize that the formation of the structural motif in all the above dimers and tetramers was achieved by a new Zn—O coordinate bond via a free electron pair donation from the oxygen atom to the zinc atoms. Taking into account all the above DFT results, one could conclude that the nucleation process in "pure" aqueous media is mainly carried out by the most stable  $(\text{ZnO}-\text{H}_2\text{O})$  monomers ( $\Delta G^*_{\text{INT}} = -37.36 \text{ kcal mol}^{-1}$ ), while the  $(\text{ZnO}-\text{OH})_4$  tetramers ( $\Delta G^*_{\text{INT}} = -27.14 \text{ kcal mol}^{-1}$ ) are the most spontaneous among all precursor species in aqueous NaOH solution and play a crucial role in the nucleation processes achieved by "cluster nuclei". In summary, when "pure" water is used as the reaction medium, tiny nuclei are formed in a high supersaturation, leading to smaller ZnO nanostructures, while when the medium is changed from aqueous to aqueous NaOH solution, an association of the tiny nuclei into "cluster nuclei" leads to the appearance of larger ZnO nanostructures. Indeed, the aggregation of the nuclei into "cluster nuclei" leads to a slowing down of the initial uncontrolled nucleation, which actually means that the nucleation rate is better controlled than in "pure" aqueous solution. Thus, the initial formation of "cluster nuclei" was promoted in the aqueous NaOH solution, which leads to a decrease in the total amount of initial crystalline nuclei of ZnO. Consequently, the lower amount of initial crystalline nuclei is a bottleneck for ZnO growth and leads to a larger ZnO nanostructure in aqueous NaOH solution. Obviously, it is very likely that small ZnO crystallites formed from "cluster cores" have more free space to grow, which may lead to the formation of larger ZnO nanostructures that are beneficial for further hierarchical crystal growth of hollow spindle-shaped ZnO microstructures with lamellar hexagonal stacks.

*Growth Process.* The above results indicate the differences in nucleation processes in "pure" aqueous or aqueous NaOH solution, which consequently determine the two following growth processes, primary ZnO nano-substructures and potential hierarchical microstructures. However, a more detailed theoretical study is still needed to propose possible growth mechanisms of the primary nanostructures as well as the final hollow hierarchical ZnO microstructures. Therefore, the theoretical study was extended to the construction of other higher oligomeric species to model the possible bonding interactions involved in the processes of ZnO growth and to fully elucidate the growth of hierarchical ZnO microstructures in different reaction media. There is a possibility that the more or less spontaneous formation of other types of stable oligomers (i. e.,  $(\text{ZnO}-\text{H}_2\text{O})_{12}$  or/and  $(\text{ZnO}-\text{OH})_{12}$  dodecamers) in the "pure" aqueous or aqueous NaOH solution could be responsible for a different growth mechanism of the ZnO nanosubstructures as well as the final microstructures.

In this context, it is important to emphasize the importance of the O—H $\cdots$ O hydrogen bonds, which are the only ones that occur in the formation of the most stable octamers (Table 3) from the association of the two most stable tetramers, as well as the dodecamers (Fig. 8) from the association of three tetramers.



**Figure 8.** The most stable structures of the (ZnO–H<sub>2</sub>O)<sub>12</sub> and (ZnO–OH<sup>-</sup>)<sub>12</sub> dodecamers (the bond distances in Å, the bond energies in kcal mol<sup>-1</sup>).

Indeed, the DFT calculations revealed shorter H–O distances in the corresponding structural motif in (ZnO–H<sub>2</sub>O)<sub>12</sub> ( $d_{\text{O}\cdots\text{H}}$  values from 1.703 to 1.780 Å) compared to (ZnO–OH)<sub>12</sub> ( $d_{\text{O}\cdots\text{H}}$  values from 1.852 to 1.925 Å), highlighting the importance of the differences in the O–H $\cdots$ O hydrogen bonding interaction, which are the only ones occurring upon association of the most stable (ZnO–H<sub>2</sub>O)<sub>4</sub> or (ZnO–OH)<sub>4</sub> tetramers. Consequently, the calculated values of the energies of a hydrogen bond ( $E_{\text{O}\cdots\text{H}}$  values up to -11.73 kcal mol<sup>-1</sup>) of the (ZnO–H<sub>2</sub>O)<sub>12</sub> interaction indicate stronger bonding in "pure" aqueous solution compared to the interaction of (ZnO–OH)<sub>12</sub> ( $E_{\text{O}\cdots\text{H}}$  values up to -8.41 kcal mol<sup>-1</sup>) found in the aqueous NaOH solution. The presence of somewhat stronger O–H $\cdots$ O hydrogen bonds in "pure" aqueous media leads to more spontaneous formation of oligomeric species such as octamers and dodecamers, which



are related to those that are shaped in aqueous NaOH solution. Such a conclusion follows in particular from the values of the calculated Gibbs free energies of the interactions obtained for  $(\text{ZnO}-\text{H}_2\text{O})_{12}$  compared to  $(\text{ZnO}-\text{OH})_{12}$ . Indeed, it is important to emphasize that the formation of the most stable octamers and dodecamers occurs only in the "pure" aqueous medium as a spontaneous exergonic process with  $\Delta G^*_{\text{INT}} = -1.04 \text{ kcal mol}^{-1}$  for  $(\text{ZnO}-\text{H}_2\text{O})_8$  but even more so for  $(\text{ZnO}-\text{H}_2\text{O})_{12}$  with  $\Delta G^*_{\text{INT}} = -3.05 \text{ kcal mol}^{-1}$ , while it is endergonic in aqueous NaOH solution ( $\Delta G^*_{\text{INT}} > 0$ ) (Figs. 8 and S3). In other words, in the presence of aqueous NaOH solution as the reaction medium, the formation of the most stable  $(\text{ZnO}-\text{OH})_8$  octamer (Fig. S3) with  $\Delta G^*_{\text{INT}} = 17.07 \text{ kcal mol}^{-1}$  and the most stable  $(\text{ZnO}-\text{OH})_{12}$  dodecamer with  $\Delta G^*_{\text{INT}} = 24.94 \text{ kcal mol}^{-1}$  indicates a species for which the association of the most stable tetramers is a rather unfavorable endergonic process. The tendency to form a rather similar structural motif in all the oligomeric species described above is established by the DFT study, as shown in Figs. 8 and S3. It is important to emphasize that in the formation of both octamers and dodecamers, the association of  $(\text{ZnO}-\text{H}_2\text{O})_4$  and  $(\text{ZnO}-\text{OH})_4$  tetramers occurred via four  $\text{O}-\text{H}\cdots\text{O}$  hydrogen bonds. Of particular note are the advantages of the association of a  $(\text{ZnO}-\text{H}_2\text{O})_4$  tetramer in the formation of a  $(\text{ZnO}-\text{H}_2\text{O})_{12}$  dodecamer with  $\Delta G^*_{\text{INT}} = -3.05 \text{ kcal mol}^{-1}$  compared to the association of  $(\text{ZnO}-\text{OH})_4$  tetramer to  $(\text{ZnO}-\text{OH})_{12}$  dodecamer with  $\Delta G^*_{\text{INT}} = 24.94 \text{ kcal mol}^{-1}$ , which is more stable by  $27.99 \text{ kcal mol}^{-1}$  due to the presence of much stronger  $\text{O}-\text{H}\cdots\text{O}$  hydrogen bonds. It seems that the spontaneous exergonic formation process ( $\Delta G^*_{\text{INT}} < 0$ ) of the most stable octamers and dodecamers achieved in "pure" aqueous media, as well as the endergonic formation process ( $\Delta G^*_{\text{INT}} > 0$ ) in aqueous NaOH solution, determines the preferential growth process of ZnO nanostructures. The DFT results, both the calculated values of the energies, of the Gibbs free energies of the interactions and of the hydrogen bonds, and in particular the specific structural motif of the dodecamers shown in Fig. 8, provide an evidence that the formation of anisotropic nanocrystalline ZnO with the *c*-axis as the primary growth direction is significantly accelerated in "pure" aqueous reaction media and is very sensitive to the addition of NaOH, as shown by the PXRD results confirming the preferential growth changes in the crystallite regions (Fig. 2). Moreover, the calculated DFT results indicate a rather complex nature of the formation and growth mechanism of ZnO nanosubstructures and then microstructures, which can be thermodynamically considered as a sequence of thermodynamic barriers, including the energy for the formation of prenucleation intermediates, that initiate nucleation and preferential nanocrystalline growth, as well as their hierarchical growth by stacking nanosubstructures. The most stable  $(\text{ZnO}-\text{H}_2\text{O})_n$  and

(ZnO—OH)<sub>n</sub> structures ( $n \leq 12$ ) and the calculated values of Gibbs free energies of the interactions are listed in Table S3 and depicted in Fig. S3, while the bond lengths ( $d$ ), energies ( $E$ ) and QTAIM properties of the selected bonds in monomers, dimers and oligomers are summarized in Table 3.

The correlation of the results from DFT with the results of PXRD structural analysis is crucial to greatly simplify the interpretation of the preferential growth changes in the crystallite domains. The hexagonal wurtzite ZnO is a typical polar crystal with preferential growth along the [0001] direction. The PXRD analysis of all prepared samples shows the formation of a hexagonal wurtzite ZnO structure, albeit with subtle but clearly discernible differences depending on the reaction medium and other details of the preparation. According to the PXRD measurements the relative intensities between (100), (002) and (101) Bragg reflections of the ZnO samples prepared in "pure" aqueous solution and aqueous NaOH solution have changed, which can be associated with preferential growth changes in the crystallite domains. Of particular note is the decrease in the observed  $I_{002}/I_{100}$  values from 1.10 to 0.33 upon addition of NaOH. The observed results indicate that the growth of ZnO structures oriented along the  $c$ -axis is clearly preferred in "pure" aqueous solution compared to aqueous NaOH solution. In addition to these preferential growth changes in the crystallite domains, the correlation of the results from DFT with the HRTEM/FE SEM (Surface Morphology Imaging) results of the present study allows us to draw a general conclusion regarding the overall shape of the NPs as a function of the subtle preparation conditions. Thus, the specific structural motif of the (ZnO—H<sub>2</sub>O)<sub>12</sub> dodecamers visible in the DFT model structure with calculated negative  $\Delta G^*_{\text{INT}}$  release energy (Fig. 8a) indicates that the formation of an anisotropic nanocrystalline ZnO with the  $c$ -axis as the primary growth direction is significantly accelerated in "pure" aqueous reaction media, compared to aqueous NaOH solution, resulting in thin ZnO nanorods that preferentially grow in the typical growth direction along the  $c$ -axis (according to the PXRD/HRTEM/FESEM results, Figs. 2–4). As shown by a discussion of the FESEM/HRTEM/PXRD results of the present study, and according to DFT calculations, the growth of nanostructures in the directions perpendicular to the  $c$ -axis was suppressed in "pure" aqueous solution. It seems that the elongation and constriction of ZnO particles in aqueous solution could be explained by the effect of water on the reactivity of individual crystal facets during crystal growth. Numerous experimental and theoretical studies indicate molecular and dissociative adsorption of water molecules on ZnO surfaces.<sup>15,17,61</sup>

Moreover, the nanoscale ZnO substructures have a high tendency to stack into microstructures through the secondary growth process. However, the mechanism of the secondary growth process of ZnO microstructures is quite complicated and involves non-covalent interactions such as hydrogen bonds, electrostatic forces, the screening effect, etc. Obviously, both the preferential ZnO nano- and microstructure growth processes are controlled by O—H···O hydrogen bonds, which allow faster or slower growth along the preferential *c*-axis. In summary, the correlation of the results of both the theoretical and experimental study indicates a different growth mechanism of the final ZnO microstructures, highlighting the tendency for hierarchical lamellar stacking of the hexagonal nanosubstructures in aqueous NaOH solution compared to lateral stacking of the nanosubstructures in "pure" aqueous media during the preferential crystal growth along the *c*-axis. Namely, in the second phase in the aqueous NaOH solution, giant hollow spindle-shaped particles were obtained, which grew layer by layer with lamellar hexagonal stacks and exhibited a hierarchical microstructure (Fig. 3, left panel). On the other hand, primary thin nanorods obtained in "pure" aqueous solution have a high tendency to stack laterally in the second growth phase, resulting in elongated, non-hollow ZnO microstructures (Figs. 3e (inset)). This is quite different from the presumed mechanism of lamellar hierarchical stacking of hexagonal nanodiscs leading to the formation of spindle-shaped ZnO in aqueous NaOH solution.

The O—H···O bonds described above contribute to the effectiveness of attaching lamellar substructures as well as to the compactness of ZnO microstructures composed of laterally stacked thin nanorods. It appears that the presence of stronger O—H···O-hydrogen bonds in "pure" aqueous media ( $E_{\text{O}\cdots\text{H}}$  values up to  $-11.73 \text{ kcal mol}^{-1}$ ) contributes even more to the stability, compactness, and smoothness of ZnO microstructures compared to those in aqueous NaOH solution ( $E_{\text{O}\cdots\text{H}}$  values up to  $-8.41 \text{ kcal mol}^{-1}$ ). Moreover, it seems that more significant stability of final ZnO particles was achieved when only water is used as reaction media. On the other side, increasing the concentration of OH<sup>-</sup> ions appears to enhance the reactivity at the solid-liquid interface and hence favors the formation of completely hollow hexagonal ZnO microtube, according to the FESEM (see Fig. 3c). Namely, the formation of hollow hexagonal ZnO microstructures for longer ageing time as well as concentration of NaOH is probably the consequence of adsorbed OH<sup>-</sup> ions on the most reactive polar {0001} facets of the ZnO due to positive Zn<sup>+</sup> electrostatic interaction. These three parameters (i.e., the presence of NaOH, concentration of NaOH and aging time) are reviewed in order to evaluate their respective roles.

Generally considered, the overall shape is determined by combination of structurally related internal (intermolecular bonding preferences or dislocations in crystal) and external experimental details, in particular solvent effect.<sup>62,63</sup> Correlation of all results of the present study, both experimental and theoretical, points to the strong impact of reaction media on the preferential crystal growth changes and overall shape of ZnO particles. One can conclude that the specific surface atomic structures with missing atoms, offered by ZnO NPs obtained through hydrothermal synthesis route in "pure" aqueous media compared with those yielded in aqueous NaOH solution, make them a better choice in solar photocatalysis, as more pollutants can be simply adsorbed and a higher rate of degradation can be achieved.

#### 4. CONCLUSIONS

This integrated experimental and theoretical approach provides a deeper insight into the growth mechanism and photocatalytic behavior of hierarchical ZnO structures. In this research, by changing the reaction medium from "pure" aqueous to aqueous NaOH solution, we have investigated the subtle but clearly visible differences in the structural, microstructural and especially photocatalytic performance of ZnO particles. These morphological and structural differences are reflected in significant changes in the photocatalytic activity estimated by the higher and faster degradation of RhB over ZnO samples prepared in "pure" aqueous solution compared to those that are prepared in aqueous NaOH solution. The results obtained from the X-ray absorption data and the refinements of the photocatalytic measurements are in acceptable agreement. It was found that the ZnO samples obtained in "pure" aqueous solution have more nanostructures with missing atoms on the surface compared to the those prepared in aqueous NaOH solution. Apparently, these atom vacancies can be considered as active sites for enhanced photocatalytic efficiency of ZnO nanostructures. The experimental findings, confirmed by the results of DFT, were used to predict the nucleation and crystal growth mechanism of ZnO particles. The calculations indicate that the process of ZnO nucleation in aqueous solution is mainly through the reaction of small monomers, while tetramers play a crucial role in aqueous NaOH solution. In summary, when "pure" water was used as the reaction medium, the tiny nuclei were formed in a high supersaturation, leading to smaller ZnO nanostructures, while when the medium was changed from aqueous to aqueous NaOH solution, an association of tiny nuclei to "cluster nuclei" led to the appearance of larger ZnO nanostructures.

Consequently, the differences in nucleation processes in "pure" aqueous or aqueous NaOH solution determine the two following growth processes, primary ZnO nanostructures and potential hierarchical microstructures. The correlation of the results of both the theoretical and experimental study indicates a different growth mechanism of the ZnO nano- and microstructures, revealing the tendency of hierarchical lamellar stacking of hexagonal nanodiscs in aqueous NaOH solution compared to lateral stacking of nanorods in "pure" aqueous media during the preferential crystal growth along the *c*-axis. Both preferential growth processes of the ZnO nanostructures and microstructures are driven by O—H···O hydrogen bonds as controlling elements. The calculated values of the  $E_{O\cdots H}$  interaction indicate a stronger interaction via O—H···O hydrogen bonds in "pure" aqueous media ( $E_{O\cdots H}$  values up to -11.73 kcal mol<sup>-1</sup>), compared to those obtained in aqueous NaOH solution ( $E_{O\cdots H}$  values up to -8.41 kcal mol<sup>-1</sup>).

An decrease in the observed  $I_{002}/I_{100}$  values upon addition of NaOH has provided an indication of the pronounced preferential growth rate of the ZnO structures oriented along the *c*-axis in "pure" aqueous solution compared to aqueous NaOH solution. A synergistic effect between the  $I_{002}/I_{100}$  values and crystallite size on the photocatalytic activity was found. Namely, the increased  $I_{002}/I_{100}$  ratio observed in "pure" aqueous media significantly enhanced the photocatalytic activity. It seems that the crystallite size and the  $I_{002}/I_{100}$  ratio are primarily related to the photocatalytic activity. The correlation of the DFT results with the PXRD/XAS/HRTEM/FESEM results of the present study allows us to link to the general conclusions regarding the preferential growth changes in the crystallite domains as well as the overall particle shape as a function of the subtle preparation conditions. The specific structural motif of the (ZnO—H<sub>2</sub>O)<sub>12</sub> dodecamers with calculated negative  $\Delta G^*_{INT}$  release energy indicates that the formation of an anisotropic nanocrystalline ZnO with the *c*-axis as the primary growth direction is spontaneous and accelerated in "pure" aqueous media, while it is an unfavorable endergonic process in aqueous NaOH solution ( $\Delta G^*_{INT} > 0$ ). The advantages of (ZnO—H<sub>2</sub>O)<sub>4</sub> tetramer association in the formation of (ZnO—H<sub>2</sub>O)<sub>12</sub> dodecamers ( $\Delta G^*_{INT} = -3.05$  kcal mol<sup>-1</sup>) in "pure" aqueous solution should be emphasized in comparison with the association of (ZnO—OH)<sub>4</sub> tetramer to (ZnO—OH)<sub>12</sub> dodecamers in aqueous NaOH solution. It seems that the spontaneous exergonic formation process ( $\Delta G^*_{INT} < 0$ ) of the most stable dodecamers achieved in "pure" aqueous media, as well as the endergonic formation process ( $\Delta G^*_{INT} > 0$ ) in aqueous NaOH solution, determines the preferential growth process of ZnO nanostructures.

It can be concluded that increasing the concentration of  $\text{OH}^-$  ions seems to increase the reactivity at the solid-liquid interface, thus favoring the formation of fully hollow hexagonal ZnO microtubes, according to FE SEM micrographs. In particular, the presence of much stronger  $\text{O}-\text{H}\cdots\text{O}$  hydrogen bonds in "pure" aqueous media contributes even more to the stability and compactness as well as smooth facets of the ZnO particles than in aqueous NaOH solution.

## ASSOCIATED CONTENT

### Supporting Information

Supporting Information is available free of charge on the ACS Publications website at DOI:  
Table with unit cell metrics extracted from the Rietveld refinements, Details of the computational methods, UV-Vis absorption spectra of the RhB solution as a function of illumination time, Optimized structures of studied species, Tables of interaction Gibbs free energies, Table of optimized Cartesian atomic coordinates

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### Notes

The authors declare that they have no competing financial interest.

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## Table of Contents Synopsis

The effect of NaOH on the growth mechanism and photocatalytic performance of hydrothermally synthesized tubular hierarchical ZnO structures was investigated. The DFT calculations revealed that both the preferred ZnO nanostructure and microstructure growth processes are driven by O—H···O hydrogen bonds as controlling elements. According to X-ray Absorption Spectroscopy measurements, the ZnO particles obtained in "pure" aqueous solution show the highest photocatalytic activity due to the presence of a larger amount of oxygen vacancies.

## Table of Contents Graphic

