

## Dissolved organic matter dynamics in the pristine Krka River estuary (Croatia)

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### Abstract

The karstic Krka River is characterized by having lower dissolved organic carbon (DOC) concentrations (~30  $\mu\text{M}$ ) than coastal seawater (~60  $\mu\text{M}$ ). This peculiarity, together with the pristine nature of this area, makes the Krka River estuary a natural laboratory where it is possible to discriminate among the different dissolved organic matter (DOM) sources (riverine, marine and produced in-situ) and to study the main processes of DOM production and removal. The hypothesis behind this work is that in winter, due to the high discharge of the river, most of the DOM has a terrestrial signature, whereas in summer autochthonous DOM compose the main fraction of the DOM pool because of the reduced discharge, the high temperature and primary production. Our data shows that DOM in the river mainly consists of terrestrial molecules, as suggested by the high chromophoric content and low spectral slope ( $S_{275-295}$ ) values, as well by the predominance of humic-like substances. DOM in the seawater features the concentration and optical properties of the “typical” marine DOM from open sea waters. In summer, low riverine discharge and high temperature promote the intense biological activity, with an increase in DOC concentrations of up to 148  $\mu\text{M}$ , resulting in a non-conservative behavior of DOM in the estuary. The high stratification combined with a decoupling between production and removal processes can explain the observed DOM accumulation. In the bottom layer DOM was released and quickly removed when oxygen was available, whereas in hypoxic waters the production of DOC, chromophoric DOM (CDOM) and fluorescent DOM (FDOM) was linearly related to oxygen consumption. Our work highlights the need of further studies combining chemical and biological information in order to gain new insights into the main processes responsible for DOM dynamics in this system.

*Keywords:* Chromophoric dissolved organic matter (CDOM), Dissolved organic carbon (DOC), Excitation-emission matrices (EEMs), PARAFAC, Krka River estuary, Stratified estuary, In-situ production, Terrestrial DOM

31

32 **1. Introduction**

33 Dissolved organic matter (DOM) in the oceans contains the largest pool of reduced carbon on Earth: the  
34 dissolved organic carbon (DOC) ([Hansell et al., 2009](#)). With an inventory of 662 Pg C, the DOC pool in the  
35 oceans is comparable to the amount of CO<sub>2</sub> in the atmosphere. It, therefore, plays a crucial role in the  
36 global carbon cycle. In the oceans, DOM concentration is the result of in-situ biological processes of  
37 production and removal and its cycle controls the functioning of marine ecosystems ([Carlson and Hansell](#)  
38 [\(2015\)](#) and literature therein). Data on DOC $\delta^{13}\text{C}$  support that most of the DOM in the open oceans is  
39 autochthonous ([Beaupré \(2015\)](#) and literature therein; [Druffel et al. \(1992\)](#)). Rivers ([Fichot and Benner,](#)  
40 [2014](#); [Mulholland, 2003](#); [Raymond and Spencer, 2015](#); [Retelletti Brogi et al., 2020](#)), the atmosphere  
41 ([Galletti et al., 2020](#); [Miller et al., 2009](#); [Pulido-Villena et al., 2008](#); [Vicente et al., 2012](#)), and sediments  
42 ([Burdige and Komada \(2015\)](#) and literature therein) represent important sources of allochthonous DOM  
43 to the oceans. Chromophoric/colored DOM (CDOM) is the fraction of DOM capable of absorbing light at  
44 the UV and visible wavelengths. A fraction of CDOM, called fluorescent DOM (FDOM), can emit part of  
45 the absorbed light as fluorescence. Although CDOM represents a small and not well-defined fraction of  
46 the entire DOM pool, it is of vital importance for the marine ecosystem, since it is one of the main  
47 factors determining the light availability (penetration depth) in clear open ocean waters ([Stedmon and](#)  
48 [Nelson, 2015](#)). DOM composition is chemically very complex and cannot be easily characterized ([Repeta,](#)  
49 [2015](#)). CDOM and FDOM give indirect qualitative information about the DOM pool, such as average  
50 aromaticity degree and molecular weight as well as the occurrence of humic-like, fulvic-like and protein-  
51 like substances. These information can enhance our understanding of the main sources and processes  
52 affecting DOM distribution ([Dainard et al., 2015](#); [Fellman et al., 2011](#); [Galletti et al., 2019](#); [Lee et al.,](#)  
53 [2018](#); [Li and Hur, 2017](#); [Yamashita et al., 2011](#); [Zhou et al., 2019](#)). River input is a relevant source of DOM  
54 for the coastal areas ([Raymond and Spencer, 2015](#); [Retelletti Brogi et al., 2020](#)), where an inverse  
55 relationship is usually observed between DOC and salinity ([Li et al., 2019](#); [Massicotte et al., 2017](#);  
56 [Retelletti Brogi et al., 2015](#); [Zhou et al., 2019](#)). Estuaries are the transition zones, where photochemical  
57 and/or biological processes can transform the riverine DOM before its input to the coastal ocean  
58 ([Retelletti Brogi et al., 2015](#); [Santos et al., 2014](#); [Søndergaard et al., 2003](#)); here, allochthonous DOM can  
59 be partially removed and replaced by the DOM produced by autochthonous processes ([Fellman et al.,](#)  
60 [2011](#); [Gonnelli et al., 2013](#); [Lee and Kim, 2018](#); [Osburn et al., 2019](#); [Raymond and Spencer, 2015](#)). These  
61 processes are particularly relevant in estuaries largely controlled by changes in river flow, during periods  
62 of reduced discharge ([Dixon et al., 2014](#); [Fellman et al., 2011](#); [Santos et al., 2016](#)). As an example, [Dixon](#)

63 [et al. \(2014\)](#) found that in Neuse River estuary, lower inputs of allochthonous DOM and increased water  
64 residence times allowed for the net production of autochthonous DOM. This work also showed that  
65 shallow microtidal estuaries can generate significant amounts of autochthonous DOM which can  
66 compose the main fraction of the DOM pool during low river flow. These environments are highly  
67 dynamic and influenced by multiple processes, making it very difficult to discriminate among different  
68 inputs and processes.

69 The main goal of this study is to disentangle 3 different DOM pools (riverine, marine and produced in-  
70 situ by biological activity) and to investigate their spectral characteristics, including fluorescence  
71 excitation-emission matrices (EEMs) and spectral slope curves (SSCs), in a low-DOM karst system: the  
72 Krka River estuary. The hypothesis is that in winter, due to the high river discharge, most of DOM has a  
73 terrestrial signature, whereas in summer in-situ production of DOM dominates because of the reduced  
74 river discharge, the high temperature and primary production. Due to the expected low DOM  
75 concentration in the Krka River it will be possible to discriminate among the different DOM sources  
76 (riverine, marine, produced in-situ) and to study the main processes of DOM production and removal in  
77 the estuary.

78

### 79 *1.1. Krka River estuary: a case study for DOM dynamics in low DOM systems*

80 The Krka River is one of the major karstic rivers flowing to the middle Adriatic coast, along with the rivers  
81 Zrmanja and Cetina. Most of the Croatian coast is covered in limestone prone to karstification. The process  
82 of karstification forms tufa barriers which create lakes and waterfalls along the river flow. The Krka National  
83 Park occupies the lower part of the Krka River and the upper part of the estuary, and the tufa barriers  
84 and waterfalls are its basic natural phenomenon. Anthropogenic influence along the lower river flow is  
85 negligible, the vegetation is scarce and the tufa barriers and lakes retain much of the organic material  
86 and sediment load ([Cindric et al., 2015](#); [Cukrov et al., 2008](#); [Legovic et al., 1994](#); [Scribe et al., 1991](#)),  
87 making the freshwater entering the estuary exceptionally clean and comparable to the world's most  
88 pristine riverine systems. The very low concentration of trace elements support the cleanliness of the  
89 Krka River water ([Cindric et al., 2015](#); [Cukrov et al., 2008](#); [Legovic et al., 1994](#); [Scribe et al., 1991](#)). With  
90 an annual nutrient input of  $55 \times 10^6$  mol N,  $1.8 \times 10^6$  mol P and  $36.4 \times 10^6$  mol Si, the estuary is a P-limited  
91 system ([Grzetic et al., 1991](#); [Svensen et al., 2007](#)). The estuary has a total length of 23.5 km, and it begins  
92 after the last and biggest waterfall: Skradinski Buk (46 m high) (Fig. 1). As a result of its specific  
93 geography and low tidal influence (30-40 cm ([Legovic et al., 1994](#))), the estuary is permanently stratified.

94 The surface fresh/brackish water layer (FWL) is separated from the sea water layer (SWL) by a sharp  
95 halocline formed at a depth between 1.5 and 5 m. Extension of the surface layer depends on the Krka  
96 River discharge, which has an average annual value of  $52.9 \text{ m}^3 \text{ s}^{-1}$  ([Buzancic et al., 2016](#)). In the widest  
97 parts of the estuary, during high river flow, seawater renewal times range between 50 and 100 days,  
98 whereas, during low river flow, seawater renewal time is up to 250 days ([Legovic, 1991](#)). While the Krka  
99 River estuary is in general well characterized on its hydrology, biological status and trace metals behavior  
100 ([Cetinic et al., 2006](#); [Cindric et al., 2015](#); [Knežević et al., 2019](#); [Legovic et al., 1991a](#); [Legovic et al., 1991c](#);  
101 [Pađan et al., 2019a](#); [Pađan et al., 2019b](#); [Supraha et al., 2014](#); [Svensen et al., 2007](#)), few papers report  
102 data on DOC ([Cindric et al., 2015](#); [Laureillard and Saliot, 1993](#); [Louis et al., 2009](#); [Svensen et al., 2007](#);  
103 [Svetličić et al., 1991](#)) and to the best of our knowledge, no information about CDOM and FDOM  
104 dynamics is reported. This is the first study focused on the organic matter dynamics in the Krka River  
105 estuary.

106

## 107 **2. Materials and methods**

### 108 *2.1. Sampling stations and samples collection*

109 Samples were collected at 16 stations along the estuary, from Skradinski Buk waterfall to the coastal  
110 area, south of the Island Zlarin ([Fig. 1](#)). Samples were collected at 2 depths (surface and bottom) in  
111 winter (February 12<sup>th</sup>, 2019), during high Krka River flow, and summer (July 24<sup>th</sup>, 2019), during low Krka  
112 River flow ([Fig. S1](#)). At selected stations (station M1, in front of Martinska marine station, in February  
113 2019; stations 5, M1 and M2, located in the mussel farm, in July 2019) ([Fig. 1](#)), samples were collected at  
114 6 depths, chosen from the vertical salinity profiles (2 in FWL, 2 at freshwater-seawater interface and 2 in  
115 SWL). On July 22<sup>nd</sup> 2019, six additional samples were collected in the Krka River, at stations K1-K6 ([Fig.](#)  
116 [S2](#)). The sampling in the river was carried out when a phytoplankton bloom occurred in the Visovac Lake  
117 (station K4), preceding the Skradinski Buk waterfalls.

118 In order to investigate the processes above and below the halocline, samples were separated according  
119 to the salinity in the freshwater layer (FWL;  $S < 20$ ) and the seawater layer (SWL;  $S > 37$ ). These two groups  
120 include all the samples. In order to characterize DOM in river water and seawater, samples were further  
121 divided. For river water (RW), we took into consideration only the samples collected in the surface layer  
122 between station 0 and station 7 ( $S < 1$ ) in February and at stations K1 and 2 ( $S < 1$ ) in July. For seawater  
123 (SW), we took into consideration only the samples characterized by  $S > 38$  in both periods. Finally, for the  
124 mixing area, we took into consideration only the samples characterized by salinity ranging from 1.8 to

125 36. Because of the influence of phytoplankton bloom at station K4 on DOM quality in downstream  
126 waters, stations K1-2 were used as representative for riverine DOM in July, instead of stations 0-7, as in  
127 February.

128 Vertical profiles of physical-chemical parameters (salinity, temperature, oxygen saturation, chlorophyll *a*  
129 (chl-*a*)) were measured by using the EXO2 multiparameter CTD probe (YSI). The sensors of the  
130 multiprobe were checked and calibrated before each field campaign. For each sensor (except  
131 temperature and depth) a factory-recommended two-point calibration was performed, within 3 m above  
132 the sea level. Apparent oxygen utilization (AOU) was calculated using the Ocean Data View software  
133 (ODV, version 5.1.7) ([Schlitzer, 2002](#)), as the difference between the oxygen at saturation and the oxygen  
134 measured in-situ ([Garcia et al., 2013](#)).

135 Samples were collected using van Dorn-type horizontal sampler (alfa or beta, Wildco), and immediately  
136 filtered on-board through 0.22 µm CA filters (Sartorius) by using pre-cleaned syringes (5% v/v HNO<sub>3</sub>,  
137 rinsed 3 times with Mili-Q water). Samples were stored at 4 °C in pre-cleaned (1% v/v HCl, rinsed 3 times  
138 with Mili-Q water) polycarbonate (Nalgene) bottles until the analysis, carried out within one month,  
139 since repeated tests for both, absorbance and fluorescence, showed no change in the spectra over 1  
140 month. Syringes, filters and bottles were rinsed 3 times with the sample before its collection. The  
141 filtration system (syringe + filter) was selected after repeated tests with Milli-Q water, since the water  
142 filtered through the pre-cleaned system was not contaminated with DOC, and it showed the same  
143 UV/Vis spectra and EEM before and after the filtration.

144 The vertical distribution of physical (salinity and temperature), chemical (oxygen) and biological (chl-*a*)  
145 parameters in the estuary were interpolated using DIVA gridding in the Ocean Data View software ([Figs.](#)  
146 [2-3](#) and [Figs. S3-4](#)), whereas DOC, CDOM and FDOM parameters ([Figs. S5-8](#)) are shown as colored dots  
147 since the number of the data points was not sufficient for good interpolation.

148

## 149 *2.2. Dissolved organic carbon measurements*

150 DOC concentration was determined by high temperature catalytic oxidation using a Shimadzu TOC-VCSN  
151 carbon analyzer. Prior to oxidation, samples were acidified with 2 M high purity HCl and purged for 3 min  
152 with pure air to remove inorganic carbon. In order to achieve satisfying analytical precision (±1%), up to  
153 5 replicate injections were performed. At the beginning and the end of each analytical day, the system  
154 blank was measured using Milli-Q water and the functioning of the instrument was checked by  
155 comparison of data with DOC Consensus Reference Material (CRM) ([Hansell, 2005](#)) (batch #18/08-18,

156 measured concentration:  $43.7 \pm 0.8 \mu\text{M}$ ,  $n = 14$  and batch #19/03-19, measured concentration:  $40.5 \pm$   
 157  $0.6 \mu\text{M}$ ,  $n = 8$ ). The external calibration curve was measured with potassium hydrogen phthalate as the  
 158 organic standard. For more details please refer to [Santinelli et al. \(2015\)](#).

159

### 160 2.3. Absorbance measurements

161 UV-Vis spectra were measured using a JASCO Spectrophotometer V-550 with 10-cm Suprasil quartz  
 162 cuvettes. The scan was performed between 230 and 800 nm using a 1000 nm/min scan rate and 0.5 nm  
 163 resolution. The spectrum of Milli-Q water, measured in the same conditions, was used as a blank and  
 164 subtracted from each sample. In order to minimize light scattering interferences, baseline subtraction of  
 165 average absorption between 650 and 700 nm was performed. Absorbance at 254 nm ( $A_{254}$ ) was used as  
 166 representative of CDOM pool and expressed as the absorption coefficient ( $a_{\text{CDOM}(254)}$ ) in Napierian units  
 167 (Eq. 1)

$$168 \quad a_{\text{CDOM}(254)} = 2,303 \cdot \frac{A_{254}}{l} \quad (1)$$

169 where  $l$  is the path length expressed in meters. The specific UV absorbance at 254 nm ( $\text{SUVA}_{254}$ ) was  
 170 calculated by dividing the decadic absorption coefficient at 254 nm by DOC concentration ( $\text{m}^2 \text{g}^{-1}$ ) and  
 171 used as indicator of percentage of CDOM in the total DOM pool ([Stedmon and Nelson, 2015](#)). The  
 172 spectral slope over a 275–295 nm spectral range ( $S_{275-295}$ ) was calculated using the exponential model  
 173 (Eq. 2) and used as proxy for average molecular weight (MW), aromaticity and humification degree  
 174 ([Helms et al., 2008](#)) as well as a proxy of terrigenous DOC ([Fichot and Benner, 2012](#)).

$$175 \quad a_{\lambda} = a_{\lambda_0} \cdot e^{-S(\lambda-\lambda_0)} \quad (2)$$

176 where  $a_{\lambda}$  is the absorption coefficient at a specific wavelength and  $\lambda_0$  is the reference wavelength.

177 In order to study spectral differences among DOM pools, wavelength distribution of spectral slopes  
 178 expressed as a spectral slope curve (SSC) was used ([Loiselle et al., 2009](#)). Average SSCs of freshwater ( $n =$   
 179  $9$ ) and seawater samples ( $n = 6$ ) as well as SSCs for 3 typical samples were obtained by calculating the  
 180 spectral slopes for 20 nm intervals across a 200-500 nm wavelength range. All the above reported  
 181 calculations were performed using the newly purpose-developed software package, ASFit, described in  
 182 detail in [Omanović et al. \(2019\)](#).

183

### 184 2.4. Fluorescence measurements

185 Fluorescence excitation-emission matrices (EEMs) were recorded using the Aqualog spectrofluorometer  
186 (Horiba- Jobin Ivon) in 1×1 cm quartz cuvettes. EEMs were scanned at the excitation wavelengths range  
187 of 250-450 nm with 5 nm increments and emission wavelengths range of 212-619 nm with 3 nm  
188 increments. Excitation and emission slit-widths were both set at 5 nm. The blanks were checked every 5  
189 samples by measuring the EEM of Milli-Q water. The blank was not subtracted from the samples, since  
190 the fluorescence intensities measured in Milli-Q were negligible if compared to the fluorescence  
191 intensity measured in the samples and blank subtraction increased the noise of the EEMs. Fluorescence  
192 intensity was normalized to Raman units (R.U.) using the daily-measured Raman peak of Mili-Q water ( $\lambda_{ex}$   
193 = 350 nm,  $\lambda_{em}$  = 371–428 nm) ([Lawaetz and Stedmon, 2009](#)). Parallel factor analysis (PARAFAC) was  
194 applied to identify the different components in the FDOM pool by using the decomposition routines for  
195 EEMs (drEEM) toolbox (version 0.2.0 for MATLAB (R2016a) ([Murphy et al., 2013](#))). PARAFAC was applied  
196 to the complete dataset containing all the EEMs (Dataset 1: 102 EEMs). In order to gain additional  
197 information about the occurrence of different components depending on the season, Dataset 1 was split  
198 into 2 datasets according to the season: Dataset 2 (February 2019: 38 EEMs) and Dataset 3 (July 2019: 64  
199 EEMs). Validated fluorescent components were identified as humic-like and protein-like substances by  
200 comparison with similar components reported in the literature and matching spectra obtained from the  
201 OpenFluor database ([Murphy et al., 2014](#)) (Table S1). Excitation and emission spectra of the protein-like  
202 component was also compared to the excitation and emission spectra of commercial tryptophan from  
203 Sigma-Aldrich (Figs. S9 and S10).

204

### 205 **3. Results**

#### 206 *3.1. Environmental parameters*

207 In both seasons, two layers were clearly visible in the salinity vertical distribution: the FWL ( $S < 20$ ) and  
208 the SWL ( $S > 37$ ) (Fig. 2A and C). In winter, water with low salinity ( $S < 8$ ) was clearly visible in the upper 5  
209 m until station 12, located very close to the sea. In contrast, in summer, due to very low river discharge,  
210 the freshwater occupied the upper 1.5 m and mixing with marine water started upstream in the estuary  
211 (station 4). In summer, stations 5 to 12 were therefore characterized by higher salinity ( $S = 15-27$ ) than in  
212 winter ( $S = 0-8$ ).

213 The temperature showed an inverse distribution in the 2 seasons. In February, the RW and most of the  
214 FWL were characterized by an average temperature of  $\sim 10$  °C, whereas the SW and the SWL were  
215 warmer (average temperature of 13 °C), with a maximum of 15 °C in the shallowest part of the estuary

216 (stations 2-4) (Fig. 2B and D and Table 1). In July, the FWL was characterized by the highest temperature  
217 (26 °C), the RW had average temperature of 19 °C, whereas the SW was colder (average temperature of  
218 17 °C) (Fig. 2B and D and Table 1).

219 In February, oxygen saturation closely resembled the distribution of both, salinity and temperature, with  
220 oversaturation (>100%) at the freshwater-seawater interface, a minimum (70-75%) at stations 2-4 below  
221 5 m and average values of 90% in SW (Fig. 3A). In July, oxygen oversaturation (120-160%) was observed  
222 in the river (stations K3-K6), and in the subsurface layer (1-5 m) at stations 2-9 and in the Šibenik bay  
223 (station 10) at about 3 m (Fig. 3C). Hypoxia (<38%) occurred in the bottom layer of station 1, supporting  
224 the long residence time of this water (Cindric et al., 2015; Legovic, 1991).

225 As expected, chl-*a* was lower in February (<3.5 µg/L) than in July (4-5 µg/L) (Fig. 3B and D). Surprisingly,  
226 in July, the highest chl-*a* values were not recorded at the halocline but close to the bottom with a  
227 maximum (7 µg/L) in the middle of the estuary (station 8) between 20 and 23 m. In the Visovac lake  
228 (station K4), where a phytoplankton bloom was observed prior to our sampling campaign, both, chl-*a*  
229 and dissolved oxygen, showed high values.

230

### 231 3.2. DOC

232 DOC values ranged between 35 and 76 µM in February and 30 and 148 µM in July (Fig. S5A and C). The  
233 lowest values were observed in the RW in both seasons (36 µM in February and 30 µM in July) (Table 1).  
234 These values are at least 3 times lower than in the other Mediterranean rivers, especially compared to  
235 the major Mediterranean rivers (Tevere, Po, Ebro and Rhone) with DOC values of up to 220 µM  
236 (Santinelli, 2015). An extreme case is the Arno River with values of up to 10 times higher (309±90 µM)  
237 than the Krka River (Retelletti Brogi et al., 2020; Retelletti Brogi et al., 2015). The values reported in our  
238 study are lower than those measured by Strmečki et al. (2018) in the upper reach of the Krka River  
239 (Brljan Lake) in March, May, June, September and November of 2011 and in January 2012 (45-127 µM).  
240 The values reported in our study are similar to those measured at the head of the Krka River estuary in  
241 February 2012 (35 µM) (Cindric et al., 2015). At station 0, the DOC was 17 µM higher in July (55 µM) than  
242 in February (38 µM). Cindric et al. (2015) also observed seasonal differences in the DOC concentrations  
243 at station 0, as a consequence of higher biological activity in summer.

244 The SW was characterized by average DOC concentrations of 63 µM in February and 60 µM in July (Table  
245 1). These values are comparable to DOC concentrations reported in open waters of the Mediterranean  
246 Sea (Catala et al., 2018; Galletti et al., 2019; Santinelli, 2015; Santinelli et al., 2010). In July, a marked



247 increase in DOC values was observed in correspondence with the mixing between freshwater and  
248 seawater (stations 2-13), with a maximum (up to 148  $\mu\text{M}$ ) at stations 5-7. The average DOC  
249 concentration in the mixing area in July was 101  $\mu\text{M}$ , while in February it was only 51  $\mu\text{M}$  (Table 1).

250

### 251 3.3. CDOM

252 In both seasons,  $a_{\text{CDOM}(254)}$  showed higher average values in RW (2.6  $\text{m}^{-1}$  in February and 2.2  $\text{m}^{-1}$  in July)  
253 than in SW (1.6  $\text{m}^{-1}$  in February and 1.7  $\text{m}^{-1}$  in July) (Fig. S5B and D and Table 1). A marked increase in  
254  $a_{\text{CDOM}(254)}$  was observed in the mixing area, where it showed average values of 2.8  $\text{m}^{-1}$  in winter and 3.6  
255  $\text{m}^{-1}$  in summer (Table 1). In July, its maximum (6.3  $\text{m}^{-1}$ ) was observed at station 1 in the bottom hypoxic  
256 area (Table 1).

257 In both seasons,  $S_{275-295}$  was lower in the RW (average values of 16.8  $\mu\text{m}^{-1}$  in February and 16.6  $\mu\text{m}^{-1}$  in  
258 July) than in the SW (average values of 30.5  $\mu\text{m}^{-1}$  in February and 29.3  $\mu\text{m}^{-1}$  in July) (Fig. S6A and C and  
259 Table 1). The values of  $S_{275-295}$ , observed in RW, are common for terrestrially derived CDOM in real  
260 systems (Fichot and Benner, 2012; Garcia et al., 2018; Joshi et al., 2017; Soto Cárdenas et al., 2017), and  
261 indicate that DOM in the RW was characterized by high average MW and high aromaticity degree. The  
262 higher average  $S_{275-295}$  observed in the mixing area in summer (25.8  $\mu\text{m}^{-1}$ ) as opposed to the winter (19.4  
263  $\mu\text{m}^{-1}$ ) suggests CDOM photodegradation in summer, leading to a lower average molecular weight of  
264 CDOM. In July, in the bottom hypoxic area (station 1),  $S_{275-295}$  was low (17.4  $\mu\text{m}^{-1}$ ) (Fig. S6C and Table 1),  
265 suggesting the occurrence of material with a high MW and aromaticity degree (Helms et al., 2008). As  
266 expected,  $\text{SUVA}_{254}$  was higher in RW (average values of 2.7  $\text{m}^2 \text{g}^{-1}$  in February and July) than in SW  
267 (average values of 0.9  $\text{m}^2 \text{g}^{-1}$  in February and 1.0  $\text{m}^2 \text{g}^{-1}$  in July) (Fig. S6B and D and Table 1), suggesting  
268 that the DOM pool was characterized by higher chromophoric content in the RW. In RW,  $\text{SUVA}_{254}$  was  
269 only 10-30% lower than in the pristine Epulu River (Congo) (3-3.6  $\text{m}^2 \text{g}^{-1}$ ), that is characterized by the  
270 highest DOC and lignin phenol concentrations of any rainforest (Spencer et al., 2010).

271

### 272 3.4. FDOM

#### 273 3.4.1. EEMs

274 EEMs in RW (station 0 in February) had 2 main peaks ( $\lambda_{\text{ex}}/\lambda_{\text{em}} = 250/400\text{-}500 \text{ nm}$  and  $\lambda_{\text{ex}}/\lambda_{\text{em}} = 315/400\text{-}$   
275  $460 \text{ nm}$ ), that can be related to humic-like fluorophores (peaks A and M according to Coble (1996) and  $\alpha'$   
276 and  $\beta$  according to Parlanti et al. (2000)) (Fig. 4). EEMs in SW (station 15-surface in both periods) had 1

277 main peak ( $\lambda_{\text{ex}}/\lambda_{\text{em}} = 275/340$  nm) analogous to protein-like (tryptophan) fluorophore (peak T according  
278 to [Coble \(1996\)](#) and  $\delta$  according to [Parlanti et al. \(2000\)](#)) and a small peak ( $\lambda_{\text{ex}}/\lambda_{\text{em}} = 250/400-500$  nm)  
279 that can be attributed to humic-like fluorophores (Fig. 4).

280 The EEM of the sample collected at station K4 was different from the others, with very high fluorescence  
281 intensity and 3 peaks ( $\lambda_{\text{ex}}/\lambda_{\text{em}} = 250/400-460$  nm,  $\lambda_{\text{ex}}/\lambda_{\text{em}} = 275/340$  nm and  $\lambda_{\text{ex}}/\lambda_{\text{em}} = 330/380-420$  nm;  
282 peaks A, T and M according to [Coble \(1996\)](#) and  $\alpha'$ ,  $\delta$  and  $\beta$  according to [Parlanti et al. \(2000\)](#)),  
283 suggesting the in-situ production of both humic-like and protein-like substances during the bloom (Fig.  
284 4). Finally, the EEM of the sample collected in the hypoxic waters (station 1-bottom) showed the highest  
285 fluorescence intensity and 3 peaks ( $\lambda_{\text{ex}}/\lambda_{\text{em}} = 250/400-460$  nm,  $\lambda_{\text{ex}}/\lambda_{\text{em}} = 275/340$  nm and  $\lambda_{\text{ex}}/\lambda_{\text{em}} =$   
286  $315/380-420$  nm), with the predominance of humic-like fluorescence (peak A according to [Coble \(1996\)](#)  
287 and  $\alpha'$  according to [Parlanti et al. \(2000\)](#)) (Fig. 4).

288

### 289 3.4.2. PARAFAC components

290 A 3-component model was validated by the PARAFAC applied to the complete dataset (Dataset 1).  
291 Excitation and emission spectra of each component are reported in [Fig. S9](#). Component 1 (C1) ( $\lambda_{\text{ex}}/\lambda_{\text{em}} =$   
292  $305/416$ ) was identified as microbial humic-like substances, component 2 (C2) ( $\lambda_{\text{ex}}/\lambda_{\text{em}} = 275(345)/479$ )  
293 as terrestrial humic-like substances and component 3 (C3) ( $\lambda_{\text{ex}}/\lambda_{\text{em}} = 275/344$ ) as protein-like  
294 (tryptophan) substances.

295 In the RW, the average fluorescence intensity of C1 and C2 was 40-50% lower in July than in February  
296 ([Table 1](#)), whereas C3 was 75% higher in July than in February. In both seasons, the C1 and C2 were  
297 notably lower in SW than in RW, whereas C3 showed similar values ([Table 1](#)). In the mixing area, C3  
298 represented 19.4% of total fluorescence in February and 43.6% in July. In contrast, humic-like  
299 fluorescence (C1 and C2) was significantly lower in July than in February ([Table 1](#)).

300 EEMs in Dataset 1 were split according to the season and PARAFAC was run separately for the 2 sub-  
301 datasets in order to investigate if the number and importance of components changed depending on the  
302 season. When only the EEMs from February were taken into consideration (Dataset 2), PARAFAC  
303 validated the same 3 components as in the complete dataset (Dataset 1), whereas, when only the EEMs  
304 from July were taken into consideration (Dataset 3), a 6-component model was validated. The model  
305 included 3 new components identified as marine humic-like, terrestrial fulvic-like and PAH-like ([Fig. S10](#)  
306 and [Table S1](#)). Vertical distribution of the 6 components validated in Dataset 3 is reported in [Fig. S8](#).

307

### 308 3.5. DOM behavior during estuarine mixing

309 Correlations between DOC,  $a_{\text{CDOM}(254)}$ , fluorescence components (C1 and C3; C2 is not shown since it  
310 behaves as C1) and salinity are presented in Fig. 5. DOC,  $a_{\text{CDOM}(254)}$  and the protein-like component (C3)  
311 showed a slight deviation from the conservative mixing line in February and clear non-conservative  
312 behavior in July, when a marked increase in all the parameters was observed at intermediate salinity (5-  
313 30) (Fig. 5). In contrast, humic-like substances (C1 and C2) showed conservative behavior. When only the  
314 samples collected in the mixing area ( $S = 1.8-36$ ) were taken into consideration, DOC and  $a_{\text{CDOM}(254)}$   
315 showed a linear inverse correlation in February and a linear direct correlation in July (Fig. 6).

316

### 317 3.6. Spectral slope curve

318 SSC was suggested by [Loiselle et al. \(2009\)](#) as an alternative approach to study absorption characteristics  
319 of CDOM instead of using single (or multiple) spectral slopes values. SSC could be considered as a  
320 fingerprint of a sample, from which changes in the spectral slope of absorption spectra can be detected  
321 and associated with differences in CDOM composition ([Loiselle et al., 2009](#)). The shape of our SSC in RW  
322 and SW match well with that reported by [Loiselle et al. \(2009\)](#) and by [Massicotte et al. \(2017\)](#) for  
323 different water systems spanning aquatic continuum. Average SSC in SW was higher than average SSC in  
324 RW (Fig. 7A). Maximal  $S_\lambda$  in RW ( $0.020 \text{ nm}^{-1}$ ) was obtained at 300 nm, whereas in SW it was at 290 nm  
325 ( $0.034 \text{ nm}^{-1}$ ). Due to very low absorbance and high spectral noise, at wavelengths higher than 450 nm,  
326 the peaks observed at these wavelengths should be taken with caution, especially in SW, where the  
327 signal to noise ratio is very low.

328 The comparison of SSCs in 3 selected samples showed the occurrence of the peak at 280 nm in all the  
329 samples, but with the highest value in the surface sample collected at station 6 in July (mixing area) and  
330 the lowest one in the hypoxic bottom water (Fig. 7B). A pronounced peak at 370 nm, consistent with the  
331 strong increase of fluorescence peak at  $\lambda_{\text{ex}}/\lambda_{\text{em}} = 330/380-420 \text{ nm}$  (Fig. 4), was observed in the sample  
332 collected in the bloom area in the river (station K4). An additional peak at 340 nm was observed in the  
333 samples collected in the mixing area in July, corresponding to the peak at  $\lambda_{\text{ex}}/\lambda_{\text{em}} = 315/380-420 \text{ nm}$  in  
334 EEM (Fig. 4). These two peaks are not visible in the SSCs reported by [Massicotte et al. \(2017\)](#).

335

## 336 4. Discussion

#### 337 4.1. Krka estuary, a unique system to study riverine and marine DOM

338 Our results show that the Krka River estuary is characterized by two distinct sources of DOM with  
339 specific DOM concentrations and optical properties: the river and the sea. Our study also unveils the in-  
340 situ production of DOM in the estuary and reports new information about the changes in the DOM pool  
341 due to photochemical and biological processes.

342

#### 343 4.2. Terrestrial DOM

344 The Krka waters, entering the estuary, were characterized by very low DOC concentrations in both  
345 seasons, in contrast to most of the rivers all over the world. As a consequence, the river has a “dilution  
346 effect” on marine DOM in the estuary. The low  $S_{275-295}$ , high  $SUVA_{254}$  and the high fluorescence of  
347 microbial and terrestrial humic-like components (C1 and C2) (Table 1) indicate that the DOM pool in the  
348 RW is mainly constituted by terrestrial substances. In summer, despite the slight decrease in DOC  
349 concentrations, absorption and fluorescence, no change was observed in  $SUVA_{254}$  and  $S_{275-295}$ , indicating  
350 that most of the DOM is still terrestrial, even if a small fraction was removed by biological or  
351 photochemical processes. The terrestrial origin of DOM in the RW is further supported by the absence of  
352 anthropogenic sources of DOM along the river flow (Cukrov et al., 2008). Whereas in most of the rivers  
353 anthropogenic substances can interfere with the signal of terrestrial DOM (Hong et al., 2005; Meng et al.,  
354 2013; Tzortziou et al., 2015), Krka River contains “authentic” terrestrial DOM.

355

#### 356 4.3. Marine DOM

357 DOM in SW clearly had a marine signature. DOC values as well as  $SUVA_{254}$  and  $S_{275-295}$  are comparable to  
358 those observed in the upper layer (0–100 m) of the Mediterranean Sea (Catala et al., 2018; Galletti et al.,  
359 2019; Santinelli, 2015). In SW, DOM was characterized by lower average MW and chromophoric content  
360 than in RW as suggested by 2 times higher average  $S_{275-295}$  and 3 times lower average  $SUVA_{254}$  (Table 1).  
361 In July, photochemical processes affected the DOM pool resulting in a slight decrease in fluorescence of  
362 all the PARAFAC components with respect to February.

363

#### 364 4.4. DOM in the estuary

365 In the estuary, DOM showed non-conservative mixing between the two end-members (RW and SW) with  
366 values of DOC,  $a_{\text{CDOM}(254)}$  and protein-like fluorescence (C3) higher than those expected by linear mixing  
367 at a salinity of 5-30 (Fig. 5). This pattern was more apparent in July, when a marked accumulation of  
368 DOC, CDOM and C3 occurred. In July, a direct linear correlation was observed between DOC and  
369  $a_{\text{CDOM}(254)}$  (Fig. 6), suggesting a net production of both DOC and CDOM. In February, the correlation was  
370 inverse, with high values of  $a_{\text{CDOM}(254)}$  in correspondence with low DOC concentrations in the RW. This  
371 correlation supports that in winter, the main process affecting DOM dynamics in the estuary is the  
372 mixing of RW (low DOC and high  $a_{\text{CDOM}(254)}$ ) and SW (high DOC and low  $a_{\text{CDOM}(254)}$ ), even if a slight increase  
373 in both DOC and CDOM was observed. These seasonal differences can be explained by the change in  
374 river discharge and temperature. In winter, the Krka estuary is dominated by terrestrial DOM due to the  
375 high river discharge, whereas in summer, the low river discharge results in extended water residence  
376 time, which, combined with the high temperatures, favors primary production (Legovic et al., 1994),  
377 leading to in-situ production of DOM. It is noteworthy that in contrast to protein-like fluorescence (C3),  
378 humic-like fluorescence (C1 and C2) showed a conservative mixing behavior, even if in February a slight  
379 removal can be observed at salinity of 5-30 (Fig. 5). The different behavior suggests that the FDOM  
380 components are affected by different processes and that they can give information on the main sources  
381 of DOM.

382 In July, the high DOC (up to 147  $\mu\text{M}$ ), CDOM and C3 values observed at stations 5-7 (Fig. S5C) can be  
383 explained by a decoupling between DOC production and removal processes, combined with the high  
384 degree of stratification of the water column, due to the occurrence of a marked halocline at 1.5 m (Fig.  
385 2C). In the oceans, DOC accumulation is usually observed in high stratified waters (Hansell, 2013; Hansell  
386 and Carlson, 2001; Hansell et al., 2009; Santinelli et al., 2013). On one hand, the halocline represents a  
387 barrier that separates surface and deep waters, suppressing vertical mixing of DOM, while on the other  
388 hand, different processes can explain an increase of production not balanced by removal;

389 (1) **excessive production** during the phytoplankton bloom (Legovic et al., 1994). In spring, DOM can be  
390 released by active growing phytoplankton (Carlson and Hansell, 2015) as well as by the decomposition of  
391 the freshwater phytoplankton that die due to the increase in salinity in the estuary (Vilicic et al., 1989).  
392 This hypothesis is supported by Vilicic et al. (1989), who showed that the ratio between chl-*a* and  
393 phaeophytin (chlorophyll degradation product) rapidly decreases at the halocline, suggesting a high  
394 proportion of dead phytoplankton in the surface layer. Their microscopic observations confirmed the  
395 presence of dead cells along with active phytoplankton in the freshwater-seawater interface.

396 (2) **reduced consumption** by prokaryotic heterotrophs. Possible reasons for the lack of bacterial removal  
397 are: (i) nutrient limitation, due to the enhanced stratification in summer, which limits the nutrient supply  
398 to the surface waters; (ii) stress, caused by the salinity gradient; (iii) high irradiation, inhibiting bacterial  
399 growth; (iv) the high optical transparency of surface waters, which can increase the impact of  
400 photochemical reactions that may transform DOM from labile to recalcitrant, making it unavailable to  
401 bacteria on the short temporal scale ([Benner and Biddanda, 1998](#); [Jiao et al., 2010](#); [Kieber et al., 1997](#)),  
402 (v) high grazing and viral lysis of prokaryotic heterotrophs.

403 Even if a combination of all the above reported processes can probably explain the non-conservative  
404 DOM behavior at the estuary, the high fluorescence of the protein-like component (C3) in the FWL  
405 supports the hypothesis that there is a limitation of bacterial growth, since the protein-like components  
406 are known to be the most labile fraction of DOM, and are the first that should be removed by active  
407 bacteria ([Fellman et al., 2011](#); [Hansell, 2013](#)).

408 Finally, we cannot exclude that atmospheric deposition can represent an important source of DOC to the  
409 estuary during high stratification periods ([Galletti et al., 2020](#); [Miller et al., 2009](#); [Pulido-Villena et al.,  
410 2008](#); [Ternon et al., 2010](#); [Vicente et al., 2012](#)); unfortunately no data about atmospheric deposition in  
411 this area is available at the moment.

412 In other estuarine systems ([Gonnelli et al., 2013](#); [Li et al., 2019](#); [Osburn et al., 2012](#); [Retelletti Brogi et al.,  
413 2015](#); [Santos et al., 2016](#); [Sempere et al., 2000](#)) the pattern observed in the Krka estuary is masked by  
414 the high content of organic matter and the nutrients of riverine waters, that can stimulate the growth of  
415 the bacteria enabling them to resist the salinity shock.

416

#### 417 *4.5. DOM in the bottom seawater layer in summer*

418 The bottom seawater layer has some features that make it a very interesting system for the study of  
419 DOM dynamics. In July, chl-*a* vertical distribution indicates that the highest phytoplankton biomass is  
420 near the bottom ([Fig. 3D](#)). The same pattern has been observed in previous cruises (July 2017 and July  
421 2018) ([Figs. S3 and S4](#)) making it a peculiarity of this area. Entering the estuary, the increased salinity  
422 causes mortality of freshwater phytoplankton and half of the cells sinks to the bottom before Prokljan  
423 Lake, where they serve as a source of DOM for heterotrophic prokaryotes ([Legovic et al., 1991b](#);  
424 [Petricoli et al., 1996](#)). Mineralization of the DOM releases nutrients making them available for marine  
425 phytoplankton below the halocline. The high water transparency allows the light to penetrate to the  
426 bottom (5-30 m). The availability of both, nutrients and light, can stimulate marine phytoplankton

427 growth, leading to the highest chl-*a* values close to the bottom. Moving toward the river, DOC increases  
428 from 59  $\mu\text{M}$  at station 12 to 115  $\mu\text{M}$  at station 1 (Fig. S5C and Table 2), suggesting a net production of  
429 DOC. DOC accumulation is lower than that observed in the surface layer. This difference can be  
430 explained by the different source of DOM, that is marine blooming phytoplankton in bottom layer and  
431 mostly dead freshwater phytoplankton in the surface layer. In addition, in the bottom layer, bacterial  
432 removal of newly-released DOM is expected to be more active due to the availability of nutrients and the  
433 lower light intensity than in the surface layer, where nutrients are limited due to the water column  
434 stratification and light is very intense. In the bottom layer, the highest DOC values were observed in  
435 correspondence with oversaturation of oxygen (stations 2, 3 and 4) supporting its net production. In the  
436 other stations, the production of DOC could be masked by its removal, as suggested by the high values of  
437 apparent oxygen utilization (AOU) (Table 2). AOU gives an indirect estimate of the oxygen consumption  
438 and can be transformed in C equivalent in agreement with [Doval and Hansell \(2000\)](#) ( $\text{AOU-C}_{\text{eq}} = \text{AOU}$   
439  $\cdot 0.72$ ).  $\text{AOU-C}_{\text{eq}}$  indicates the amount of DOC that needs to be removed in order to explain the estimated  
440 oxygen consumption, assuming that DOC mineralization is the only process that removes oxygen. AOU is  
441 high between stations 5 and 10, where, despite the high chl-*a*, no marked increase in DOC is observed  
442 (Table 2). If the  $\text{AOU-C}_{\text{eq}}$  is added to the DOC values measured at these stations, we obtain values  
443 comparable to those measured at stations 2, 3 and 4 (Table 2), supporting that microbial respiration can  
444 explain the discrepancy between the high values of chl-*a* and the lower than expected increase in DOC.  
445 Finally, station 1 is characterized by hypoxia, where different processes affect DOM dynamics. They are  
446 discussed in detail in the next paragraph.

447

#### 448 *4.6. DOM dynamics in the hypoxic waters*

449 In the inner part of the estuary, oxygen saturation showed values <75% in February (Fig. 3A), while in  
450 July it decreases below 38% at station 1 (Fig. 3C). This site is characterized by a specific cuvette shape, in  
451 which the residence time of the seawater is increased compared to the adjacent seawater ([Cindric et al.,](#)  
452 [2015](#)). In summer, as a result of longer seawater residence time, the sinking of decaying freshwater  
453 phytoplankton from the upper layer enhances the effect of bacterial mineralization, causing oxygen  
454 depletion. In the case of particularly high production in the Visovac Lake and within the estuary, hypoxia  
455 can be observed in late summer and autumn in the shallow part of the estuary (stations 1-5) ([Legovic et](#)  
456 [al., 1991b](#); [Petricioli et al., 1996](#)). In winter, the inflow of the freshwater promotes the seawater renewal  
457 and ventilation as it is clearly visible in the oxygen vertical distribution (Fig. 3A). Taking into consideration  
458 only the samples with oxygen saturation <75%, an inverse correlation between oxygen saturation, DOC,

459  $\alpha_{\text{CDOM}(254)}$ ,  $\text{SUVA}_{254}$  and all three PARAFAC components was observed, with a  $R^2$  values of 0.99 (Fig. S11).  
460 Even if the data are not enough for a meaningful investigation of the processes leading to DOM  
461 accumulation, the very good correlation suggests that the oxygen removal is coupled with the  
462 production of DOM with a high percentage of both CDOM and FDOM in the hypoxic waters. This  
463 observation is in agreement with [Margolin et al. \(2016\)](#), who found strong correlations between optical  
464 properties and apparent carbon mineralization in anoxic waters in Black Sea. They observed higher  
465 increase in CDOM and humic-like FDOM than in DOC, and explained this finding with the release of  
466 CDOM during organic matter mineralization or with the microbial transformation of non-chromophoric  
467 DOM into CDOM ([Margolin et al., 2016](#)).

468 Our data unveils different processes occurring in the bottom waters. When oxygen was available, DOC  
469 was released and removed, whereas in hypoxic waters the production of highly chromophoric DOM was  
470 observed.

471

## 472 **5. Conclusion**

473 Our data show that the Krka River estuary is affected by different sources of DOM (riverine, marine, in-  
474 situ produced) with distinct optical properties. DOM pool in the river has a very low DOC concentration  
475 and it mainly contains terrestrial molecules, as suggested by the high  $\text{SUVA}_{254}$  and low  $S_{275-295}$  values, as  
476 well as by the predominance of humic-like substances. DOM in the seawater features the concentration  
477 and optical properties of the “typical” marine DOM from open sea waters. In-situ production of DOM is  
478 clearly observed in the estuary, leading to non-conservative behavior of the DOM, particularly in  
479 summer. The accumulation observed in the freshwater layer in summer is probably due to the low  
480 efficiency of heterotrophic prokaryotes in the removal of the produced DOM. Our data also unveils  
481 different processes occurring in the bottom waters. When oxygen is available, DOM is released and  
482 quickly removed without accumulation, whereas in hypoxic waters the production of DOC, CDOM and  
483 FDOM is linearly related to oxygen consumption.

484 Additional data, such as nutrient concentrations, heterotrophic prokaryotes, phytoplankton, zooplankton  
485 and virus abundance as well as heterotrophic prokaryotes production are mandatory in order to explain  
486 the non-conservative behavior of DOM in the estuary. For the future, incubation experiments could also  
487 give additional information about the biological lability of DOM coming from different sources and  
488 investigate its potential impact on the global carbon cycle.

489



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494

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## Figure captions

**Figure 1.** Map of the Krka River Estuary with indicated sampling stations. The diamonds refer to the stations where only surface and bottom samples were collected, whereas the triangles indicate the stations where samples were collected at 6 depths. The lower panel shows the shape of the bottom depth with the indication of sampling stations and specific regions. Stations K1-K6 are located in the river preceding the Skradinski Buk waterfall; they are not included in this map, but they are reported in Fig. S2.

**Figure 2.** Vertical distribution of salinity and temperature in the estuary in February and July 2019. The numbers on the top of the panels indicate the sampling stations and the black dots indicate the sampling points used for the interpolation. Plotted height of the waterfall is lower than in reality (46 m) for better representation.

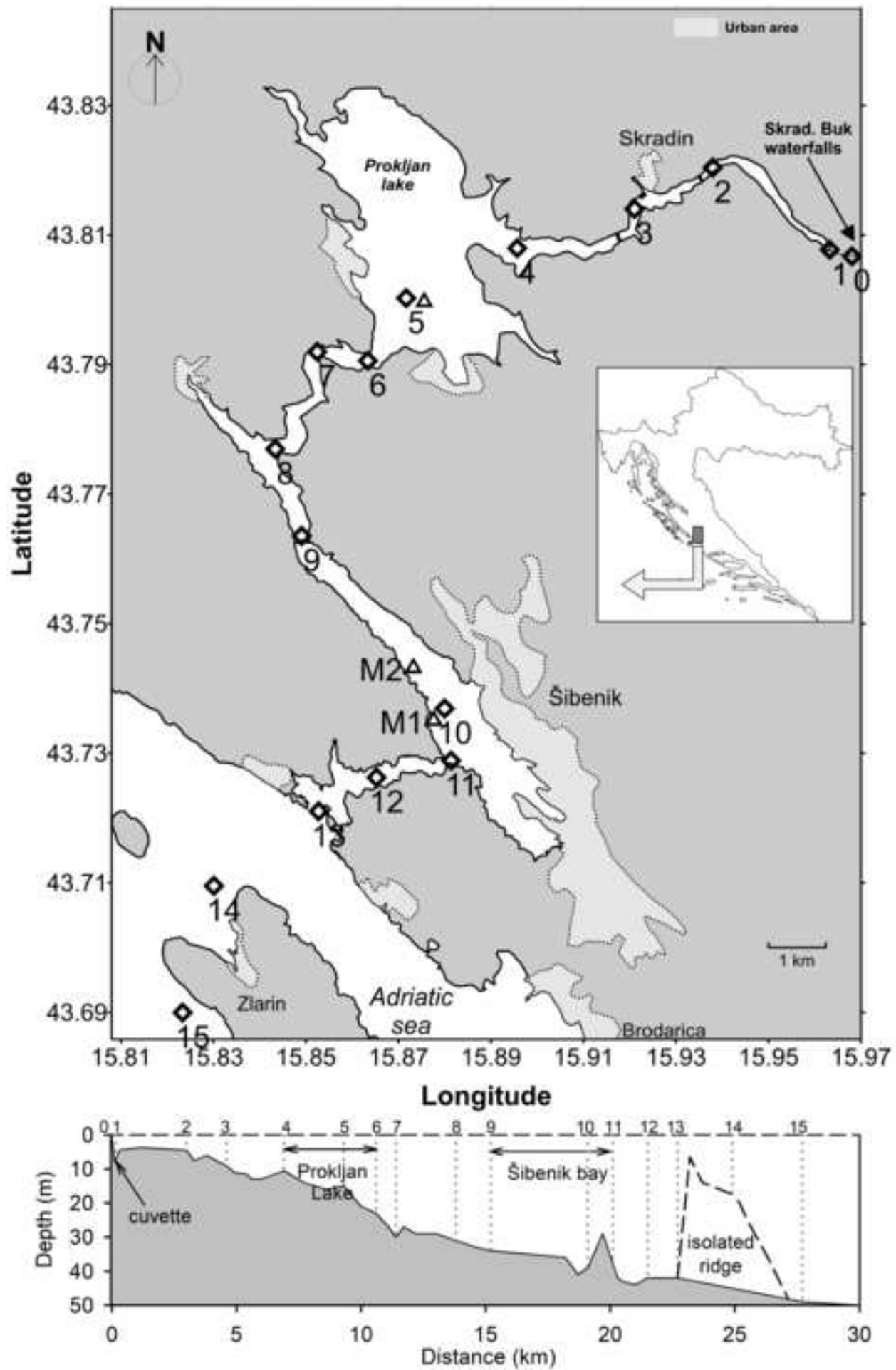
**Figure 3.** Vertical distribution of oxygen saturation and chlorophyll *a* distribution in the estuary in February and July 2019. The numbers on the top of the panels indicate the sampling stations and the black dots indicate the sampling points used for the interpolation. Plotted height of the waterfall is lower than in reality (46 m) for better representation.

**Figure 4.** EEMs of selected samples. The positions of peaks A, M, C, B and T as named by Coble (1996) are reported on each EEM.

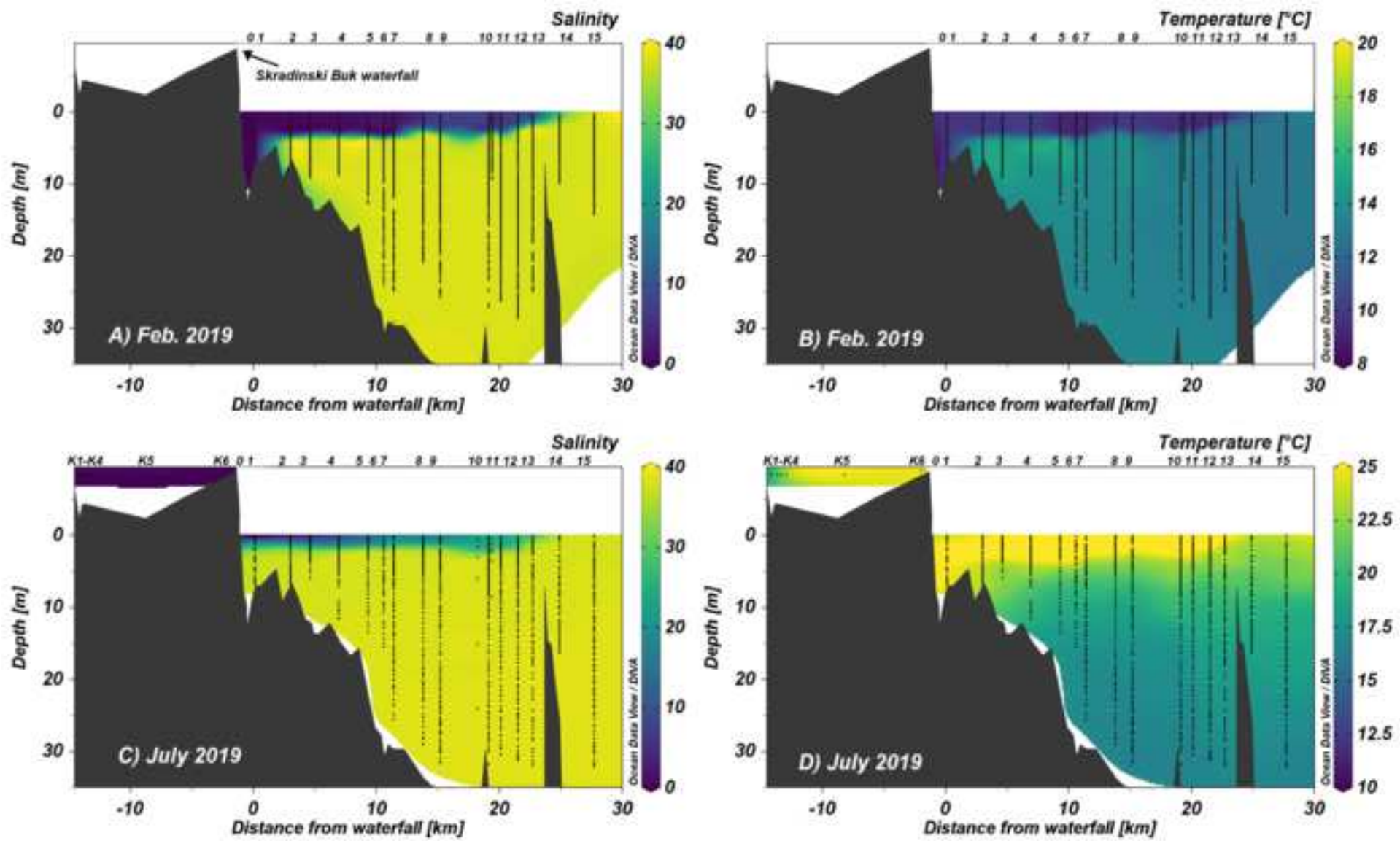
**Figure 5.** Relationship between salinity and A) DOC, B) absorption coefficient at 254 nm ( $a_{\text{CDOM}(254)}$ ), C) microbial humic-like (C1) and D) protein-like component (C3). Dashed lines indicate the theoretical mixing lines (conservative behavior) between the 2 end-members (SW and RW) in February (blue) and July (red) 2019. Theoretical linear mixing line was calculated by linear regression between the two end-members (RW and SW; refer to Table 1 for the values).

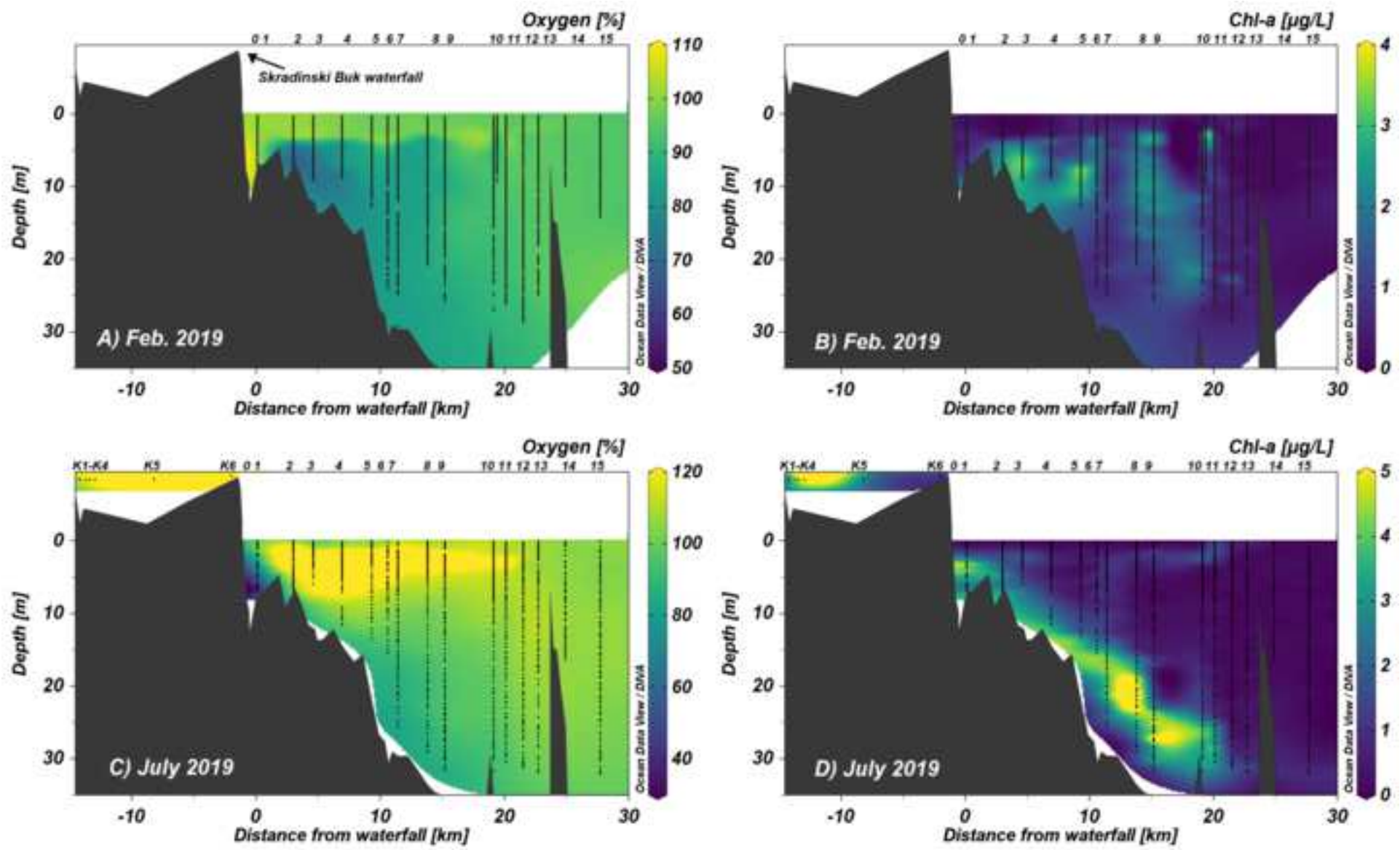
**Figure 6.** Relationship in the mixing area (samples characterized by  $S = 1.8-36$ ), between DOC and absorption coefficient at 254 nm ( $a_{\text{CDOM}(254)}$ ) in February (blue) and July (red) 2019. Regression lines are plotted as blue and red dashed lines.

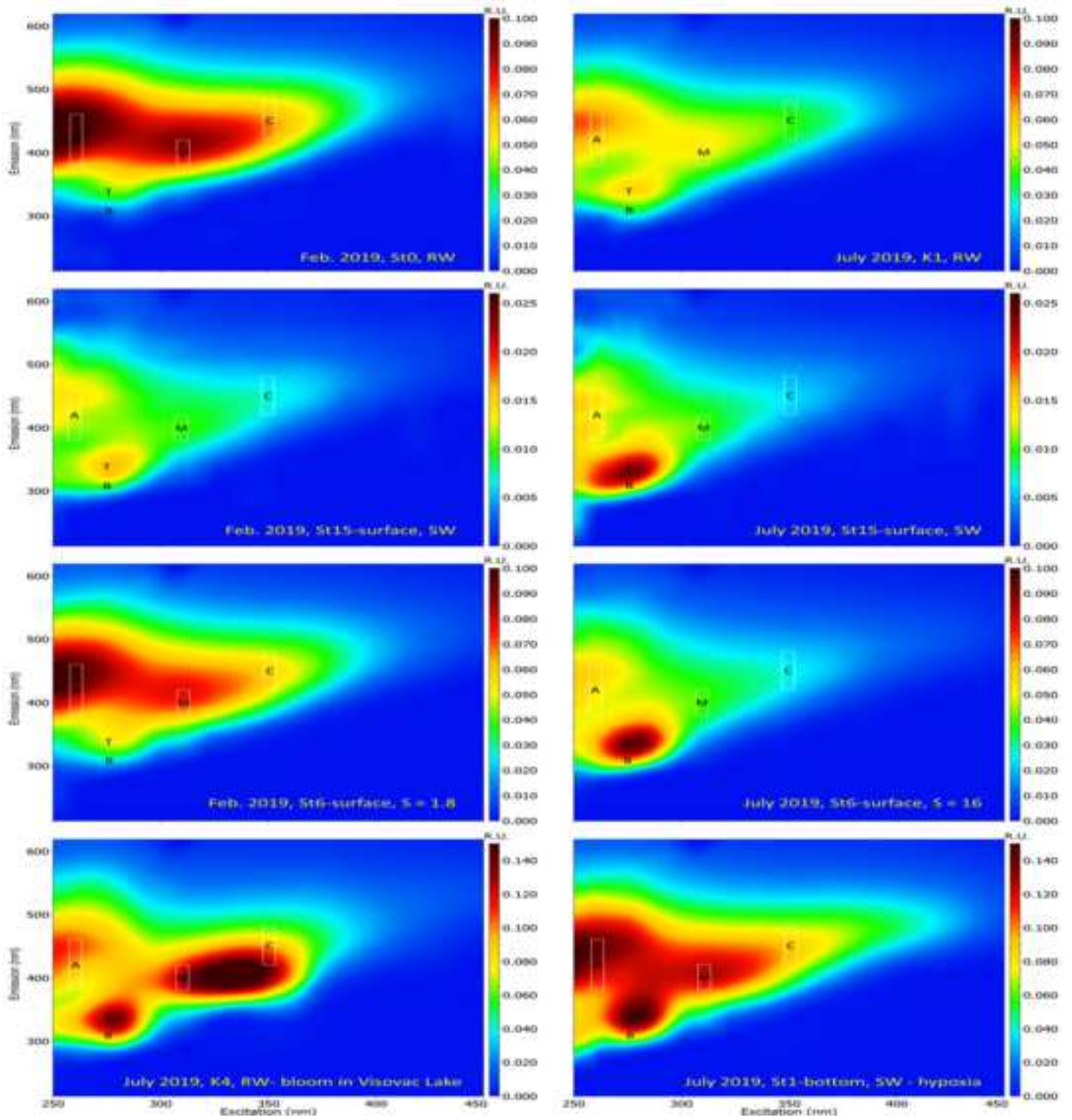
**Figure 7.** A) Spectral slope curves calculated on averaged absorption spectra of freshwater and seawater samples and B) spectral slope curves calculated on absorption spectra of three selected samples.

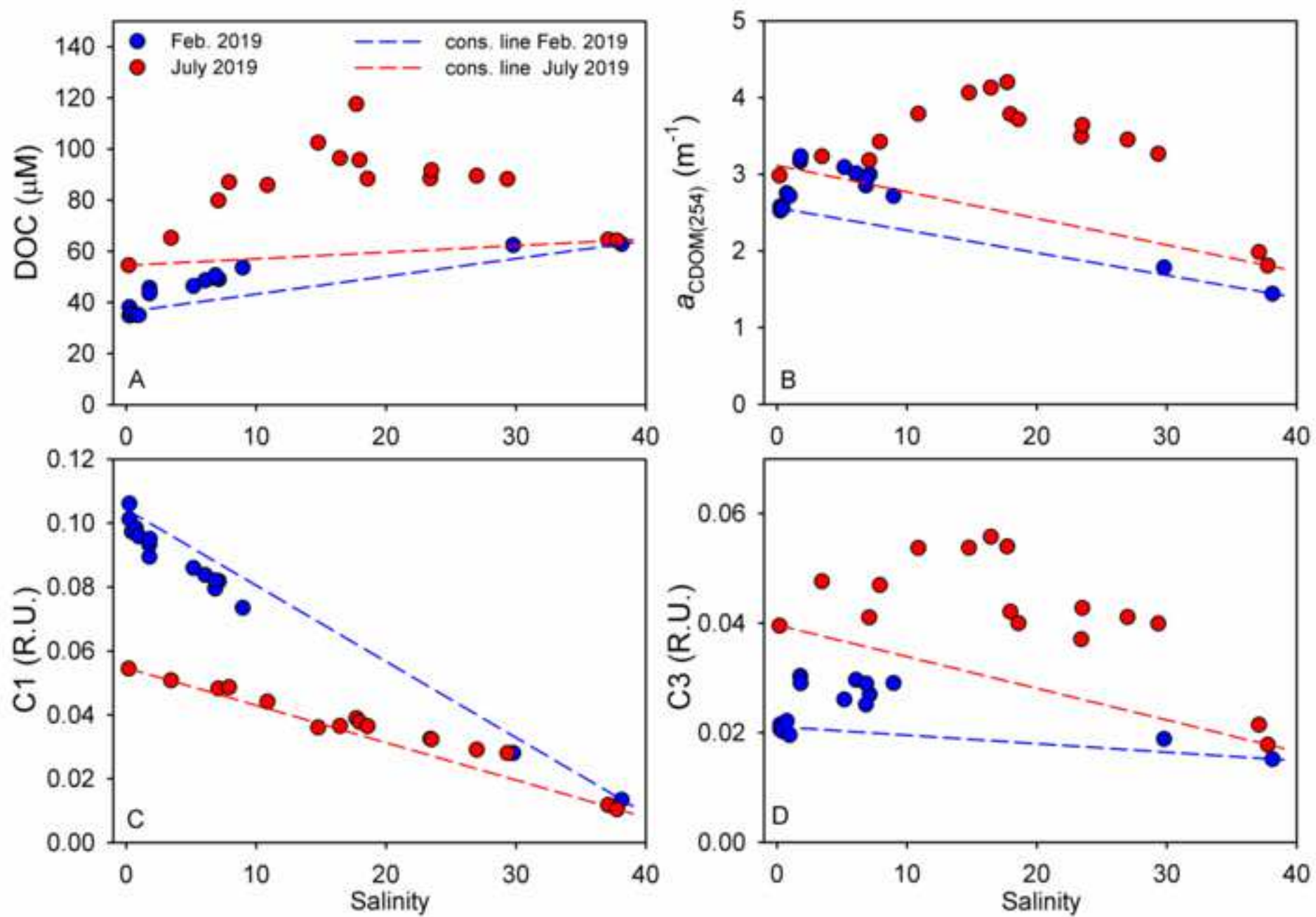


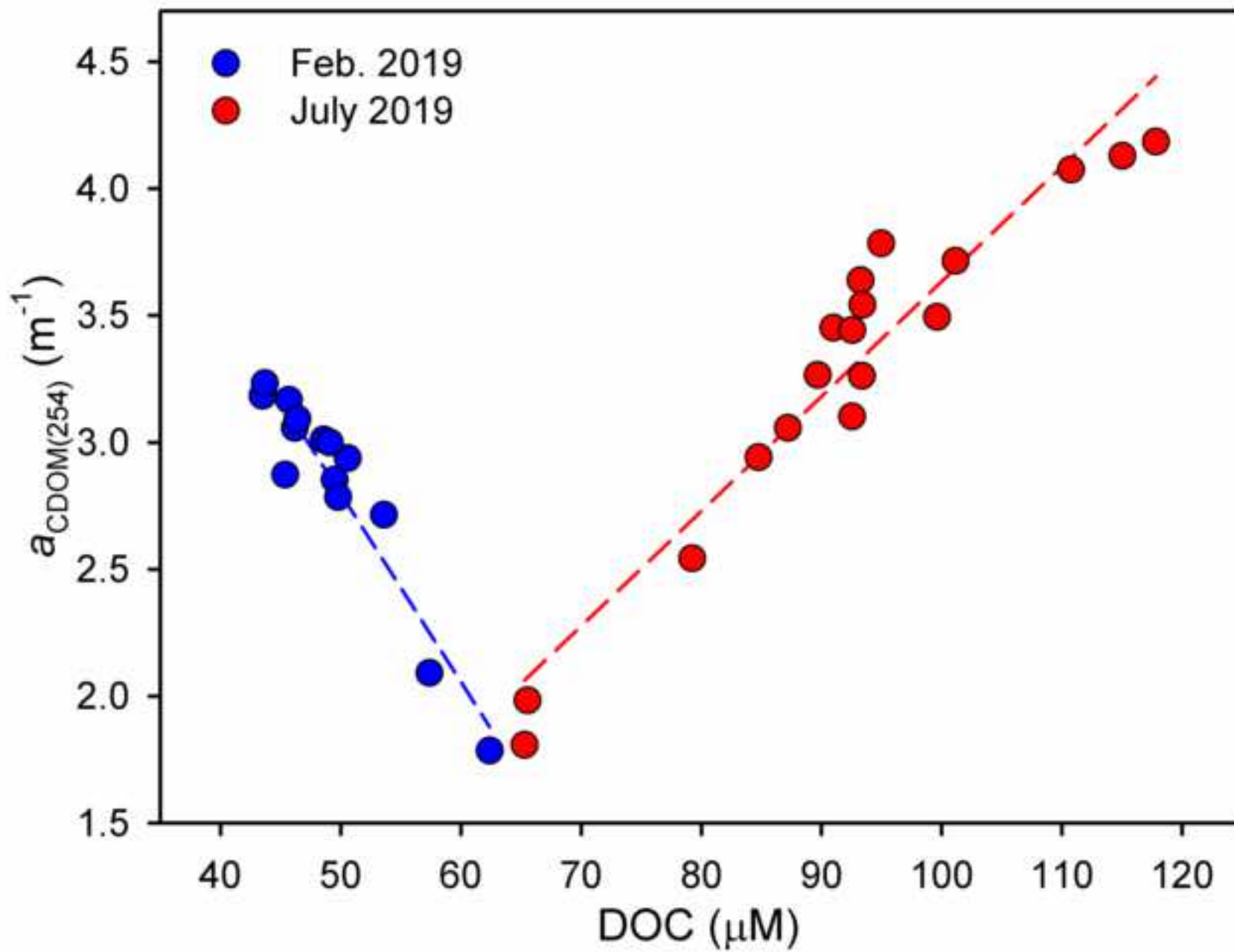


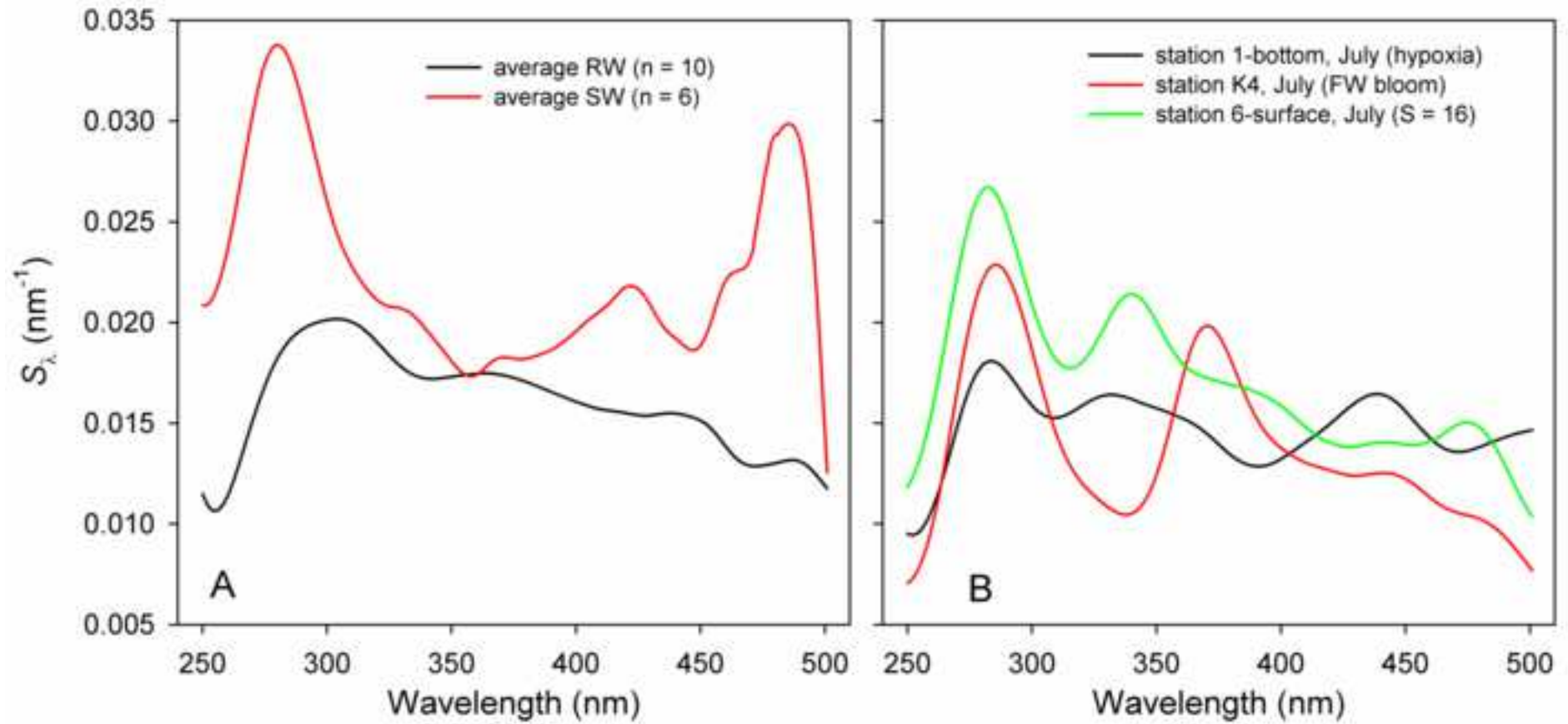














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**Table**

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