PHYSICAL REVIEW D 95, 035019 (2017)

UV-complete composite Higgs models

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We study confining gauge theories with fermions vectorial under the Standard Model (SM) that produce a Higgs doublet as a Nambu-Goldstone boson. The vacuum misalignment required to break the electroweak symmetry is induced by an elementary Higgs doublet with Yukawa couplings to the new fermions. The physical Higgs is a linear combination of elementary and composite Higgses while the SM fermions remain elementary. The full theory is renormalizable and the SM Yukawa couplings are generated from the ones of the elementary Higgs allowing to eliminate all flavor problems but with interesting effects for electric dipole moments of SM particles. We also discuss how ideas on the relaxation of the electroweak scale could be realized within this framework.

DOI: 10.1103/PhysRevD.95.035019

I. INTRODUCTION

One of the simplest extensions of the Standard Model (SM) is provided by new gauge theories with fermions that are in a vectorial representation of the SM group. Similarly to QCD, when the new gauge group confines hadrons are produced. The dynamics is such that the vacuum does not break the SM symmetries and for this reason the phenomenology is extremely safe: contributions to electroweak observables are suppressed and flavor physics is structurally protected. Yet such dynamics could very well lie at the TeV scale.

According to the standard lore a major drawback of this framework is the necessity of an elementary Higgs. Nevertheless, while these theories have no direct motivation to explain the hierarchy between the Planck and the electroweak scale, they have appeared in various contexts, in particular to explain dark matter (DM) as an accidentally stable composite state [1], and for the relaxation of the electroweak scale [2]. Moreover, they could be remnants of SUSY or composite dynamics stabilizing the electroweak scale at a higher scale.

In this work we will explore the possibility of realizing composite Higgs models within this framework. While the subject of composite Higgs models has strongly relied on effective theories with *ad hoc* properties, our framework provides a viable and calculable UV completion (see [3–5] for a different realization involving partial compositeness). The lightest states are Nambu-Goldstone bosons (NGBs) with quantum numbers determined by the charges of the vectorlike fermions under the SM. A scalar NGB with quantum numbers of the Higgs doublet exists whenever Yukawa couplings between the fermions and an elementary Higgs are allowed.

In isolation, fermion mass terms and SM gauge loops align the vacuum so that the electroweak symmetry is not broken, i.e. the composite Higgs has no vacuum expectation value (VEV). The elementary Higgs allows a misalignment of the composite Higgs vacuum and the tuning of the electroweak VEV. The system so constructed contains an elementary Higgs doublet and (at least) a composite one. Depending on the mixing induced by the Yukawa interactions, the lightest Higgs doublet can be mainly elementary or composite. In [8] we studied the possibility that the elementary Higgs has a negative mass parameter and induces electroweak symmetry. We here turn to the regime where the Higgs VEV is induced through the mixing with the (heavy) elementary Higgs. Curiously, this mechanism of electroweak symmetry breaking was advocated in the first paper on composite Higgs models [9] and quickly forgotten. One very attractive feature, though, is that the presence of an elementary Higgs allows to generate Yukawa couplings for the SM fermions in the standard way avoiding the severe problems with flavor physics of other composite Higgs models.

We will study under what conditions viable scenarios can be constructed. In particular, if the Higgs is mostly composite, bounds from the T parameter imply that the strong dynamics should preserve custodial symmetry, a situation more easily realized in SO(N) and Sp(N) theories. Requiring that the theory is valid up to a high scale implies that the Higgs cannot be arbitrarily composite. The very same type of gauge theories discussed here have been used to realize the dynamical relaxation of the electroweak scale [2]. We then explain how that mechanism can be realized in the present context giving an alternative explanation for the electroweak scale.

¹This bears some resemblance with theories of induced electroweak symmetry breaking where a sector that breaks electroweak symmetry induces the expectation value for the elementary Higgs, see for example [6,7]. In our construction the strong dynamics does not break electroweak symmetry so that it can be decoupled to arbitrarily high energies although at the price of tuning.

The paper is organised as follows: In Sec. II we review the general structure of the models discussing important constraints from the T parameter, Higgs potential and perturbativity at high energy. In Sec. III we consider in detail models based on Sp(N) gauge theories with four fundamental fermions that produce a single Higgs doublet with custodial symmetry. We extend the analysis to SO(N) models in Sec. IV. In Sec. V we explain how the relaxation of the electroweak scale could work in this context, and we conclude in Sec. VI.

II. COMPOSITE HIGGS FROM CONFINING GAUGE THEORIES

We extend the SM with a new "dark" gauge group and fermions that are in a vectorial representations under the SM [10]. We focus on the SU(N), SO(N) and Sp(N) gauge theories with fermions in the fundamental representation. The new dynamics is described by the renormalizable Lagrangian

$$\mathcal{L} = \mathcal{L}_{SM} + \bar{\Psi}_{i}(iD - m_{i})\Psi_{i} - \frac{\mathcal{G}_{\mu\nu}^{A2}}{4g_{D}^{2}} + \frac{\theta_{D}}{32\pi^{2}}\mathcal{G}_{\mu\nu}^{A}\tilde{\mathcal{G}}_{\mu\nu}^{A} + [H\bar{\Psi}_{i}(y_{ij}^{L}P_{L} + y_{ij}^{R}P_{R})\Psi_{j} + \text{H.c.}].$$
 (1)

The Yukawa interactions with the Higgs doublet H are possible depending on the quantum number of the fermions in the theory, while no Yukawa couplings between SM and vectorial fermions are allowed at the renormalizable level. The topological term for dark gauge fields, which will only be relevant in the last part of this work, is physical for nonvanishing dark-quark masses m_i .

We assume that the new gauge dynamics is asymptotically free and confines at a scale m_ρ , spontaneously breaking the global symmetries as reviewed in [11]. We will be interested in the situation where the fermion masses are smaller than the confinement scale. Explicitly we will consider SU(N), SO(N) and Sp(N) theories with fermions in the fundamental representation that give rise to the following symmetry breaking patterns:

$$\frac{\mathrm{SU}(N_F) \times \mathrm{SU}(N_F)}{\mathrm{SU}(N_F)}, \quad \frac{\mathrm{SU}(N_F)}{\mathrm{SO}(N_F)}, \quad \frac{\mathrm{SU}(N_F)}{\mathrm{Sp}(N_F)}. \tag{2}$$

All these cosets have the special property of being symmetric cosets. This simplifies the construction of the effective Lagrangian and we provide a unified description below. These theories are expected to follow the power counting of strongly coupled theories [12]. In particular we will assume the standard large *N* scaling,

$$m_{\rho} = g_{\rho}f, \qquad g_{\rho} \sim \frac{4\pi}{\sqrt{N_D}},$$
 (3)

where N_D is number of dark colors and f the dynamical symmetry breaking scale.

We will further assume that the fermions belong to a vectorial representation of the SM. Since gauge interaction and fermion masses align the vacuum of the theory in the unbroken direction, this guarantees that the strong dynamics does not break the SM electroweak symmetry in isolation. For this reason it is necessary to include an elementary Higgs to trigger the electroweak symmetry breaking.

A. Yukawa couplings

Of particular relevance for the present work will be the couplings of the elementary Higgs with the vectorlike fermions. Whenever the quantum numbers allow for Yukawa couplings, a NGB with the same quantum number as the Higgs exists in the spectrum. We will call it *K*. This simple group theory fact, which follows immediately from the fact that NGBs have quantum numbers of pairs of fermions, implies that one effectively obtains a two Higgs doublet model (2HDM) of type I, given that the SM fermions can only couple to the elementary Higgs at a renormalizable level. Turning this around, any gauge theory that delivers a Higgs as a NGB allows a Yukawa coupling with an elementary Higgs.

Elementary and composite Higgs will mix as dictated by the Yukawa couplings so that the physical Higgs is a mixture of *H* and *K*. The same setup was studied in [8,13] in the limit where the 125 GeV Higgs is mostly elementary. We here turn to the composite regime where the elementary Higgs is necessary to induce the electroweak symmetry breaking for *K*. Moreover, the presence of *H* allows one to generate SM Yukawas coupling, avoiding all flavor problems.

Schematically, the physics of these models can be understood as follows: similarly to QCD, Yukawa coupling of the underlying theory $yH\bar{\Psi}\Psi$ matches to the effective coupling $ym_{\rho}fHK$ where we used the fact that the fermion bilinear interpolates with the NGB as $K \sim \bar{\Psi}\Psi/m_{\rho}f$. Then, the mass matrix for elementary and composite Higgses has the form

$$M^2 = \begin{pmatrix} m_H^2 & \epsilon m_H^2 \\ \epsilon^* m_H^2 & m_K^2 \end{pmatrix},\tag{4}$$

where the mixing parameter ϵ has the structure

$$\epsilon \sim (y - \tilde{y}^*) \frac{m_\rho f}{m_H^2},$$
 (5)

and where y and \tilde{y} are the Yukawa couplings of the two chiralities of the vectorlike fermions. For $y = \tilde{y}^*$ the couplings preserve parity. In this case, the mixing vanishes because the Higgs is scalar and K is pseudoscalar, effectively realizing an inert two Higgs doublet model.

The composite Higgs acquires calculable contributions to its mass from all the effects that explicitly break the global symmetries, i.e. gauge and Yukawa couplings and fermion masses. One finds

$$m_K^2 \sim \frac{g^2}{16\pi^2} m_\rho^2 + \frac{y^2}{16\pi^2} m_\rho^2 + m m_\rho,$$
 (6)

where we wrote collectively the dark-quark masses m_i as m

In order to break the electroweak symmetry and obtain $v \ll f$ one should require $\text{Det}[M^2] \approx 0$ and negative, i.e.

$$m_K^2 \approx |\epsilon|^2 m_H^2$$
. (7)

This condition, which needs to be satisfied with a precision v^2/f^2 , is the tuning of the electroweak VEV in composite Higgs models. Using the equation above, the mixing angle between elementary and composite Higgs reads

$$\tan \beta \approx |\epsilon| \approx \frac{m_K^2}{|y - \tilde{y}^*| m_\rho f}.$$
 (8)

Given that the gauge contribution implies $m_K > m_\rho/10$, it follows that the physical Higgs can be mostly composite for y, $\tilde{y} \sim 1$ or even smaller.

When $|\epsilon|$ < 1, the physical Higgs will be mostly composite. The couplings of the elementary Higgs with SM fermions generates at low energies the Yukawa couplings for the composite Higgs,

$$y^{\text{SM}} \approx y^{EL} \sin \beta.$$
 (9)

This implies that, in order to reproduce the known SM Yukawa couplings, the elementary ones must be larger according to the mixing. For this reason the mixing cannot be arbitrarily large in order to avoid perturbativity bounds, in particular from the top quark. Note however that the flavor structure is identical to the SM so that all flavor violation is controlled by the SM Yukawas. This allows one to easily avoid all flavor bounds that plague ordinary composite Higgs models.

Discrete symmetries play an important role in this framework. For $y \neq \tilde{y}^*$ the theory violates parity. Since the elementary Higgs is even under parity while NGBs are odd, their mixing is proportional to the violation of parity. Moreover for complex Yukawas an extra CP-violating phase exists in the theory. This has important physical effects, generating in particular an electric dipole moment (EDM) for the electron, that could be potentially observed.

B. T-parameter

In general, the strong dynamics that produced the Higgs as NGBs might not respect custodial symmetry. Higher dimensional operators produce tree-level corrections to the *T*-parameter that scale as

$$\Delta T \sim \frac{v^2}{f^2}.\tag{10}$$

At face value, this implies f > 5 TeV to comply with experimental constraints.

In order to construct a viable composite Higgs model with relatively low symmetry breaking scale, say $f \sim 1$ TeV, the Higgs should be in the (2,2) representation of custodial symmetry $SU(2)_L \times SU(2)_R$ [12]. This means that the unbroken group should contain the full custodial symmetry, a condition possible only if the constituents are complete representations of custodial symmetry.

In SU(N) gauge theories with fermions in the fundamental, the NGBs have the quantum numbers of the product of left-handed and right-handed fields. The simplest way to obtain a bi-doublet is to consider a doublet and a singlet of SU(2). There are two possibilities:

$$\Psi = (2,2) + (1,1), \qquad \Psi = (2,1) + (1,2), \qquad (11)$$

where each fermion is Dirac. In both cases one obtains two Higgs doublets in (2,2) rep. The only way to obtain a single Higgs doublet is when it transforms as $(2,1)_{\frac{1}{2}}$, which is not a custodial preserving rep. This is for example the case of the coset $SU(3)_L \times SU(3)_R/SU(3)$ discussed in [8]. This feature is associated to the fact that the fundamental rep of SU(N) is complex so that the (2,2) NGBs are necessarily in a complex representation.

In general, with more than one Higgs doublet custodial symmetry $SU(2)_L \times SU(2)_R$ is not sufficient [14]. The reason is the following: after electroweak symmetry breaking the W gauge bosons should transform as a triplet of $SU(2)_c$ so that $m_W^2/m_Z^2\cos^2\theta_W\approx 1$. $SU(2)\times SU(2)$ is isomorphic to SO(4) which is the symmetry group of the 3-sphere S_3 . A Higgs VEV picks a direction on the sphere breaking SO(4) to SO(3). A second Higgs acquiring a generic VEV would then break SO(3) to SO(2) leading to tree-level corrections to the T parameter (assuming it does not break charge). In the models above we can write custodially preserving Higgs couplings,

$$(2,2)H(1,1)$$
 or $(2,1)H(1,2)$, (12)

that would lead to a custodial preserving vacuum. Note however that compatibly with SM symmetries one could write different Yukawa couplings for the two chiralities of the vectorlike fermions that explicitly break custodial symmetry. The potential generated would be such that the minimum does not preserve custodial symmetry. In this case corrections to T of order v^2/f^2 will be generated.

The situation is different in SO(N) and Sp(N) models as in this case the representations are real (or pseudoreal). In SO(N) theories we can take the first rep in (11) to build a real representation. This will produce a single Higgs doublet in (2,2) rep of custodial symmetry. The second

representation can instead be used in Sp(N) theories. The reason for this is that combining the reps of Sp(N) with the one of SU(2) one can build a real representation.² Even with these protected representations the Yukawa couplings can break custodial symmetry leading to important tree-level effects.

While the T-parameter can be protected through custodial symmetry, other contributions to the precision tests are the same as in composite Higgs models. In particular, the contribution to the S parameter from vector resonances $\Delta S \sim 4\pi v^2/m_\rho^2$ implies that the dynamical scale should be in the multi-TeV range.

C. Higgs potential

A notable feature of the NGB Higgs is that, once the electroweak VEV is tuned, the Higgs mass is predicted in terms of the couplings that break explicitly the global symmetries. Having an underlying renormalizable theory allows then in principle to derive the Higgs mass from the fundamental parameters of the theory. We here sketch the general structure of the potential before discussing explicit examples.

In the models under consideration there are in general three contributions to the composite Higgs potential: gauge loops, vectorial fermion masses and Yukawa couplings with the elementary Higgs. The first two in general align the vacuum in the unbroken direction while the latter tends to destabilize it. As a consequence, by tuning coefficients, one can make $v/f \ll 1$ as required for the composite Higgs.

First we notice that for a symmetric coset G/H such as the ones we are considering the NGB can be described by the matrix,

$$\Sigma = U(\Pi)^2, \qquad U(\Pi) = e^{i\Pi} \tag{13}$$

where Π contains the broken generators. The advantage of using Σ is that it transforms linearly under the full G group making it easier to write invariants. The potential has the following structure:

$$V \sim A \text{Tr}[M(H) \cdot \Sigma \cdot \Sigma_0 + \text{H.c.}] + B \sum_a g_a^2 \text{Tr}[T^a \Sigma T^a \Sigma^{\dagger}], \tag{14}$$

where

$$M(H) = (m + h^a Y^a), \qquad (\Sigma_0)^{ij} = \langle \Psi^i \Psi^j \rangle \quad (15)$$

and g_a are the SM couplings. Here m is the diagonal matrix of vectorial masses and the matrices Y^a contain the Yukawa couplings to the corresponding component h^a of H. The

naive dimensional analysis estimate of the coefficients is $A = m_{\rho}f^2$ and $B = m_{\rho}^2f^2/(4\pi)^2$.

The potential has a special structure compared to a generic 2HDM since the elementary Higgs only appears linearly in the leading order terms. As a consequence quartic couplings such us H^2K^2 or H^3K are suppressed compared to HK^3 . Integrating out at tree level the elementary Higgs doublet we obtain³

$$V(\Pi) \approx A \text{Tr}[m_0 \cdot \Sigma \cdot \Sigma_0 + \text{H.c.}] - \frac{A^2}{2m_H^2} \sum_a |\text{Tr}[Y^a \cdot \Sigma \cdot \Sigma_0]|^2 + B \sum_a g_a^2 \text{Tr}[T^a \Sigma T^a \Sigma^{\dagger}].$$
(16)

Since the potential contains terms either linear or quadratic in Σ , projecting onto the physical Higgs direction one obtains the following terms:

$$V(h) \approx a \cos \frac{h}{f} + b \sin^2 \frac{h}{f},\tag{17}$$

where a and b are linear combinations of the coefficients in $V(\Pi)$. Demanding that the electroweak VEV is reproduced allows one to determine the Higgs mass as

$$\cos\frac{\langle h \rangle}{f} = \frac{a}{2b}, \qquad m_h^2 = -\frac{2b}{f^2} \sin^2\frac{\langle h \rangle}{f}.$$
 (18)

The first condition is the tuning of order v^2/f^2 on the coefficients a and b that needs to be performed to obtain a small Higgs VEV. The second is the prediction of the Higgs mass in terms of the coefficients of the effective Lagrangian that are fully determined by the strong dynamics.

In addition if the elementary Higgs has a quartic term this produces an extra contribution to the Higgs mass,

$$\Delta m_h^2 \approx 2\lambda v^2 \sin^4 \beta \approx 2\lambda v^2 |\epsilon|^4.$$
 (19)

Being suppressed by four powers of the mixing this is typically negligible. The presence of a quartic coupling is however important for the high energy behavior of the theory.

D. Running

So far our discussion was at the level of the effective theory. To establish the validity of the UV completion we now study the evolution of the couplings of the microscopic Lagrangian at high energies. At energies higher than the confinement scale the evolution of the couplings is determined by the β -functions,

²The second rep would not work for SO(N) and the first for Sp(N) because they would not produce a real representation.

³We have omitted from the effective potential contributions from loops with Yukawa couplings. These can be computed in terms of form factors of the strong dynamics as explained in [13]. A spurionic analysis shows that the functional structure is identical to the one induced integrating out the elementary Higgs.

$$\frac{dy_t}{d\log\mu} = \frac{y_t}{(4\pi)^2} \left[\frac{9}{2} y_t^2 + N_D(|y|^2 + |\tilde{y}|^2) - 8g_3^2 - \frac{17}{12} g'^2 - \frac{9}{4} g^2 \right]
\frac{dy}{d\log\mu} = \frac{y}{(4\pi)^2} \left[\frac{3}{2} (|y|^2 - |\tilde{y}|^2) + N_D(|y|^2 + |\tilde{y}|^2) + 3y_t^2 - 6\frac{\dim(G_D)T(R)}{N_D} g_D^2 \right] \quad \beta_{\tilde{y}} = \beta_y(y \leftrightarrow \tilde{y})
\frac{d\lambda}{d\log\mu} = \frac{4}{(4\pi)^2} \left[3\lambda^2 - (3y_t^4 + N_D(|y|^2 + |\tilde{y}|^2)^2) + \lambda(3y_t^2 + N_D(|y|^2 + |\tilde{y}|^2)) \right]
\frac{dg_D}{d\log\mu} = -\frac{g_D^3}{(4\pi)^2} \left[\frac{11}{3} C_2(G) - \frac{2}{3} T(R) N_f \right], \tag{20}$$

where N_f is the number of Weyl fermions and

$$T(R) = \{1/2, 1, 1/2\}$$
 $C_2(G) = \{N_D, N_D - 2, N_D + 1\}$ (21)

for SU(N), SO(N) and Sp(2N) respectively. The main difference from the SM originates from the boundary condition in (9). That equation should be understood at the confinement scale. Because of the enhanced value of the Yukawa coupling compared to the SM, the top Yukawa may run into Landau poles at relatively low scales if the Higgs is mostly composite. At face value for y, $\tilde{y} \ll 1$ (also favored by Higgs mass considerations), a negative β_{y_t} function at a scale $\sim \mathcal{O}$ (few TeV) would require

$$\sin^2 \beta \gtrsim \frac{9}{2(8g_3^2 + \frac{17}{12}g'^2 + \frac{9}{4}g^2)} \approx 0.5 \text{ (i.e. } \tan \beta \gtrsim 1).$$
 (22)

In Fig. 1 we consider the evolution of the top Yukawa coupling as a function of the mixing angle between elementary and composite Higgs. For $\tan \beta = 1$ and $y = \tilde{y} = 0$ the theory could be valid up to the Planck scale while a lower scale is obtained when the Higgs is more composite. We have checked that this result remains valid

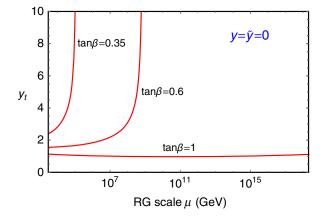


FIG. 1. Evolution of the top Yukawa couplings at high energy (one-loop) for $y = \tilde{y} = 0$ with $N_D = 2$. We assumed the boundary condition for the top Yukawa $y_t(3 \text{ TeV}) \approx 0.8/\sin\beta$.

as long as the dark Yukawas $(y, \tilde{y}) \lesssim 0.5$, which is satisfied in the concrete model examples later.

Things could improve if also QCD becomes not asymptotically free due to extra colored matter so that g_3 increases at high energy. Also, starting at two-loop order, there is a $\sim N_D g_D^2(|y|^2 + |\tilde{y}|^2)$ contribution from the dark gauge coupling to β_{y_t} that may change the estimate in Eq. (22) since at the confinement scale g_D is large. We leave a detailed study of these possibilities to future work.

Concerning the quartic of the elementary Higgs, the top quark and the new SM fermions give a large negative contribution to the β function of λ . Therefore if $\lambda(m_{\rho})=0$ the quartic becomes quickly unstable developing a new minimum. This can be easily remedied introducing a tree-level quartic. In the composite regime, given that the contribution to the Higgs squared mass is suppressed by four powers of the mixing, this affects little the prediction of the Higgs mass. For example for $\tan \beta = 1$ and $(y, \tilde{y}) \ll 1$ then $\lambda(3 \text{ TeV}) \approx 0.8$ in order to avoid instabilities or Landau poles. Nevertheless issues about stability of the SM vacuum need to be reconsidered in this context.

III. SU(4)/Sp(4) MODEL

In this section we will consider the most economical gauge theory that produces a composite Higgs doublet with custodial symmetry. This has been considered in the past by several groups [15–20]. The pattern of symmetry breaking SU(4)/Sp(4) can be realized by an $Sp(N_D)$ dark gauge group with two Dirac fermions in the N_D dimensional rep of the group. This rep is pseudoreal so that the fermion kinetic terms enjoy an enhanced flavor symmetry SU(4) compared to the apparent $U(2)_L \times U(2)_R$ in terms of Dirac fermions. The gauge dynamics generates a fermion condensate that breaks spontaneously the symmetry to $Sp(4) \approx SO(5)$ delivering five NGBs.

Let us denote the two Dirac flavors as U and D. In terms of left-handed Weyl spinors these are

$$\Psi \equiv (U_I D_I U_R D_R)^{\dagger}. \tag{23}$$

To embed the electroweak symmetry inside the global SU(4) symmetry $Q_L \equiv (U_L D_L)^{\intercal}$ transforms as a doublet of $SU(2)_L$ and $Q_R \equiv (U_R D_R)^{\intercal}$ as a doublet of $SU(2)_R$:

$$Q_L = (\mathbf{2}, \mathbf{1}), \qquad Q_R = (\mathbf{1}, \mathbf{2}), \tag{24}$$

the hypercharge being the diagonal generator of $SU(2)_R$. Quantum numbers allow masses μ_L and μ_R for the two doublets. In the limit $\mu_L=\mu_R$, the quark masses are aligned with our starting vacuum and do not break the global symmetry further. For generic complex masses μ_L and μ_R , instead, the residual symmetry is $SU(2)_L \times SU(2)_R$. Moreover independent Yukawa couplings with the elementary Higgs can be written down for $Q_L U_R$ and $Q_L D_R$.

The mass terms and Yukawa interactions take the form

$$\mathcal{L} = -\frac{1}{2}\Psi^{\dagger}M\Psi + \text{H.c.}$$
 (25)

with the antisymmetric matrix

$$M = \begin{pmatrix} 0 & \mu_L & -\tilde{y}\tilde{H}^* & yH^* \\ -\mu_L & 0 & & & \\ \tilde{y}\tilde{H}^{\dagger} & 0 & -\mu_R \\ -yH^{\dagger} & \mu_R & 0 \end{pmatrix}, \tag{26}$$

where H is the Higgs doublet and $\tilde{H}^i = \epsilon^{ij}H^j$. Note that custodial invariance requires $\tilde{v} = -v$.

For generic dark quark masses, we can use two phases of the three fermion fields (doublet Q_L and singlets U_R , D_R) to make the mass parameters real. The remaining fermion phase can be used, for instance, to make y (or \tilde{y}) real, while the relative phase between y and \tilde{y} is physical and could in principle lead to CP-violating effects. We will however see that such a phase does not appear in the lowest order chiral Lagrangian.

A. Effective Lagrangian

Following Sec. II C we can easily write the effective Lagrangian for the composite Higgs. As a reference vacuum we can choose⁴

$$\Sigma_0 = \begin{pmatrix} i\sigma_2 \cos \theta & \sin \theta \\ -\sin \theta & -i\sigma_2 \cos \theta \end{pmatrix}, \tag{27}$$

where the angle θ describes how the condensate aligns itself in the direction that does not break the gauge symmetry ($\theta = 0$) and the "technicolor" limit ($\theta = \pi/2$) passing through the composite Higgs limit $\theta \ll 1$. In this work we will concentrate on the composite Higgs limit and this is why we choose the $\theta = 0$ vacuum and parametrize the misalignment as a vacuum expectation value of the pion field rather than a change in Σ_0 . We will comment on the technicolor limit below.

$$\Sigma_0 = \begin{pmatrix} i\sigma_2 & 0\\ 0 & -i\sigma_2 \end{pmatrix}. \tag{28}$$

(28)

We then parametrize the five NGBs as

$$\Sigma \equiv \exp\left[\frac{2\sqrt{2}i}{f}\sum_{a=1}^{5}X^{a}\Pi_{a}\right]\cdot\Sigma_{0},\tag{29}$$

where the generators of SU(4) are normalized as $Tr(T^iT^j) = 1/2 \delta^{ij}$; we denote the generators broken by the vacuum Σ_0 as X^i and the unbroken ones as S_i , using the same basis as [17].

The two derivative low energy Lagrangian, together with the elementary Higgs one, reads

$$\mathcal{L} = \frac{f^2}{8} \operatorname{Tr}((D_{\mu}\Sigma)^{\dagger} D^{\mu}\Sigma) - \frac{1}{2} C_{y} f^3 (\operatorname{Tr}[M\Sigma] + \text{H.c.})$$
$$- C_{g} f^4 g_i^2 \operatorname{Tr}[S^{i} \Sigma S^{i*} \Sigma^{\dagger}]$$
$$+ (D_{\mu} H)^{\dagger} D^{\mu} H - \mu_H^2 H^{\dagger} H - \lambda (H^{\dagger} H)^2, \tag{30}$$

where we expect the scaling $C_y \sim m_\rho/f$, $C_g \sim m_\rho^2/(4\pi f)^2 \sim 1/N_D$ [13]. The covariant derivatives are

$$D_{\mu}\Sigma = \partial_{\mu}\Sigma - i(A_{\mu}\Sigma + \Sigma A_{\mu}^{\mathsf{T}}),$$

$$D_{\mu}H = \partial_{\mu}H - i\mathcal{A}_{\mu}H.$$
(31)

The terms proportional to C_y and C_g above are the contribution of the masses and gauge loops respectively (with S^i being the corresponding generators with gauge coupling q_i).

The five NGBs decompose under the electroweak symmetry as a complex doublet K and a singlet η . The Lagrangian in Eq. (30) can be written explicitly as

$$\mathcal{L} = \frac{\sin^{2} S}{S^{2}} \left((D_{\mu} K)^{\dagger} D^{\mu} K + \frac{1}{2} (\partial_{\mu} \eta)^{2} \right)$$

$$+ \frac{S^{2} - \sin^{2} S}{2f^{2} S^{4}} (K^{\dagger} \partial_{\mu} K + (\partial_{\mu} K)^{\dagger} K + \eta \cdot \partial_{\mu} \eta)^{2}$$

$$+ (\mu_{H}^{2} \{ \epsilon H^{\dagger} K + \text{H.c.} \} - 2C_{y} \text{Im} (\mu_{L} + \mu_{R}^{*}) f^{2} \eta) \cdot \frac{\sin S}{S}$$

$$+ \mu_{\eta}^{2} f^{2} \cdot \cos S + \frac{1}{2} \mu_{g}^{2} \left(f^{2} \cos^{2} S + \eta^{2} \frac{\sin^{2} S}{S^{2}} \right)$$

$$+ (D_{\mu} H)^{\dagger} D^{\mu} H - \mu_{H}^{2} H^{\dagger} H - \lambda (H^{\dagger} H)^{2},$$
 (32)

where we introduced $S \equiv \frac{\sqrt{2K^{\dagger}K + \eta^2}}{f}$ and defined

⁴The most general choice (up to a phase) of the vacuum breaking $SU(2)_L$ can be parametrized as

 $^{^5 \}text{We denote } A_\mu \!=\! g W^i_\mu S^i_L \!+\! g' B_\mu S^6_R$ and $\mathcal{A}_\mu \!=\! g^{\sigma_i}_{\ 2} W^i_\mu \!+\! \frac{1}{2} g' \mathbb{1}_2 B_\mu$ depending on whether they act on four-dimensional or twodimensional vectors.

UV-COMPLETE COMPOSITE HIGGS MODELS

$$\begin{split} \epsilon &\equiv -\sqrt{2}i(y-\tilde{y}^*)\frac{C_yf^2}{\mu_H^2}, \qquad \mu_\eta^2 \equiv 2C_y \mathrm{Re}(\mu_L + \mu_R^*)f, \\ \mu_g^2 &\equiv C_g(3g^2 + g'^2)f^2, \end{split} \tag{33}$$

and, for convenience, we will also use $\mu_K^2 \equiv \mu_\eta^2 + \mu_q^2$.

Electroweak symmetry is broken by the vacuum expectation values $v_H/\sqrt{2}$ and $v_K/\sqrt{2}$ of H and K. One finds

$$v_{\rm EW}^2 = v_H^2 + f^2 \sin^2 \theta$$
 with $\theta \equiv \frac{v_K}{f}$. (34)

We also define $\tan \tilde{\beta}$ as the ratio of the contributions from both sectors to $v_{\rm FW}$:

$$\tan \tilde{\beta} \equiv \frac{v_H}{f \sin \theta},\tag{35}$$

such that $v_H = v_{\rm EW} \sin \tilde{\beta}$ and $f \sin \theta = v_{\rm EW} \cos \tilde{\beta}$. We think of $\tilde{\beta}$ as an input parameter, but still use θ in expressions whenever convenient.

There is a linear coupling for η proportional to $\operatorname{Im}(\mu_L + \mu_R^*)$ which we remove by rephasing the underlying dark quark fields, making the quark masses real, in order to have $\langle \eta \rangle = 0$. Similarly, the combination $i(y - \tilde{y}^*)$ appearing in ϵ can be made real. There are thus no more complex phases remaining in the Lagrangian. As a consequence the effective Lagrangian respects CP despite the fact that the microscopic action contains a physical phase. CP invariance is an accidental feature of the two-derivative effective Lagrangian that does not persist to higher orders, see the Appendix.

The pion potential is readily obtained from the Lagrangian (32). We want now to relate the parameters of the model to the vacuum expectation values. The extrema of the potential are given by

$$\mu_H^2 v_H + \lambda v_H^3 = \epsilon \mu_H^2 f \sin \theta \tag{36}$$

$$\epsilon \mu_H^2 v_H \cos \theta = (\mu_\eta^2 + \mu_q^2 \cos \theta) f \sin \theta,$$
 (37)

which we can rewrite as

$$\mu_H^2 \tan^2 \tilde{\beta} = \mu_K^2 + \mu_\eta^2 (1/\cos\theta - 1) - \lambda v_{\rm EW}^2 \sin^2 \tilde{\beta} \tan^2 \tilde{\beta} \approx \mu_K^2$$
(38)

$$\epsilon = \tan \tilde{\beta} \left(1 + \lambda \frac{v_{\rm EW}^2}{\mu_H^2} \sin^2 \tilde{\beta} \right) \approx \tan \tilde{\beta}. \tag{39}$$

The tuning $v_{\rm EW} \ll f$ (i.e. $\theta \ll 1$), in the regime where the λ contribution is negligible, can be seen from Eq. (38); the difference between $\mu_H^2 \tan^2 \tilde{\beta}$ and μ_K^2 is indeed proportional to the quantity we want to make small, $v_{\rm EW}^2/f^2$, since from the definitions of θ and $\tilde{\beta}$ above

$$1/\cos\theta - 1 = \cos^2\tilde{\beta} \frac{v_{\text{EW}}^2}{2f^2} + \mathcal{O}\left[\frac{v_{\text{EW}}^4}{f^4}\right]. \tag{40}$$

Finally, also from (38), μ_H^2 does go to the expected Standard Model value $-\lambda v_{\rm EW}^2$ in the "elementary" limit, $\tan \tilde{\beta} \to \infty$, however the point at which it turns negative corresponds to values of $\tilde{\beta}$ ever closer to $\pi/2$ as f (thus μ_K) is increased.

Finally let us comment on the value of y determined by the choice of parameters (in particular of $\tilde{\beta}$). Inverting the definition of ϵ (33) and imposing the VEV equations just obtained, we find that

$$\operatorname{Im}[y - \tilde{y}^*] \sim \left(\operatorname{gauge contribution} + \frac{\mu_L + \mu_R}{f} \right) / \tan \tilde{\beta}, \tag{41}$$

where the gauge contribution coefficient is a few percent, and the quark masses, as we find in the next section, need to be fixed to a few percent of f as well, to reproduce the correct Higgs mass in the small- $\tilde{\beta}$ regime. Thus, as anticipated in Sec. II D, for moderately small values of $\tan \tilde{\beta}$, the Yukawa couplings are indeed less than one.

B. Spectrum and couplings

The three components of each H and K that are not aligned with the VEV (let us denote them h_i and k_i) are associated with the generators of the W^\pm and Z, so they must contain exact NGBs eaten by the massive gauge bosons. Note that since we do not explicitly decompose the degrees of freedom onto the exactly broken directions once the vacuum gets misaligned, these do not correspond to the typical Goldstone parametrization. At the level of quadratic terms that we consider here, it makes no difference, except that these k_i as well as the singlet η need to be rescaled. One can indeed see that the kinetic term in (32) gets multiplied by $\sin^2\theta/\theta^2$; only the direction aligned with the VEV retains a canonical kinetic term. So on the vacuum solution we find

$$\mathcal{L} \supset -\frac{1}{2} (\mu_g^2 + \mu_\eta^2 / \cos \theta) (k_i, h_i) \cdot \begin{pmatrix} 1 & -\cot \tilde{\beta} \\ -\cot \tilde{\beta} & \cot^2 \tilde{\beta} \end{pmatrix} \cdot \begin{pmatrix} k_i \\ h_i \end{pmatrix}. \tag{42}$$

This mass matrix has one zero eigenvalue, associated to the three exact GBs, while the other fields have mass

$$m_i^2 = \frac{\mu_g^2 + \mu_\eta^2 / \cos \theta}{\sin^2 \tilde{\beta}} = \frac{\mu_K^2}{\sin^2 \tilde{\beta}} + \mathcal{O}\left[\frac{v_{\text{EW}}^2}{f^2}\right], \quad (43)$$

and the mixing angle is precisely $\tilde{\beta}$.

The singlet η does not mix with the other fields and has indeed mass μ_{η} up to $v_{\rm EW}/f$ corrections:

$$m_{\eta}^2 = \frac{\mu_{\eta}^2}{\cos \theta}.\tag{44}$$

Of greater relevance is the mass matrix of the "radial" scalars, k_0 and h_0 , since we want to identify the lightest of the two as the observed Higgs boson:

$$\mathcal{L} \supset -\frac{1}{2} (k_0, h_0) \begin{pmatrix} \mu_g^2 \cos^2 \theta + \frac{\mu_\eta^2}{\cos \theta} & -\frac{1}{\tan \tilde{\beta}} (\mu_g^2 \cos \theta + \mu_\eta^2) \\ -\frac{1}{\tan \tilde{\beta}} (\mu_g^2 \cos \theta + \mu_\eta^2) & \frac{1}{\tan^2 \tilde{\beta}} \left(\mu_g^2 + \frac{\mu_\eta^2}{\cos \theta} \right) + 2\lambda v_{\text{EW}}^2 \sin^2 \tilde{\beta} \end{pmatrix} \begin{pmatrix} k_0 \\ h_0 \end{pmatrix}, \tag{45}$$

and its eigenvalues, up to higher order terms in $v_{\rm EW}/f$ and λ , are

$$m_h^2 \approx v_{\rm EW}^2 \left(\frac{\mu_\eta^2}{f^2} \cos^4 \tilde{\beta} + 2\lambda \sin^4 \tilde{\beta} \right)$$
 (46)

$$m_H^2 \approx \frac{\mu_K^2}{\sin^2 \tilde{\beta}}.$$
 (47)

As for the rotation to the mass eigenbasis, the analog of the 2HDM mixing angle α is

$$\alpha = \tilde{\beta} - \pi/2 + \mathcal{O}\left[\frac{v_{\text{EW}}^2}{f^2}, \lambda\right]. \tag{48}$$

We are therefore close to the alignment limit and the Higgs couplings are close to SM values.

Equation (46) holds well enough when f is sufficiently large, so we have the relation

$$\lambda \approx \frac{1}{2} \left(\frac{m_h^2}{v_{\text{EW}}^2} \frac{1}{\sin^4 \tilde{\beta}} - \frac{\mu_\eta^2}{f^2} \frac{1}{\tan^4 \tilde{\beta}} \right). \tag{49}$$

It reduces to the Standard Model relation $2\lambda = m_h^2/v_{\rm EW}^2$ in the $\tan \tilde{\beta} \to \infty$ limit, as it should; in the opposite limit, for λ

to remain finite, μ_{η} needs to be precisely tuned to $fm_h/v_{\rm EW}$ but the value of λ itself is irrelevant. Figure 2 shows how, as $\tan \tilde{\beta}$ is increased, λ is required to be small unless taken negative, while a fixed value of μ_{η} is required for small $\tilde{\beta}$.

It is worth noting that m_h does not depend on the gauge contribution to the pion masses μ_g once the electroweak scale is fixed. Without λ , the dark fermion masses are then necessary to reproduce the correct spectrum. This holds even when considering the nonlinearities of the potential. Indeed, integrating out H, the symmetry-breaking term from the Yukawas has the same trigonometric dependence as the gauge contribution: there cannot be an interplay between these two terms to tune the shape of the potential. Finally the situation changes when $\mu_\eta \to 0$ (and $\lambda = 0$): one then goes to a technicolor limit $\theta = \pi/2$. In this case the position of the VEV of K is fixed while μ_H is tuned against the gauge contribution to give the correct Higgs mass:

$$m_h^2 = \mu_H^2 \tan^2 \tilde{\beta} - \mu_g^2.$$
 (50)

The difference compared to 2HDM regarding the couplings to gauge bosons of these scalars is the presence of extra nonlinear terms. From kinetic terms,

$$\frac{f^2}{8} \operatorname{Tr}(D_{\mu} \Sigma^{\dagger} D^{\mu} \Sigma) = \frac{\sin^2 S}{S^2} (K^{\dagger} \mathcal{A}_{\mu} \mathcal{A}^{\mu} K) + \cdots
= \left(g^2 W_{\mu}^+ W^{-\mu} + \frac{g^2 + g'^2}{2} Z_{\mu} Z^{\mu} \right) \left(\frac{v_{\text{EW}}^2 \cos^2 \tilde{\beta}}{4} + k_0 \frac{v_{\text{EW}} \cos \tilde{\beta}}{2} \cos \theta \right) + \cdots
D_{\mu} H^{\dagger} D^{\mu} H = \left(g^2 W_{\mu}^+ W^{-\mu} + \frac{g^2 + g'^2}{2} Z_{\mu} Z^{\mu} \right) \left(\frac{v_{\text{EW}}^2 \sin^2 \tilde{\beta}}{4} + h_0 \frac{v_{\text{EW}} \sin \tilde{\beta}}{2} \right) + \cdots$$
(51)

so the expressions for the couplings contain an extra $\cos \theta$:

$$\frac{g_{hVV}}{g_{hVV}^{SM}} = \cos \alpha \sin \tilde{\beta} - \sin \alpha \cos \tilde{\beta} \cdot \cos \theta$$

$$= 1 - \frac{v_{EW}^2 \cos^4 \tilde{\beta}}{2f^2} + \mathcal{O}\left[\left(\frac{v_{EW}^2}{f^2}, \lambda\right)^2\right] \tag{52}$$

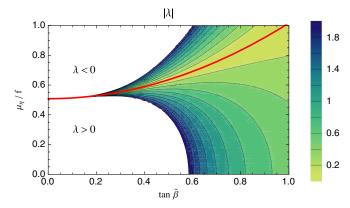


FIG. 2. Value of λ required to reproduce the correct Higgs mass in the limit $f \to \infty$. The red line indicates $\lambda = 0$.

$$\begin{split} \frac{g_{HVV}}{g_{hVV}^{\text{SM}}} &= \sin \alpha \sin \tilde{\beta} + \cos \alpha \cos \tilde{\beta} \cdot \cos \theta \\ &= -\frac{\sin^3 2\tilde{\beta}}{4} \left(\frac{1}{2} \left(1 - \frac{\mu_{\eta}^2}{\mu_K^2} + \cot^2 \tilde{\beta} \right) \right. \\ &+ \frac{\lambda f^2 \tan^2 \tilde{\beta}}{\mu_K^2} \right) \frac{v_{\text{EW}}^2}{f^2} + \mathcal{O} \left[\left(\frac{v_{\text{EW}}^2}{f^2}, \lambda \right)^2 \right], \quad (53) \end{split}$$

where $g_{hWW}^{\rm SM} = gm_W$, $g_{hZZ}^{\rm SM} = \sqrt{g^2 + g'^2}m_Z$. We observe that the leading correction to the light Higgs coupling comes entirely from the "compositeness" $\cos \theta$ and the effect of the misalignment (48) only enters at higher order. Couplings of the singlet pion η were computed in [17].

As we are in a type-I 2HDM, the couplings to fermions are [21]

$$\frac{g_{h\bar{f}f}}{g_{h\bar{f}f}^{SM}} = \frac{\cos\alpha}{\sin\tilde{\beta}} \approx 1,\tag{54}$$

$$\frac{g_{H\bar{f}f}}{g_{h\bar{f}f}^{\rm SM}} = \frac{\sin\alpha}{\sin\tilde{\beta}} \approx -\frac{1}{\tan\tilde{\beta}},\tag{55}$$

while the fundamental couplings of our elementary doublet H to fermions are enhanced by $1/\sin\tilde{\beta}$ compared to the SM values since $v_H=v_{\rm EW}\sin\tilde{\beta}$ and the fermion masses are fixed.

IV. SU(5)/SO(5) MODEL

We now repeat the same steps for the pattern of symmetry breaking SU(5)/SO(5). As we will see the different group theory leads to different expressions for the Higgs mass and also, contrary to the previous example, produces sizable contributions to the EDMs of SM fermions.

This pattern of symmetry breaking is realized by an SO(N) gauge theory with five Weyl fermions in the vectorial representation of the gauge group. The

spontaneous symmetry breaking is driven by the dark fermion condensate $(\Sigma_0)_{ij} = \langle \Psi_i \Psi_j \rangle$, symmetric under the exchange of its flavor indices (i, j = 1, ..., 5). The fermions transform as a lepton doublet plus its conjugate and a real singlet,⁶

$$\Psi_i = (Q_L, \tilde{Q}_L, N)^{\mathsf{T}} = (\mathbf{2}_{-1/2}, \mathbf{2}_{+1/2}, \mathbf{1}_0)^{\mathsf{T}}.$$
 (56)

The action is invariant under custodial symmetry acting on the vector with the SU(5) generators,

$$S_L^i = \frac{1}{2} \left(\begin{array}{c|c} \mathbf{1}_2 \otimes \sigma^i & \\ \hline & 0 \end{array} \right), \qquad S_R^i = \frac{1}{2} \left(\begin{array}{c|c} \sigma^i \otimes \mathbf{1}_2 & \\ \hline & 0 \end{array} \right). \tag{57}$$

We include dark fermion masses, μ_L for Q_L and μ_N for N, as well as Yukawa couplings, which can be incorporated in the Lagrangian as

$$\mathcal{L}_M + \mathcal{L}_{\text{yukawa}} = -\frac{1}{2} \Psi^{\dagger} M \Psi + \text{H.c.}, \qquad (58)$$

where M is the symmetric matrix,

$$M = \begin{pmatrix} 0 & -\mu_{L} & \\ & & \tilde{y}\tilde{H}^{*} \\ & 0 & \mu_{L} & \\ & \mu_{L} & 0 & \\ & & & yH^{*} \\ -\mu_{L} & 0 & \\ & \tilde{y}\tilde{H}^{\dagger} & yH^{\dagger} & -\mu_{N} \end{pmatrix}, (59)$$

H, as before, is the Higgs doublet, $\tilde{H}^i = \epsilon^{ij} H^{*j}$, and the fermion masses μ_L and μ_N are taken to be real.

A. Effective theory

To build the low energy effective theory for the NGB, we make the following choice for the vacuum:

$$\Sigma_0 = \begin{pmatrix} 0 & i\sigma_2 \\ -i\sigma_2 & 0 \\ & & 1 \end{pmatrix}. \tag{60}$$

The spontaneous breaking $SU(5) \rightarrow SO(5)$ produces 14 NGBs. Under custodial symmetry $SU(2)_L \times SU(2)_R$ they decompose as

⁶Differently from the SU(4)/Sp(4) the charge assignments are compatible with unification into SU(5) multiplets. The same gauge theory models also predict dark matter candidates as dark baryons [1].

ALESSANDRO AGUGLIARO et al.

$$14 \to (3,3) \oplus (2,2) \oplus (1,1) = \pi_a^i \oplus (\tilde{K},K) \oplus \eta.$$
 (61)

The doublet corresponds to the combination

$$K \sim \mathbf{2}_{-1/2} \otimes \mathbf{1}_0$$
, $\tilde{K} \sim \mathbf{2}_{1/2} \otimes \mathbf{1}_0$, $\tilde{K}^i = \epsilon^{ij} K^{*j}$. (62)

We parametrize the NGBs as

$$\Sigma \equiv \exp\left[\frac{4i}{f} \sum_{a=1}^{14} X^a \Pi_a\right] \cdot \Sigma_0,\tag{63}$$

where X^a are the broken generators with trace normalized to 1/2. Explicitly the tri-triplet $\pi_a^i = (3,3)$ can be represented by means of the following block matrix:

$$\pi_a^i = \frac{1}{2} \begin{pmatrix} \pi_0 & \pi_+ & \\ \pi_- & -\pi_0 & \\ & & 0 \end{pmatrix}, \tag{64}$$

$$\pi_0 = \frac{1}{\sqrt{2}} \pi_0^i \sigma^i, \pi_- = \pi_-^i \sigma^i, (\pi_-)^\dagger = \pi_+, \qquad (65)$$

where $\pi_0^i \in \mathbb{R}$ and $\pi_{\pm}^i \in \mathbb{C}$, the subscripts $(a = \pm, 0)$ indicate the hypercharge, and the superscripts (i = 1, 2, 3) denote the components of each triplet.

The parametrization of the doublet and that of the singlet in terms of 5×5 matrices are given, respectively, by

$$\Phi = \frac{1}{2} \begin{pmatrix} & & K \\ & & K \\ \hline \tilde{K}^{\dagger} & K^{\dagger} & & \end{pmatrix}, \qquad X_{\eta} = \frac{1}{2\sqrt{10}} \begin{pmatrix} 14 & & \\ & -4 \end{pmatrix}.$$
(66)

With the above ingredients the two-derivative effective Lagrangian reads

$$\mathcal{L} = \frac{f^2}{16} \operatorname{Tr}((D_{\mu} \Sigma)^{\dagger} D^{\mu} \Sigma) - \frac{1}{4} C_{y} f^3 (\operatorname{Tr}[M \Sigma] + \text{H.c.})$$
$$- \frac{1}{2} C_{g} f^4 g_i^2 \operatorname{Tr}[S^i \Sigma S^{i*} \Sigma^{\dagger}] + (D_{\mu} H)^{\dagger} D^{\mu} H$$
$$- \mu_H^2 H^{\dagger} H - \lambda (H^{\dagger} H)^2. \tag{67}$$

Focusing on the doublets the Lagrangian can be written in explicit form as

$$\mathcal{L} = \frac{\sin^2 S}{S^2} (D_{\mu} K)^{\dagger} D^{\mu} K + \frac{S^2 - \sin^2 S}{2f^2 S^4} (K^{\dagger} \partial_{\mu} K + (\partial_{\mu} K)^{\dagger} K)^2 + (\epsilon \mu_H^2 H^{\dagger} K + \text{H.c.}) \frac{\sin 2S}{2S} + \frac{\mu_K^2 f^2}{2} \cos^2 S + (D_{\mu} H)^{\dagger} D^{\mu} H - \mu_H^2 H^{\dagger} H - \lambda (H^{\dagger} H)^2,$$
 (68)

where we defined

$$\mu_K^2 = f^2 C_a (3g^2 + g'^2) + 2C_v f(\mu_L + \mu_N)$$
 (69)

$$S \equiv \sqrt{\frac{2K^{\dagger}K}{f^2}}, \qquad \epsilon \equiv -\frac{iC_y(y - \tilde{y}^*)f^2}{\mu_H^2}. \tag{70}$$

As in the previous section, note that ϵ can be made real by a redefinition of the fields H and K, so we will take $\epsilon \in \mathbb{R}^+$, hereafter.

The kinetic term is identical to the one found in the previous section, as expected since SU(4)/Sp(4) is a submanifold of SU(5)/SO(5). The potential, however, has a different trigonometric dependence on S. In particular, the potential generated by gauge interactions and vectorial masses both produce a $\cos^2 S$ dependence while the mixing with the elementary Higgs produces a potential $\sin S \cos S$. This feature is at the heart of the different prediction for the physical Higgs mass. In this case the tuning of the electroweak VEV can be achieved even in the absence of fermion masses through the cancellation of gauge loop and heavy Higgs contribution.

As before from the second line of Eq. (68) one finds

$$v_{\rm EW}^2 = v_H^2 + f^2 \sin^2 \theta = (246 \ {\rm GeV})^2,$$
 where $\theta = S(\langle K \rangle) = \frac{v_K}{f}$.

Minimization of the potential in (68) gives the following equations of motion:

$$\mu_H^2 v_H + \lambda v_H^3 = \epsilon \mu_H^2 f \sin \theta \cos \theta,$$

$$\mu_H^2 v_H \epsilon \cos 2\theta = \mu_K^2 f \sin \theta \cos \theta.$$
 (71)

These equations are quite similar to those given in (36). However, the nontrivial θ -dependence differs. As it is apparent from the second equation, here the gauge and fundamental fermion mass contribution, contained inside μ_K^2 , come with the same function of θ , differently from what happened in (37). We can rewrite the minimum conditions as follows:

$$\epsilon = \frac{\tan \tilde{\beta}}{\cos \theta} \left(1 + \lambda \frac{v_{\text{EW}}^2}{\mu_H^2} \sin^2 \tilde{\beta} \right) \approx \frac{\tan \tilde{\beta}}{\cos \theta}, \tag{72}$$

$$\mu_{H}^{2} \tan^{2} \tilde{\beta} = \mu_{K}^{2} \frac{\cos^{2} \theta}{\cos 2\theta} - \lambda v_{EW}^{2} \sin^{2} \tilde{\beta} \tan^{2} \tilde{\beta}$$

$$\approx \mu_{K}^{2} \frac{\cos^{2} \theta}{\cos 2\theta},$$
(73)

where $\tan \tilde{\beta}$ is defined as in Eq. (35) and we assumed the contribution coming from elementary quartic λ to be much smaller. As expected in the limit $\theta \ll 1$ the equations above yield the tuning condition,

$$\epsilon \approx \tan \tilde{\beta} \approx \frac{\mu_K}{\mu_H}.$$
 (74)

In complement to Fig. 2, another way to understand the relation among the parameters imposed by (73) is to plot the value of $\tan \tilde{\beta}$ as a function of μ_K^2 and $\lambda v_{\rm EW}^2$ relative to μ_H^2 , setting $\theta=0$. This is shown in Fig. 3. Small values of $\tan \tilde{\beta}$ —corresponding to the case where the doublet responsible for the electroweak symmetry breaking is mostly composite—require $\mu_H\gg\mu_K$, while the value of λ plays no role.

Coming to the spectrum, the mass matrix of the CP-even neutral states (k_0, h_0) is given by

$$(k_0, h_0) \begin{pmatrix} \frac{\mu_K^2}{\cos 2\theta} & -\frac{\mu_K^2}{\tan \tilde{\beta}} \cos \theta \\ -\frac{\mu_K^2}{\tan \tilde{\beta}} \cos \theta & \frac{\mu_K^2}{\tan^2 \tilde{\beta}} \frac{\cos^2 \theta}{\cos 2\theta} + 2\lambda v_{\rm EW}^2 \sin^2 \tilde{\beta} \end{pmatrix} \begin{pmatrix} k_0 \\ h_0 \end{pmatrix}.$$

$$(75)$$

To first order in λ and up to corrections of order $(v_{\rm EW}^4/f^4)$ the eigenvalues are

$$m_h^2 \approx 4 \frac{v_{\text{EW}}^2}{f^2} \mu_K^2 \cos^4 \tilde{\beta} + 2\lambda v_{\text{EW}}^2 \sin^4 \tilde{\beta},$$

$$m_H^2 \approx \frac{\mu_K^2}{\sin^2 \tilde{\beta}} + 2\lambda v_{\text{EW}}^2 \cos^2 \tilde{\beta} \sin^2 \tilde{\beta}.$$
(76)

The small eigenvalue, m_h , is the one associated with the standard model Higgs boson, while m_H is the mass of its heavy partner. Importantly, contrary to what occurred in the previous section, μ_K^2 contains a contribution from gauge loops allowing to tune the Higgs even without quark masses. In this limit using the estimate of gauge loops from QCD data one finds [22]

$$m_h^{\text{gauge}} \approx 150 \sqrt{\frac{3}{N_D}} \text{ GeV}.$$
 (77)

Finally, the relation between the angle $\tilde{\beta}$ and the angle α , the latter corresponding to the rotation needed to diagonalize the mass matrix (75), is exactly that given in Eq. (48), up to $O[\frac{v_{\rm EW}^2}{f^2}, \lambda]$. The couplings of the light Higgs to a pair of gauge bosons are equal to those computed in the previous section, while the one of the heavy Higgs is

$$\frac{g_{HVV}}{g_{hVV}^{SM}} \approx -\frac{\sin^3 2\tilde{\beta}}{4} \left(-1 + \cot^2 \tilde{\beta} + \frac{\lambda f^2 \tan^2 \tilde{\beta}}{\mu_K^2} \right) \frac{v_{EW}^2}{f^2}.$$
 (78)

The couplings to fermions are equal to those given in (54).

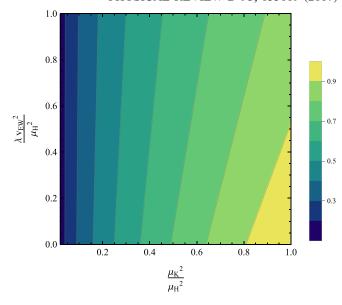


FIG. 3. A contour plot of $\tan \tilde{\beta}$, obtained by solving Eq. (73) with $\theta = 0$ as a function of μ_K^2/μ_H^2 and $\lambda v_{\rm EW}^2/\mu_H^2$.

The remaining scalars take mass from the Lagrangian

$$\mathcal{L}_{\text{mass}} = -\frac{\mu_K^2 \cos^2 \theta}{2 \cos 2\theta} (k_i, h_i) \cdot \begin{pmatrix} 1 & -\cot \tilde{\beta} \\ -\cot \tilde{\beta} & \cot^2 \tilde{\beta} \end{pmatrix} \cdot \begin{pmatrix} k_i \\ h_i \end{pmatrix}, \quad (79)$$

leading to

$$m_i = \mu_K^2 \frac{\cos^2 \theta}{\cos 2\theta} \frac{1}{\sin^2 \tilde{\beta}} \approx \frac{\mu_K^2}{\sin^2 \tilde{\beta}} + \mathcal{O}\left(\frac{v_{\text{EW}}^2}{f^2}\right),$$
 (80)

where we have made explicit use of Eqs. (72) and (34).

B. Electric dipole moments

For complex Yukawa couplings the models contain a new *CP*-violating phase. As in Ref. [8] this phase will in general induce EDMs for the SM particles. In the microscopic theory these originate from two-loop Barr-Zee diagrams with the elementary fermions running in the loop [23]. To estimate the EDMs in the confined regime we turn to effective theory, where the singlets and triplets have anomalous couplings to the photon. Including *CP*-violating couplings from the scalar potential an EDM can be generated through the diagrams of the kind depicted in Fig. 4.

 $^{^7}$ For SU(4)/Sp(4) the leading order Lagrangian is accidentally *CP* invariant, see the Appendix. As a consequence, no EDMs are generated to leading order. For this reason we focus on SU(5)/SO(5).

ALESSANDRO AGUGLIARO et al.

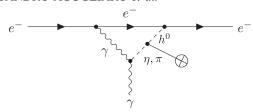


FIG. 4. Feynman diagram contributing to the electric dipole moment of the electron. The η and π NGBs couple to photons through anomalies, while the crossed circle represents the insertion of the Higgs VEV.

For SU(5)/SO(5) the anomalous couplings read [18]

$$\mathcal{L}_{\text{anomaly}} = \frac{N_D}{16\pi^2 f} \left[\sqrt{\frac{2}{5}} \eta \cdot (g^2 W^i_{\mu\nu} \tilde{W}^{\mu\nu,i} + g'^2 B_{\mu\nu} \tilde{B}^{\mu\nu}) + \sqrt{2} g \cdot g' \pi^i_0 \cdot W^i_{\mu\nu} \tilde{B}^{\mu\nu} \right], \tag{81}$$

where $\tilde{V}^{\rho\sigma} = \frac{1}{2} \varepsilon^{\rho\sigma\mu\nu} V_{\mu\nu}$. For the potential it will be sufficient for our purposes to expand (67), up to quartic order in $\frac{\Pi}{f}$ around the origin,

$$V \approx \mu_K^2 K^{\dagger} K + \mu_H^2 H^{\dagger} H + \lambda (H^{\dagger} H)^2$$

$$- \epsilon \mu_H^2 (H^{\dagger} K + K^{\dagger} H) \left(1 - \frac{4}{3f^2} (K^{\dagger} K) \right) - \lambda_K (K^{\dagger} K)^2$$

$$+ \frac{3}{\sqrt{10}} C_y f \eta (A H^{\dagger} K + A^* K^{\dagger} H) + \frac{m_{\eta}^2}{2} \eta^2$$

$$+ \frac{m_{\pi_0}^2}{2} (\pi_0^i)^2 + m_{\pi_+}^2 \pi_+^i \cdot \pi_-^i$$

$$+ C_y A f \left(-H^{\dagger} \sigma^i \tilde{K} \pi_-^i + \frac{1}{\sqrt{2}} H^{\dagger} \sigma^i K \pi_0^i \right) + \text{H.c.}, \quad (82)$$

where

$$\lambda_K \equiv \frac{2\mu_K^2}{3f^2}, \qquad m_\eta^2 \equiv \frac{4}{5}C_y f(\mu_L + 4\mu_N),$$

$$m_{\pi^0}^2 \equiv 4f^2 \left(\frac{C_y \mu_L}{f} + 2C_g g^2\right),$$

$$m_{\pi^+}^2 \equiv 4f^2 \left(\frac{C_y \mu_L}{f} + C_g (2g^2 + g'^2)\right),$$

$$A \equiv y + \tilde{y}^*, \qquad B \equiv y - \tilde{y}^*. \tag{83}$$

Using this expansion the same results of the previous section can be recovered up to $\mathcal{O}(v_{\rm EW}^4/f^4)$ corrections. We can also extract the VEV of singlets of triplets,

$$\begin{split} \langle \eta \rangle &= -\frac{6}{\sqrt{10}} \frac{C_y^2 f^3 \text{Im}(y\tilde{y}) v_K^2}{m_\eta^2 \mu_H^2}, \quad \langle \pi_0^3 \rangle = \frac{\sqrt{2} C_y^2 f^3 \text{Im}(y\tilde{y}) v_K^2}{m_{\pi^0}^2 \mu_H^2}, \\ \langle \pi_+^0 \rangle &\equiv \frac{\langle \pi_+^1 \rangle - i \langle \pi_+^2 \rangle}{\sqrt{2}} \\ &= \frac{C_y^2 f^3 v_K^2}{\sqrt{2} m_{\pi^+}^2 \mu_H^2} [2 \text{Im}(y\tilde{y}) + i (|y|^2 - |\tilde{y}|^2)]. \end{split} \tag{84}$$

The contribution to EDMs can be computed as in [8]. We first integrate out the elementary doublet H at tree level in (82), obtaining the following relevant Lagrangian:

$$\begin{split} \delta \mathcal{L}^{EDM} &= -\frac{m_{\pi_0}^2}{2} (\pi_0^3)^2 - \frac{m_{\eta}^2}{2} \eta^2 \\ &+ \frac{4C_y^2 f^3 \text{Im}(y\tilde{y})}{\mu_H^2} \left(\frac{1}{\sqrt{2}} K^{\dagger} \sigma^i K \pi_0^i + \frac{3}{\sqrt{10}} \eta K^{\dagger} K \right) \\ &+ \mathcal{L}_{\text{anomaly}}. \end{split} \tag{85}$$

Next we integrate out π_0^i and η assuming them to be heavier than the physical Higgs,

$$\delta \mathcal{L}_{\text{eff}}^{EDM} = \frac{N_D C_y^2 f^2 \text{Im}(y\tilde{y})}{5\pi^2 \mu_H^2 m_\eta^2 m_{\pi_0}^2} \times [3m_{\pi_0}^2 K^{\dagger} K(g^2 W_{\mu\nu}^i \tilde{W}^{\mu\nu,i} + g'^2 B_{\mu\nu} \tilde{B}^{\mu\nu}) + 5m_\eta^2 K^{\dagger} \sigma^i K g g' W_{\mu\nu}^i \tilde{B}^{\mu\nu}]$$
(86)

from which we can extract the coupling of the Higgs to photons, which reads $(\lambda \ll 1)$

$$\mathcal{L}_{\gamma\gamma}^{EDM} = \frac{e^2 N_D}{40\pi^2} \frac{\text{Im}(y\tilde{y}) C_y^2 f^2 (6m_{\pi_0}^2 - 5m_{\eta}^2) \tan^2 \beta}{\mu_K^2 m_{\pi_0}^2 m_{\eta}^2} F \tilde{F} k_0^{\dagger} k_0$$

$$\equiv \frac{c_K}{\Lambda^2} F \tilde{F} k_0^{\dagger} k_0, \tag{87}$$

and in the last equality we defined

$$\frac{c_K}{\Lambda^2} = \frac{e^2 C_y^2 f^2 N_D \text{Im}(y\tilde{y})}{40\mu_K^2 \pi^2} \times \frac{(6m_{\pi_0}^2 - 5m_{\eta}^2)}{m_{\pi_0}^2 m_{\eta}^2} \times \tan^2 \beta.$$
 (88)

The electric dipole moment can be estimated in the effective theory from the one-loop diagrams generated through the effective operator in (87). For the electron this gives [24,25]

$$d_e \approx \frac{e m_e c_K}{4\pi^2 \Lambda^2} \log \frac{m_\Pi^2}{m_h^2},\tag{89}$$

where the factor m_{Π} in the log is an average of pion masses that cuts off the logarithmically divergent integral obtained from the effective operator (87). Restoring the powers of \hbar and c in the previous formula, we find the following estimate for the electric dipole moment of the electron:

UV-COMPLETE COMPOSITE HIGGS MODELS

$$\begin{split} d_e &\approx 10^{-26} \text{ e} \cdot \text{cm} \times \text{Im}(y\tilde{y}) \times \frac{N_D}{3} \times \left(\frac{\text{TeV}}{m_{\pi,\eta}}\right)^4 \\ &\times \left(\frac{m_\rho}{\text{TeV}}\right)^2 \times \text{tan}^2 \beta. \end{split} \tag{90}$$

This prediction should be compared with the current experimental limit [26], namely $|d_e| < 8.7 \times 10^{-29} \, \mathrm{e\cdot cm}$, at 90% confidence level, pointing towards the fact that new composite particles could be detected at the TeV scale, provided that the *CP*-violating phases are of order 1.

C. Custodial symmetry breaking

Finally, we discuss the violation of custodial symmetry in the SU(5)/SO(5) model. For $y \neq \tilde{y}$ custodial symmetry is broken by the Yukawas. While the VEV of the doublet produces no tree-level correction to T the VEVs listed of the triplets in Eq. (84) break in general custodial symmetry producing the following contribution to the T parameter:

$$T = \frac{8}{\alpha_{em}} \left(\frac{C_{y}^{2} f^{3}}{m_{\pi^{+}}^{2} m_{\pi^{0}}^{2} \mu_{H}^{2}} \right)^{2} v_{EW}^{2} c_{\beta}^{4} \left[\operatorname{Im}(y \tilde{y})^{2} (m_{\pi^{+}}^{4} - m_{\pi^{0}}^{4}) + \frac{m_{\pi^{0}}^{4}}{4} (|y|^{2} - |\tilde{y}|^{2})^{2} \right], \tag{91}$$

where $\alpha_{em} = e^2/4\pi$. The first term on the right-hand side of Eq. (91) vanishes when $m_{\pi^+} = m_{\pi^0}$, namely when g' = 0, thus is essentially a hypercharge effect, combined with the complex phase $\text{Im}(y\tilde{y})$; the second term, on the contrary, is a purely Yukawa effect, depending only on the difference between the two Yukawas y and \tilde{y} , and is present even when the theory features no CP violation. In the SU(4)/Sp(4) model there is no such effect because of the absence of the triplets, and corrections to the T parameter are generated at the loop level.

V. RELAXING THE COMPOSITE HIGGS

The composite Higgs models that have been presented feature an elementary Higgs doublet, necessary to induce the vacuum misalignment of the composite Higgs that dominantly breaks the electroweak symmetry. The presence of the elementary scalar reintroduces the hierarchy problem, apparently offsetting the very motivation of composite Higgs models. In this section we sketch how to realize the relaxation of the electroweak scale [2] for the composite Higgs. In [8] we discussed the relaxation in the limit where the physical Higgs is mostly elementary. We here turn to the composite regime. This is the composite analog of the supersymmetric relaxion mechanism studied in [27–29].

Following [2] we will assume that the elementary Higgs mass scans in the early universe due to the evolution of the relaxion scalar field ϕ ,

$$V(\phi, H) = (\Lambda^2 - q\Lambda\phi)|H|^2 + q\Lambda^3\phi + \cdots$$
 (92)

This potential is technically natural, sending $g \to 0$ invariance under shift of ϕ is recovered. In addition the relaxion has an axion-like coupling to the dark gluons,

$$\frac{1}{32\pi^2} \frac{\phi}{f_{\phi}} \tilde{\mathcal{G}}^a_{\mu\nu} \mathcal{G}^{a,\mu\nu},\tag{93}$$

where f_{ϕ} is a decay constant (not to be confused with f) of the order of the cutoff of the theory Λ . This term can be eliminated through a chiral rotation of the fermions so that ϕ appears in the masses and Yukawas of the fermions and we will work in this basis in what follows, see [8] for more details. We neglect derivative couplings.

As we discussed in Sec. II the tuning of the electroweak VEV requires that the mass matrix (4) for the elementary and composite Higgs has a small negative eigenvalue. This happens for

$$m_K^2 \approx |\epsilon|^2 m_H^2. \tag{94}$$

To see how the tuned electroweak scale is selected assume that $m_H^2(\phi)$ in (92) is initially positive. For $m_H^2(\phi) \gg m_K^2$ we can integrate out H and obtain an effective potential for K,

$$V_K = (m_K^2 - |\epsilon(\phi)|^2 m_H^2(\phi))|K|^2 + \cdots,$$
 (95)

where ϵ depends on ϕ through the Yukawa couplings and mass of H. During the evolution of ϕ the mass of K scans crossing zero when the condition (94) is satisfied. Afterwards K acquires a VEV that in turn generates a barrier for ϕ that can terminate its evolution. In light of Eq. (94) the height of the Higgs dependent barrier is

$$B_H \sim m_K^2 v^2. \tag{96}$$

The derivative of the barrier is given by

$$\frac{\partial}{\partial \phi} V_K = \frac{\partial}{\partial \phi} [|\epsilon|^2 m_H^2(\phi)] v^2
\sim \left(\frac{1}{f_\phi} |\epsilon|^2 m_H^2(\phi) + |\epsilon|^2 g\Lambda \right) v^2.$$
(97)

Equating this with the derivative of (92) the first local minima of the ϕ potential will be located at⁸

$$g\Lambda^3 f_{\phi} \sim m_K^2 v^2. \tag{98}$$

This equation implies that choosing $g \ll 1$ a large hierarchy $v \ll \Lambda$ can be generated [2].

⁸The first term in Eq. (94) dominates for $m_H^2 > g\Lambda f_\phi$ so that $m_H^2\Lambda^2 > m_K^2 v^2$.

For the relaxion mechanism to work the Higgs dependent barrier of ϕ must dominate other barriers. In the effective theory a Higgs independent barrier is obtained by closing the K loop in Eq. (95). From this it follows that the effective cutoff of these loops (corresponding to the scale of compositeness) must be below $4\pi v$. Since ϕ scans the θ angle of the dark gluons we also need the θ dependence of the vacuum energy to be subleading. For example for $m_L > m_N$ the vacuum energy scales as

$$E(\theta_D) \sim m_N g_\rho f^3 \cos(\theta_D - \theta_0). \tag{99}$$

If the fermion masses dominate m_K the Higgs dependent term dominates the energy for

$$\frac{m_L}{m_N} > \frac{f^2}{v^2}. (100)$$

Note that for degenerate masses the Higgs dependent barrier is always smaller than Eq. (99) and the classical rolling ϕ cannot be stopped.

A. High scale relaxation

The relaxion mechanism described above requires the masses of the new fermions to be close to the electroweak scale. While this is technically natural the coincidence of scales is not satisfactory. In [30] a mechanism to push the new physics to much higher scales was described. This requires the existence of a second rolling field, σ , scanning the barrier height in such a way that it becomes much smaller than the typical value.

This can also be implemented in our framework allowing one to push the scale f to much higher values. The dynamics generating the relaxion potential is identical to the one discussed in Appendix A of [30], but in a different region of parameters. The field σ scans the vectorial masses of the fermions,

$$(m_L^0+g_L^\sigma\sigma+g_L^\phi\phi)LL^c+(m_N^0+g_N^\sigma\sigma+g_N^\phi\phi)NN^c. \eqno(101)$$

By assumption σ does not couple to the topological density and to the Higgs, a choice not dictated by the symmetries but radiatively stable. Assuming for simplicity that m_N is the lightest fermion one finds

$$E(\theta_D) \sim (m_N^0 + g_N^{\sigma} \sigma + g_N^{\phi} \phi) g_{\rho} f^3 \cos(\theta_D - \theta_0). \quad (102)$$

In the early universe ϕ is initially trapped in a deep minimum of the potential and σ scans. Generically $E(\theta_D)$ will cross zero and at that point ϕ will start evolving as well.

Higher order effects should also be taken into account. If the m_L is lighter than the confinement scale as we are assuming to construct a composite Higgs these arise as higher order corrections in the chiral Lagrangian of the

composite Higgs. For example terms with two mass insertions scale as

$$\Delta E \sim m^2 f^2 U^2 \tag{103}$$

and cannot be canceled with the mechanism above due to the different trigonometric dependence. This barrier is smaller than the Higgs dependent one for

$$\frac{m_N^2}{m_K^2} < \frac{v^2}{f^2}. (104)$$

Using this mechanism f can be much larger than the TeV. We leave a detailed analysis to future work.

VI. CONCLUSIONS

In this paper we have constructed a simple UV completion of composite Higgs models. At first sight a disheartening aspect of our construction is the presence of an elementary doublet needed to trigger electroweak symmetry. An elementary scalar however is most welcome to construct a viable theory of flavor. Indeed from this point of view the benefits of the elementary Higgs are as nice as in the SM. The only breaking of the SM flavor symmetries is due to the SM Yukawa couplings so that the theory automatically respects minimal flavor violation; contributions to electroweak parameters are well under control. Moreover these theories predict new states and deviation from the SM that could be visible at LHC if the dynamical scale is around TeV.

We have shown the conditions under which a successful phenomenological model is obtained. In particular the T parameter requires a custodially preserving vacuum that can be more easily realized in SO(N) and Sp(N) gauge theories. In SU(N) theories further assumptions have to be made due to the presence of several composite Higgs bosons. In all cases the Higgs mass is predicted in terms of the parameters of the UV Lagrangian. When the Higgs mass is dominated by SM gauge loops this is naturally of the right size to reproduce 125 GeV.

The models described here interpolate between elementary and composite Higgs models. In the composite regime the dynamics shares many of the features of composite Higgs models discussed in the literature concerning the Higgs and spin-1 resonances. SM fermions remain elementary so that they have properties close to the SM. Sizable EDMs however may be generated by the *CP* violating phases of the new sector. One interesting consequence of our construction is that the Higgs cannot be arbitrarily composite if the theory must be valid up to a high scale. Indeed in order to reproduce the known fermion masses in the SM the Yukawa coupling with the elementary Higgs must be larger than in the SM. Absence of Landau poles then translates into a lower bound on the mixing with the elementary Higgs.

In the last part of the paper we attempted to connect the elementary Higgs required to induce electroweak symmetry breaking with naturalness. In order to achieve this we showed how to implement the relaxion mechanism in our framework. In the simplest scenario $f \sim \text{TeV}$ but it is also possible to push the compositeness scale higher deviating from the minimal scenario with multiple relaxion fields.

ACKNOWLEDGMENTS

D. B. and M. R. are supported by the MIUR-FIRB Grant No. RBFR12H1MW and O. A. is partially supported by the H2020 CSA Twinning project No. 692194, "RBI-TWINNING". O. A. thanks the Mainz Institute for Theoretical Physics (MITP) for hospitality during part of this work. We wish to thank Andrea Mitridate, Alessandro Strumia, Andrea Tesi, Mattia Crescioli, Francesco Ciumei and Elena Vigiani for discussions on related subjects.

Note added.—Recently, Ref. [31] appeared with some overlap with Sec. III.

APPENDIX: THE MASS MATRIX AND CP VIOLATION

The UV Lagrangian of the models in Secs. III and IV contains one physical *CP*-violating phase. However in the two models this has a very different effect leading to sizable EDMs for the electron in the second case and a smaller one in the first. In this Appendix we wish to show how this feature emerges from the different symmetry structure of the two models.

First let us comment on the allowed structure of fermion bilinears, and so in particular of Yukawa terms we are interested in. Thinking of a basis where all the quarks are left-handed Weyl spinors, the fermion bilinears one can form have their Dirac indices contracted antisymmetrically. Their dark color indices are contracted (anti)symmetrically if they are in a (pseudo)real representation of the underlying gauge group. This implies that the flavor structure needs to be antisymmetric or symmetric if the breaking is respectively SU(N)/Sp(N) or SU(N)/SO(N); and this in turn means that the mass/Yukawa matrix needs to decompose onto the broken generators as we will now argue.

The broken generators *X* and the unbroken ones *S* satisfy the relations

$$X\Sigma_0 = \Sigma_0 X^{\dagger}, \qquad S\Sigma_0 = -\Sigma_0 S^{\dagger}.$$
 (A1)

Since the vacuum Σ_0 preserved by Sp(N) is antisymmetric, the products $X\Sigma_0$ (or equivalently $\Sigma_0^{\dagger}X$) are going to be antisymmetric as well, while the ones involving the unbroken generators are symmetric; the converse is true for SO(N)

The $n(n \mp 1)/2 - 1$ structures $\Sigma_0^{\dagger} X$, supplemented by the vacuum itself, form a complete basis of antisymmetric

or symmetric matrices when the stability group is Sp(N) or SO(N). The matrix M can thus be written as

$$M = \Sigma_0^{\dagger} \tilde{M}, \tag{A2}$$

where M is a complex linear combination of (1, X), and the term appearing in the lowest order chiral Lagrangian becomes

$$\operatorname{Tr}[M\Sigma] = \operatorname{Tr}[\Sigma_0^{\dagger} \tilde{M} e^{i\Pi} \Sigma_0] = \operatorname{Tr}[\tilde{M} e^{i\Pi}].$$
 (A3)

In other words the Yukawa couplings of the elementary Higgs doublet can be written as a combination of broken generators, precisely the ones corresponding to the composite doublet K. Note that this argument holds just as well for $SU(N) \times SU(N)/SU(N)$ cosets.

Next, we already pointed out in the main discussion how one *CP*-violating phase is expected. After making the quark masses real, the remaining rephasing freedom is

$$y \to e^{i\delta}y, \qquad \tilde{y} \to e^{-i\delta}\tilde{y};$$
 (A4)

so any one combination of y and \tilde{y} can be made real, however only a single given combination at a time, leaving a nontrivial relative phase between the Yukawa couplings. Having one elementary Higgs doublet and one composite, there is a unique linear mixing operator, $H^{\dagger}K$, the coefficient of which can thus always be made real. CP violation therefore needs to come from further terms. In particular we are interested in terms of the form $H^{\dagger}K\eta$: since the η couples to electroweak anomalies, it would then lead to EDM effects.

Such trilinear couplings come from the trace $\text{Tr}[M\Sigma]$. As we have just shown, M also, not just Σ , decomposes onto the broken generators X; this means the relevant trace—picking up one H, one K, and one η —is of the form Tr[XXX]. For a symmetric coset, the product of two broken generators is

$$2X^{a}X^{b} = \{X^{a}, X^{b}\} + [X^{a}, X^{b}]$$
$$= \frac{1}{n}\delta^{ab}\mathbb{1} + d^{abc}X^{c} + if^{abc}S^{c}.$$
(A5)

Taking the trace of three broken generators thus selects the symmetric structure constants d^{abc} .

The SU(4)/Sp(4) coset is special in that respect: these d coefficients over the broken coset are all zero. This is why the expected CP violation does not appear in the lowest order chiral Lagrangian, as we have observed when presenting (32), contrary to the situation in the other model.

To find terms involving the *CP*-violating phase in the first model, we need to go beyond the Lagrangian (30) and consider the interplay between the quark mass terms and Yukawa couplings in higher order terms.

At the next order the invariants are

$$Tr[M\Sigma M\Sigma]$$
 and $Tr[M\Sigma]^2$. (A6)

The terms that lead to a linear couplings of η are

$$\mathcal{L}_{\eta} \sim 4\sqrt{2}\eta \frac{\sin^{2}S}{S^{2}} \left(\text{Re}(H^{\dagger}K) \text{Re}(\#(y + \tilde{y})(\mu_{L} - \mu_{R})) \right.$$

$$\left. - \text{Im}(H^{\dagger}K) \text{Im}(\#(y - \tilde{y})(\mu_{L} - \mu_{R})) \right)$$

$$\left. - 2\sqrt{2}f\eta \frac{\sin 2S}{S} \text{Im}(\#(\mu_{L}^{2} - \mu_{R}^{2})), \right. \tag{A7}$$

where # designates the coefficient of the operators (A6) in the chiral Lagrangian. Even if this latter coefficient is real (also setting the quark masses to be real) one is left with

#4
$$\sqrt{2}\eta \frac{\sin^2 S}{S^2} (\mu_L - \mu_R) \text{Re}((y + \tilde{y}^*) H^{\dagger} K)$$
 (A8)

which is the expected term, proportional to the other (in general complex) Yukawa combination, $y + \tilde{y}^*$, and to the Sp(4)-breaking mass $\mu_L - \mu_R$.

The reason why only the Sp(4)-breaking mass appears can be understood similarly to the absence of a term of interest in the leading-order Lagrangian, using the argument of the Appendix: the Sp(4)-breaking mass is the term in the mass matrix \tilde{M} proportional to a broken generator while the symmetry-preserving one is proportional to the identity. One sees immediately that the traces (A6) are zero if they involve an identity matrix instead of a broken generator for the quark mass contribution.

Therefore the EDM depends on unknown higher order coefficients suppressed by an extra μ/f which makes its study of limited interest in the SU(4)/Sp(4) model. This is even reinforced by the fact that the anomalous coupling of η to photons is zero at leading order [32]; one would involve instead a Z boson in the loop via $g_{\eta Z\gamma}$, leading to yet another suppression due to its smaller coupling to the electron.

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